

# Management of COPD

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Associate Professor of Medicine, Harvard Medical School



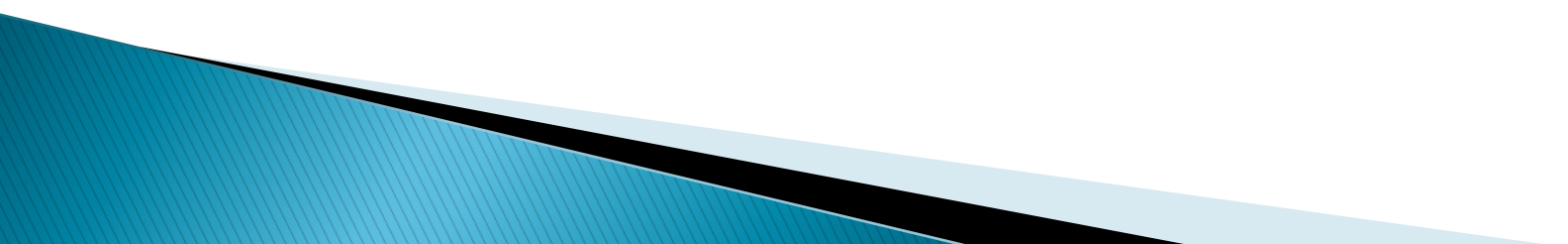
# Disclosures

- ▶ Grant support:
  - Bayer
  - Boehringer–Ingelheim
  - Vertex
- ▶ Consultant:
  - Takeda
  - Astra–Zeneca

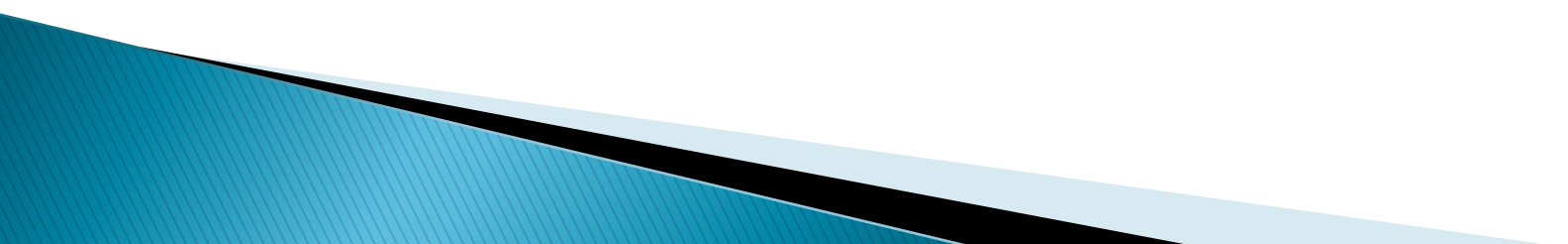
# Objectives

- ▶ Review the diagnosis and assessment of the patient with COPD
- ▶ Highlight current treatment strategies for stable COPD

# Outline

- ▶ GOLD guidelines
  - ▶ Medications
    - Inhaled corticosteroids and Triple therapy
    - “non-inhaled” therapies
  - ▶ Oxygen and non-invasive ventilation
  - ▶ Bronchoscopic Lung Volume Reduction
  - ▶ Redefining COPD
- 

# Outline

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# Chronic obstructive pulmonary disease

- ▶ Common, preventable, treatable
- ▶ Persistent symptoms and airflow limitation
- ▶ Airway and/or alveolar abnormalities
- ▶ Exposure to noxious particles or gases
- ▶ Host factors, abnormal lung development
- ▶ Comorbidities

# Multidimensional assessment

- ▶ Symptoms
- ▶ Airflow limitation
- ▶ Exacerbation risk
- ▶ Comorbidities

# COPD Assessment Test (CAT)

I never cough	0 1 2 3 4 5	I cough all the time
I have no phlegm (mucus) in my chest at all	0 1 2 3 4 5	My chest is completely full of phlegm (mucus)
My chest does not feel tight at all	0 1 2 3 4 5	My chest feels very tight
When I walk up a hill or one flight of stairs I am not breathless	0 1 2 3 4 5	When I walk up a hill or one flight of stairs I am very breathless
I am not limited doing any activities at home	0 1 2 3 4 5	I am very limited doing activities at home
I am confident leaving my home despite my lung condition	0 1 2 3 4 5	I am not at all confident leaving my home because of my lung condition
I sleep soundly	0 1 2 3 4 5	I don't sleep soundly because of my lung condition
I have lots of energy	0 1 2 3 4 5	I have no energy at all

# Modified MRC Dyspnea scale

Grade	Description of Breathlessness
0	I only get breathless with strenuous exercise.
1	I get short of breath when hurrying on level ground or walking up a slight hill.
2	On level ground, I walk slower than people of the same age because of breathlessness, or have to stop for breath when walking at my own pace.
3	I stop for breath after walking about 100 yards or after a few minutes on level ground.
4	I am too breathless to leave the house or I am breathless when dressing.



# Global Strategy for Diagnosis, Management and Prevention of COPD

## Classification of Severity of Airflow Limitation in COPD\*

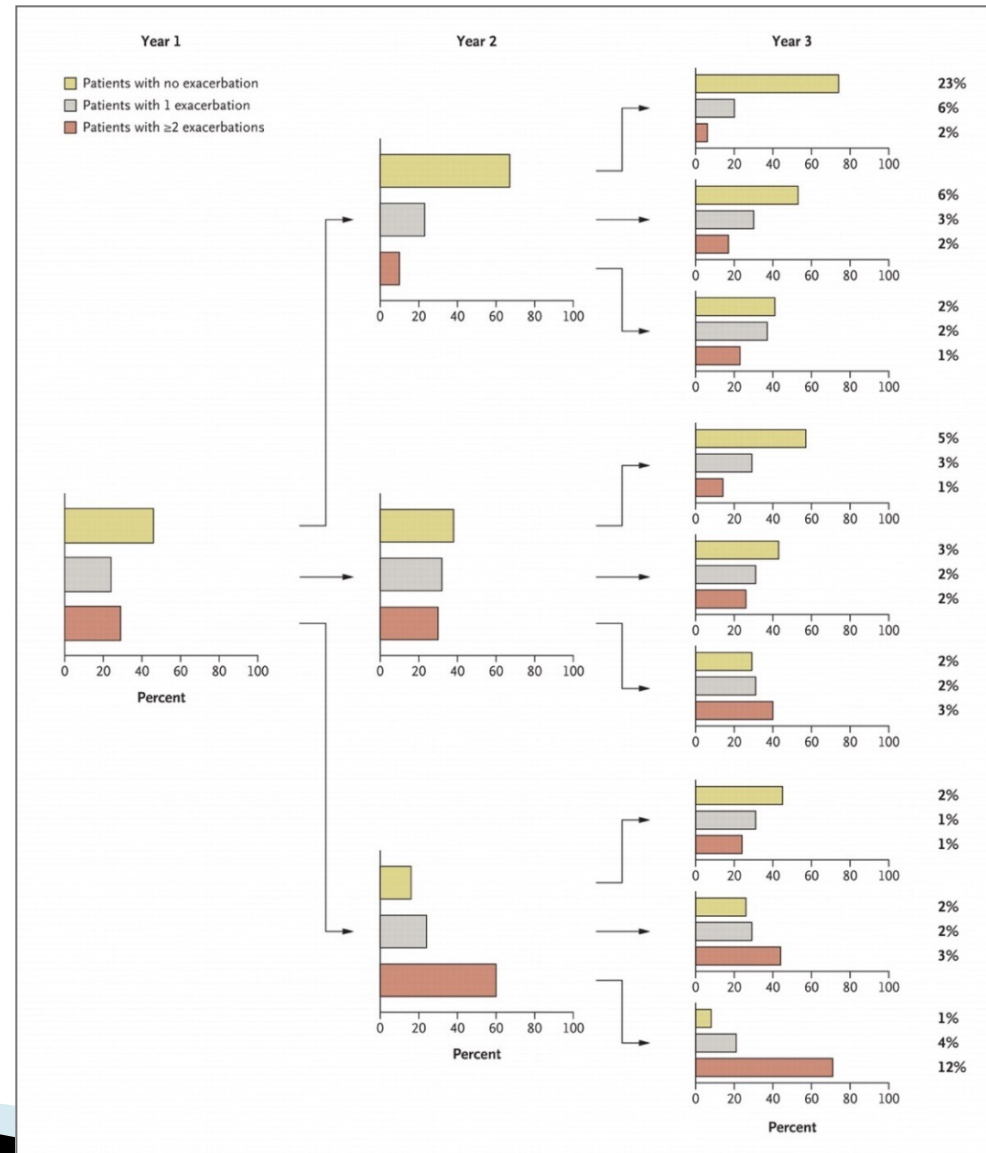
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In patients with  $FEV_1/FVC < 0.70$ :

- |                            |                                    |
|----------------------------|------------------------------------|
| <b>GOLD 1: Mild</b>        | $FEV_1 \geq 80\%$ predicted        |
| <b>GOLD 2: Moderate</b>    | $50\% \leq FEV_1 < 80\%$ predicted |
| <b>GOLD 3: Severe</b>      | $30\% \leq FEV_1 < 50\%$ predicted |
| <b>GOLD 4: Very Severe</b> | $FEV_1 < 30\%$ predicted           |

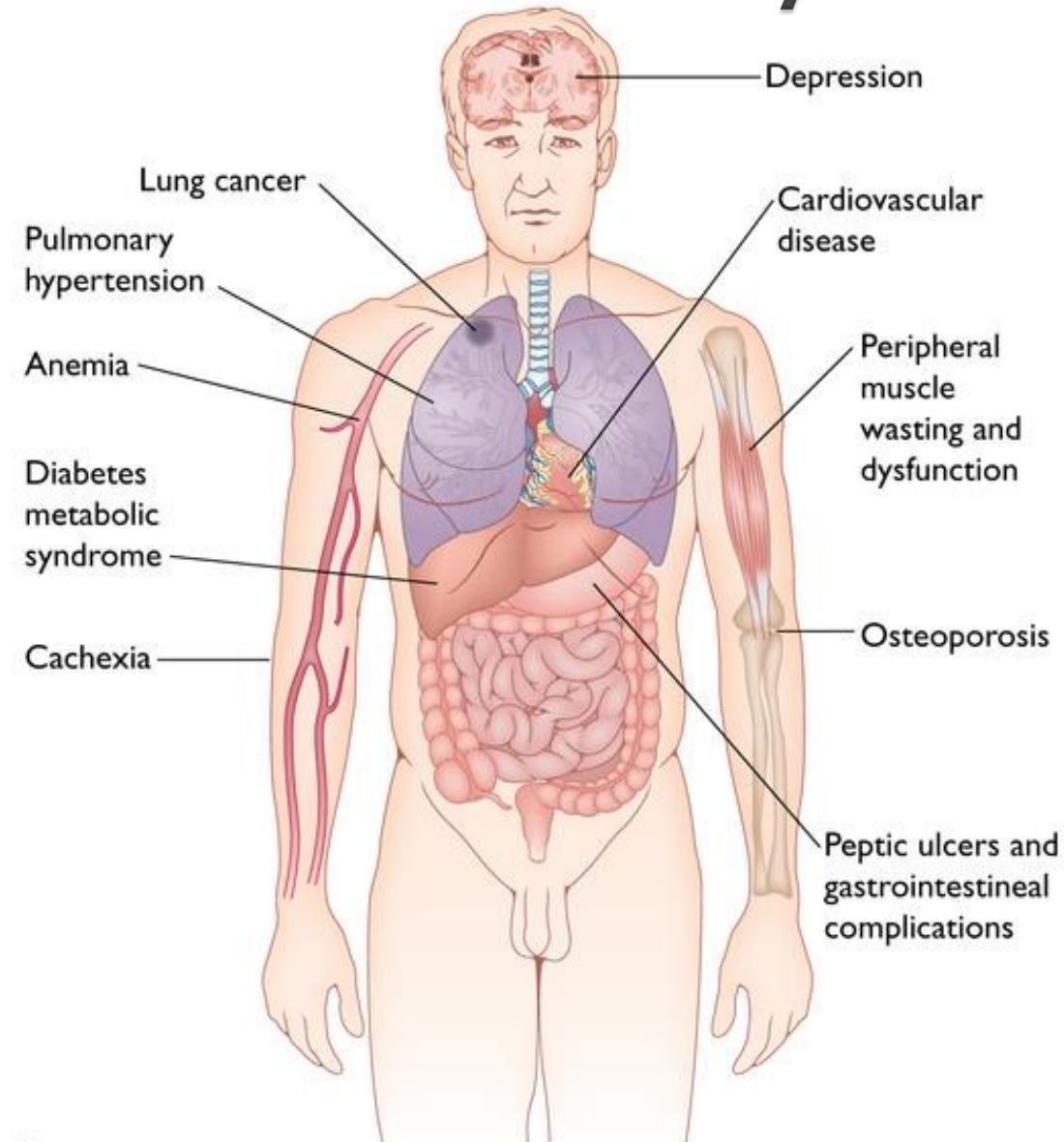
*\*Based on Post-Bronchodilator  $FEV_1$*

# Past exacerbations are best predictor of future exacerbations



Hurst JR, NEJM 2010;  
363:1128.

# Comorbidities: COPD is a systemic disease



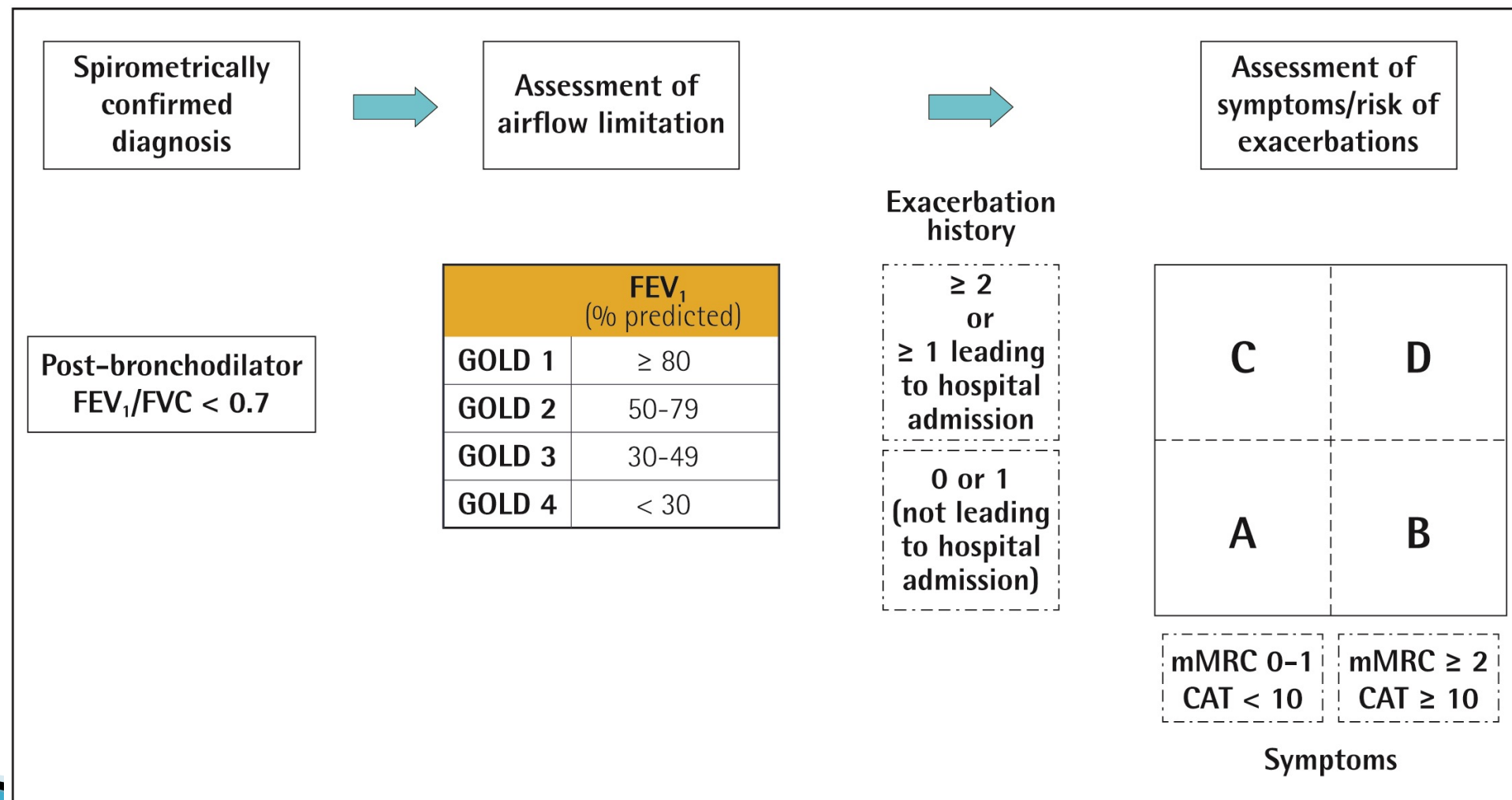
A

© Current Medicine

Crapo J, ed.,  
Atlas of COPD, 2009

# Combined Assessment

Figure 2.4. The refined ABCD assessment tool



# INITIAL PHARMACOLOGICAL TREATMENT

≥ 2 moderate exacerbations or ≥ 1 leading to hospitalization

**Group C**  
LAMA

**Group D** LAMA or  
LAMA + LABA\* or  
ICS + LABA\*\*  
\*Consider if highly symptomatic (e.g. CAT > 20)  
\*\*Consider if eos ≥ 300

0 or 1 moderate exacerbations (not leading to hospital admission)

**Group A**  
A Bronchodilator

**Group B**  
A Long Acting Bronchodilator (LABA or LAMA)

mMRC 0-1, CAT < 10

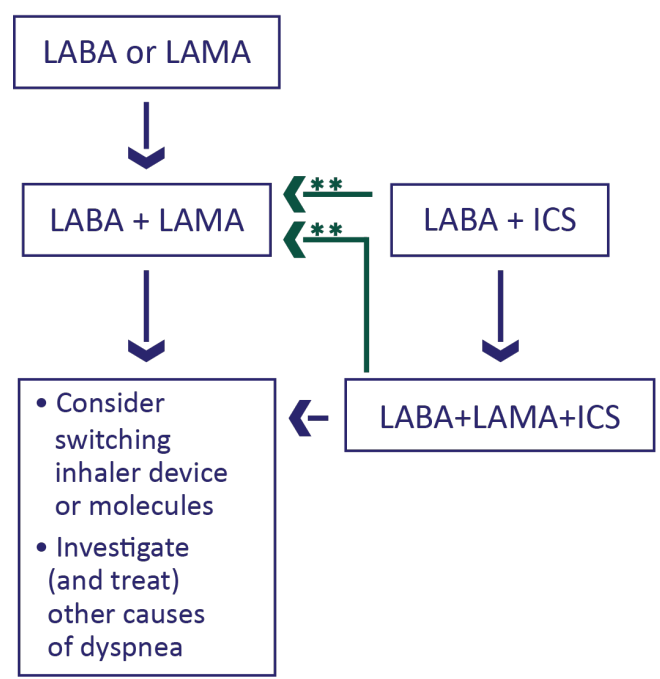
mMRC ≥ 2, CAT ≥ 10

FIGURE 4.2

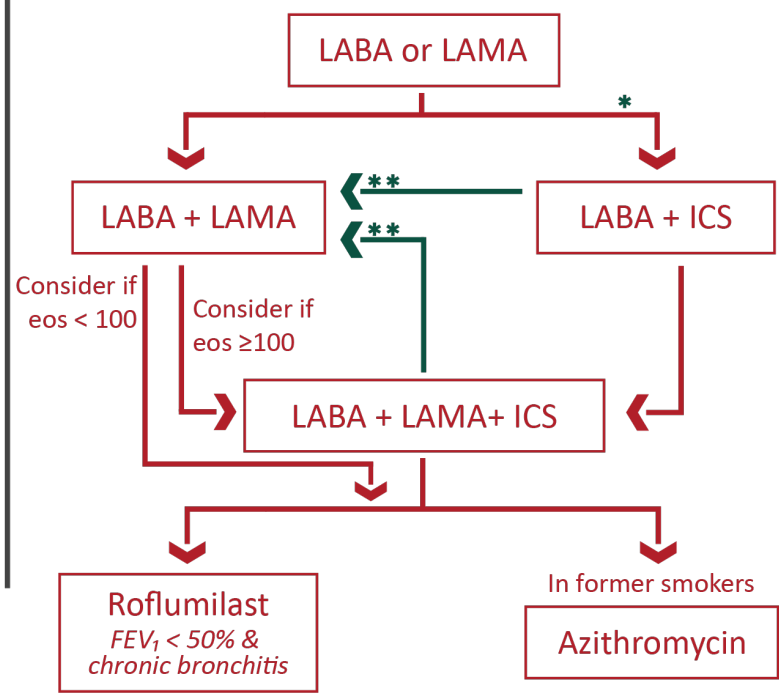
# FOLLOW-UP PHARMACOLOGICAL TREATMENT

1. IF RESPONSE TO INITIAL TREATMENT IS APPROPRIATE, MAINTAIN IT.
2. IF NOT:
  - ✓ Consider the predominant treatable trait to target (dyspnea or exacerbations)
  - Use exacerbation pathway if both exacerbations and dyspnea need to be targeted
  - ✓ Place patient in box corresponding to current treatment & follow indications
  - ✓ Assess response, adjust and review
  - ✓ These recommendations do not depend on the ABCD assessment at diagnosis

## • DYSPNEA •



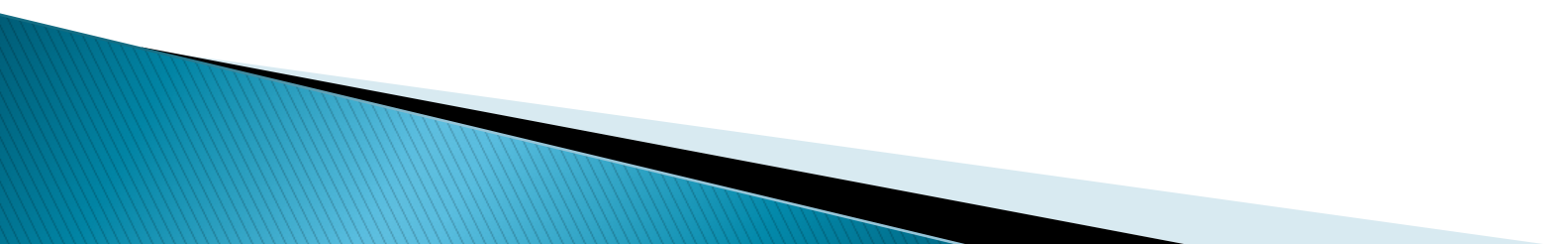
## • EXACERBATIONS •



*eos = blood eosinophil count (cells/ $\mu$ L)*  
 \* Consider if eos  $\geq 300$  or eos  $\geq 100$  AND  $\geq 2$  moderate exacerbations / 1 hospitalization  
 \*\* Consider de-escalation of ICS or switch if pneumonia, inappropriate original indication or lack of response to ICS

FIGURE 4.4

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# Inhaled corticosteroids

- ▶ Earlier studies:
  - Reduce exacerbations
  - May reduce FEV<sub>1</sub> decline
- ▶ Side effects
  - Increase risk of pneumonia
  - Dysphonia, hoarseness, oral candidiasis
- ▶ GOLD: Consider if blood eosinophils > 300 or > 100 with exacerbation history

Calverley, NEJM 2007;356:775  
Magnussen, NEJM 2014;371:1285  
Vestbo, NEJM 2016;375:1253  
Vestbo, Lancet 2016;387:1817

# Routine blood tests in COPD?

- ▶ CBC with differential
- ▶ Alpha-1 antitrypsin deficiency
  - 1–2% of COPD in USA
  - AAT level +/- genotype or protein phenotype
- ▶ All adults with:
  - COPD
  - Emphysema
  - Asthma with irreversible airflow obstruction

Silverman EK, N Engl J Med 2009; 360:2749-2757

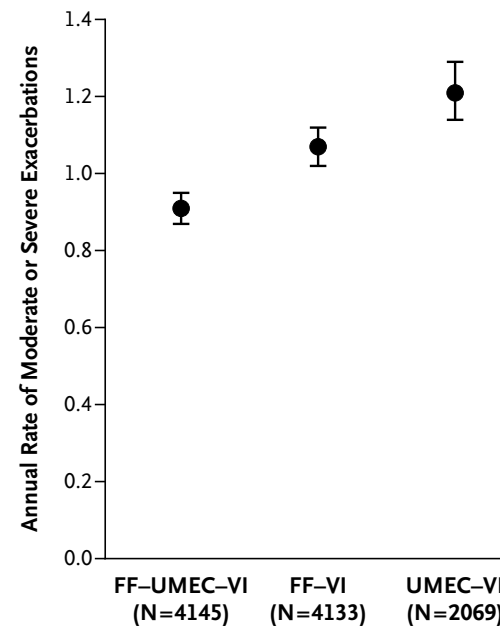
Miravittles M, ERJ 2017; 50: 1700610

Sandhaus RA, JCOPDF 2016; 3(3): 668-682

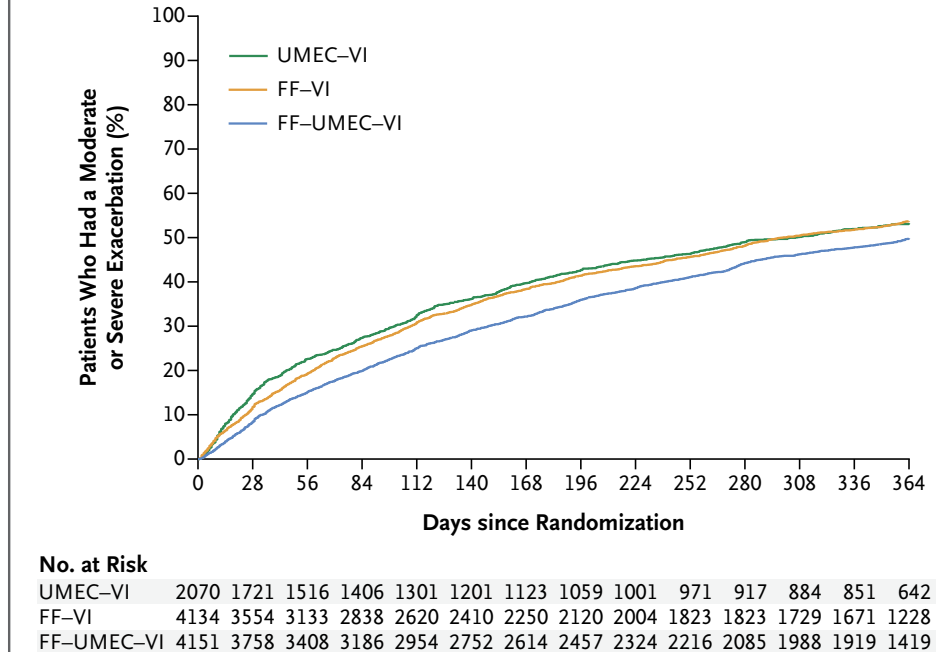
# IMPACT: “closed” triple therapy

- ▶ N=10,355
- ▶ FEV<sub>1</sub> <50% and ≥1 exacerbation in last yr or FEV<sub>1</sub> 50–80% and ≥2 exacerbations
- ▶ CAT ≥10
- ▶ Asthma not excluded

A Model-Estimated Rate

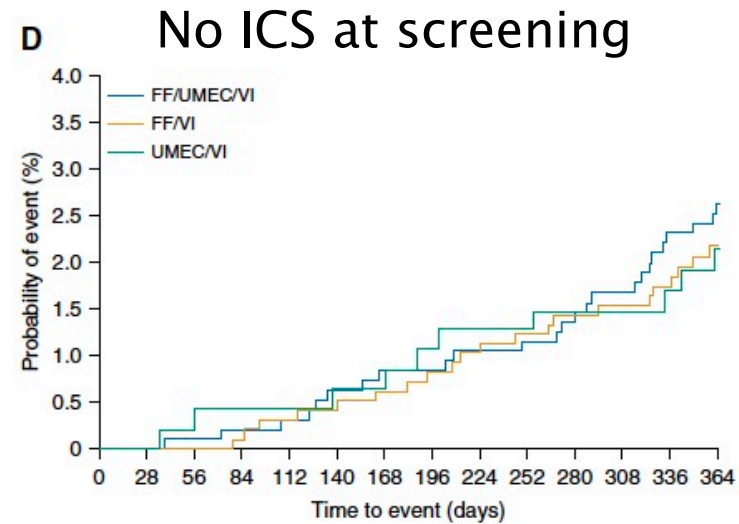
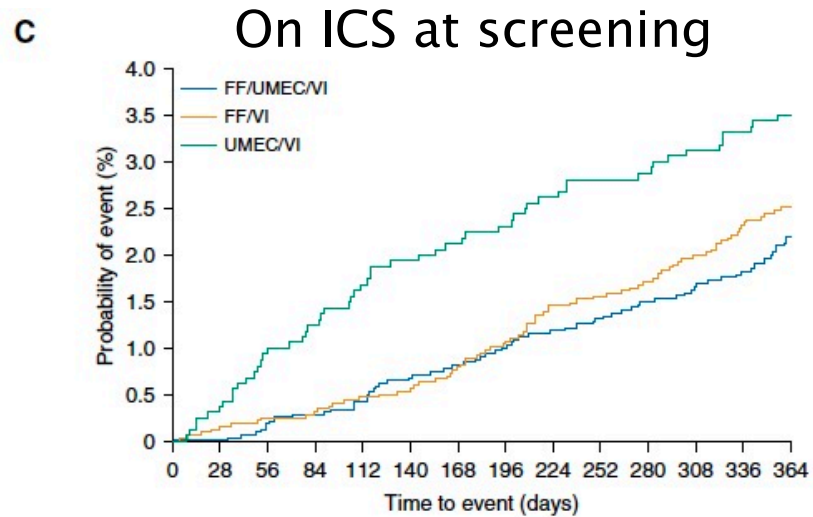
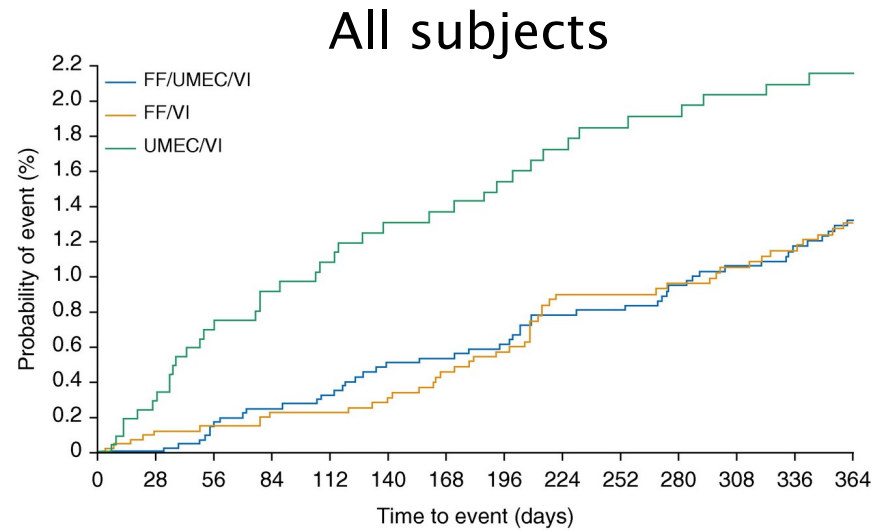


B Time-to-First-Event Analysis



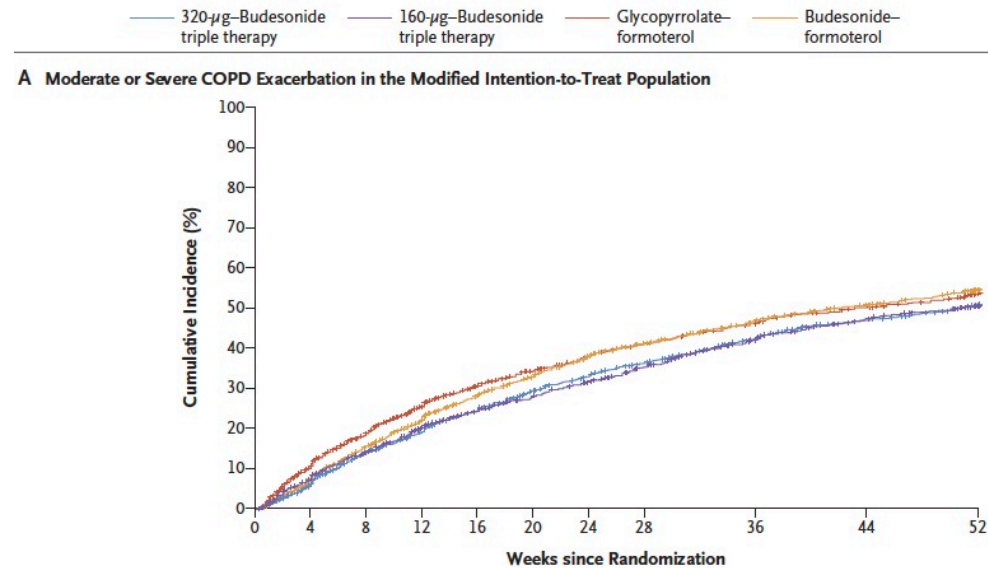
Greater effect if eosinophils ≥ 150 cells/ul  
 Pneumonia rate 50% higher in ICS arms

# Do ICS reduce mortality? IMPACT trial

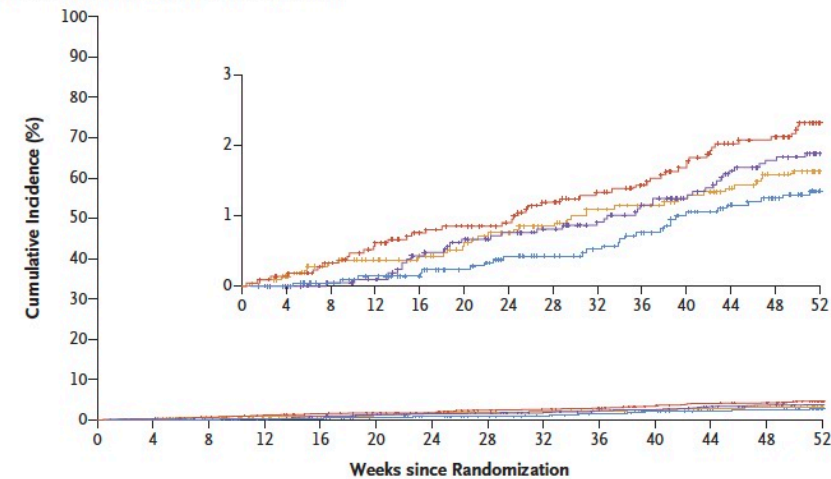


# ETHOS trial

budesonide/glycopyrrolate/formoterol



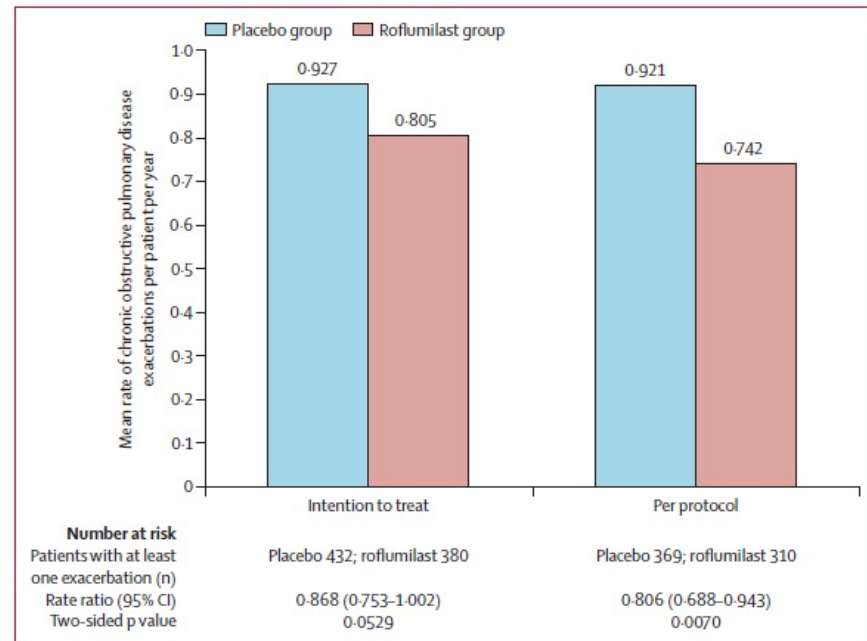
**B Death from Any Cause in the Intention-to-Treat Population**



- N=8509
- CAT  $\geq 10$
- FEV<sub>1</sub> 25–65%
- FEV<sub>1</sub> <50% and  $\geq 1$  exacerbation in last yr or FEV<sub>1</sub>  $\geq 50\%$  and  $\geq 2$  exacerbations
- Exclude current asthma (not past)

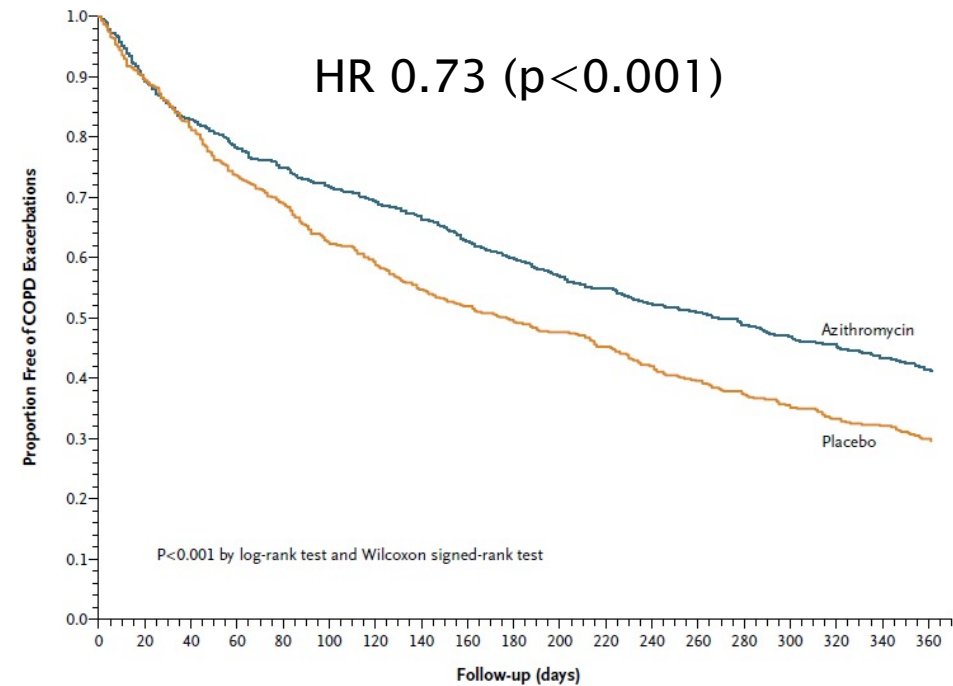
# Oral therapies to decrease exacerbation risk

## Roflumilast



Severe COPD ( $FEV_1 < 50\%$ )  
chronic bronchitis  
exacerbation history

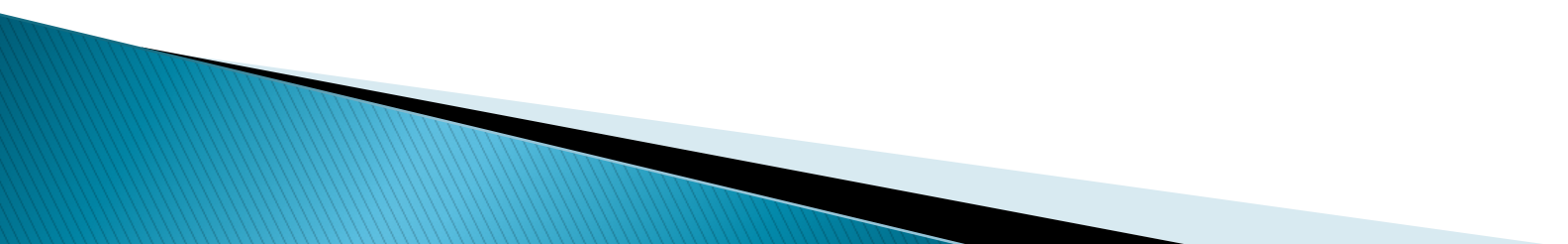
## Azithromycin 250mg daily



More effective: older, ex-smokers,  
milder COPD

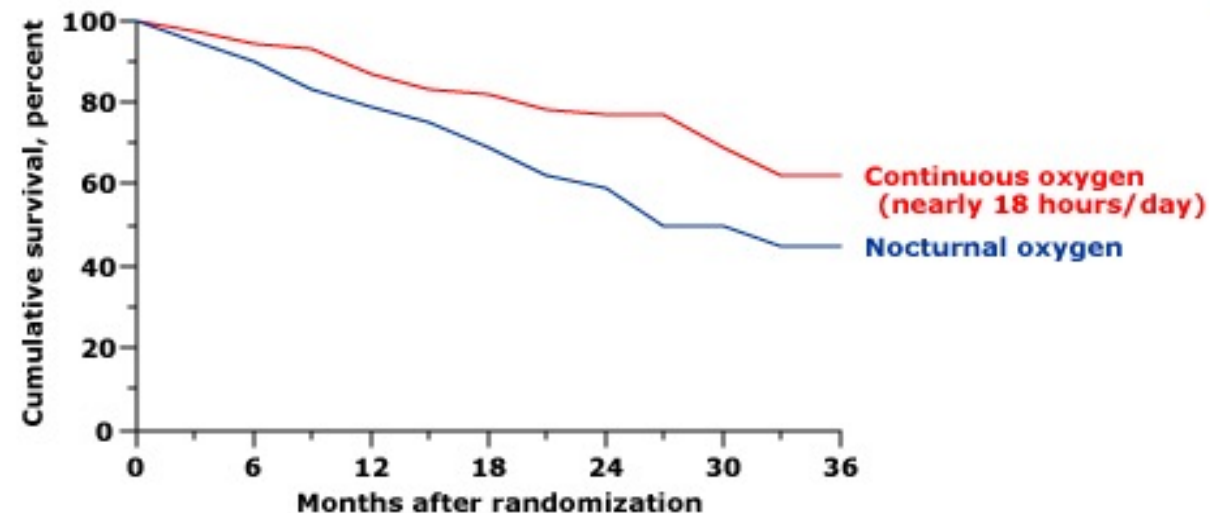
Martinez, Lancet 2015;385:857  
Albert, NEJM 2011;365:689  
Han, AJRCCM 2014;189:1503

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# Supplemental oxygen reduces mortality in hypoxemic COPD patients

NOTT trial, Ann Intern Med 1980;93:391



- ▶  $\text{PaO}_2 \leq 55\text{mmHg}$  or  $\text{SaO}_2 \leq 88\%$ , or
- ▶  $\text{PaO}_2 \leq 60\text{mmHg}$  or  $\text{SaO}_2 \leq 89\%$ ,
  - with cor pulmonale, right heart failure or polycythemia

# Long Term Oxygen Treatment Trial

NEJM 2016;375:1617-27

## 1) Moderate hypoxemia

- SpO<sub>2</sub> 89–93%
- Randomized to 24 hr O<sub>2</sub>

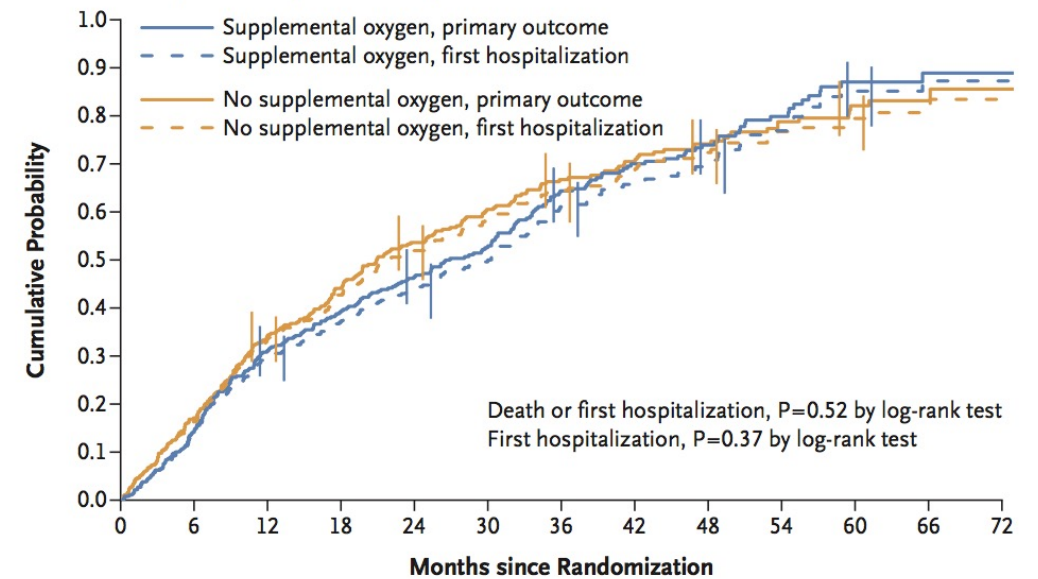
## 2) Exertional hypoxemia

- SpO<sub>2</sub> <90%
- Randomized to O<sub>2</sub> with exercise and sleep

▶ N=738

- 57% / 43%

A Primary Outcome (Death or First Hospitalization) or First Hospitalization



### No. at Risk

No supplemental oxygen	370	304	232	181	139	102	76	59	43	29	21	7	1
Supplemental oxygen	368	314	243	198	158	125	86	61	44	24	13	6	1

No change in secondary outcomes:

- 6-min walk distance
- quality of life
- Exacerbations
- lung function

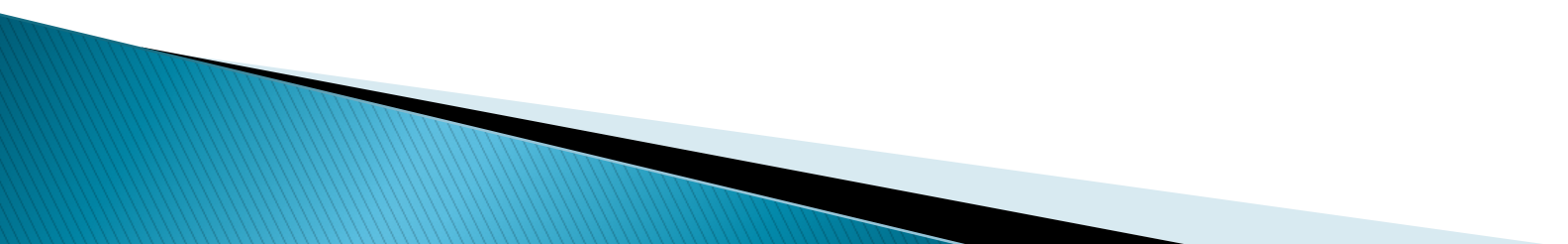
# Long-Term Noninvasive Ventilation in Chronic Stable Hypercapnic Chronic Obstructive Pulmonary Disease

An Official American Thoracic Society Clinical Practice Guideline

Madalina Macrea, Simon Oczkowski, Bram Rochweg, Richard D. Branson, Bartolome Celli, John M. Coleman III, Dean R. Hess, Shandra Lee Knight, Jill A. Ohar, Jeremy E. Orr, Amanda J. Piper, Naresh M. Punjabi, Shilpa Rahangdale, Peter J. Wijkstra, Susie Yim-Yeh, M. Bradley Drummond, and Robert L. Owens; on behalf of the American Thoracic Society Assembly on Sleep and Respiratory Neurobiology

- ▶ Hypercapnic respiratory failure:  $\text{PaCO}_2 > 45$
- ▶ Suggest nocturnal NIV
  - Stable, not exacerbation
  - Screen for OSA prior to starting
  - Target normal  $\text{PaCO}_2$
- ▶ Benefit: improve QoL, possibly reduce exacerbations and mortality
- ▶ Barriers to implementation

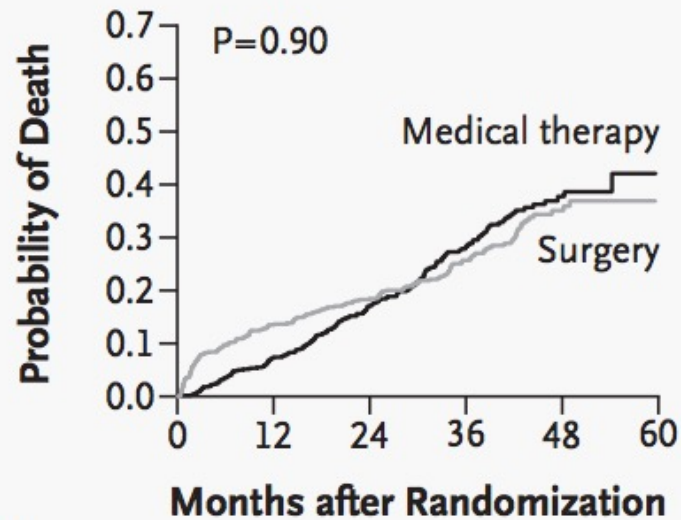
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- 

# Lung volume reduction surgery

NETT, NEJM 2003;348:2059-73

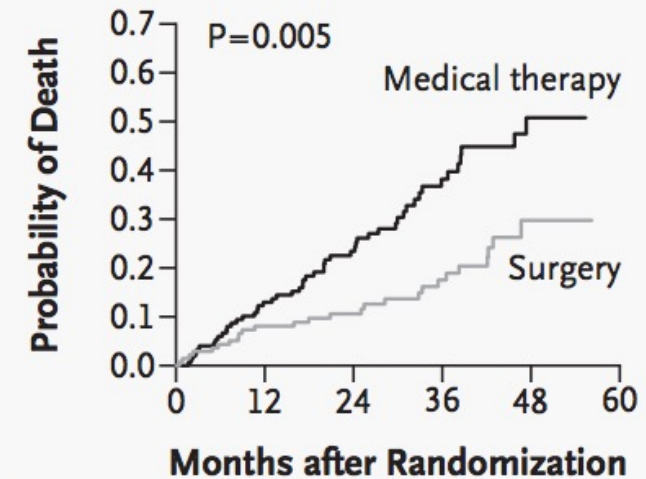
**A All Patients (N=1218)**



**No. at Risk**

Surgery	608	491	376	233	74
Medical therapy	610	527	384	224	70

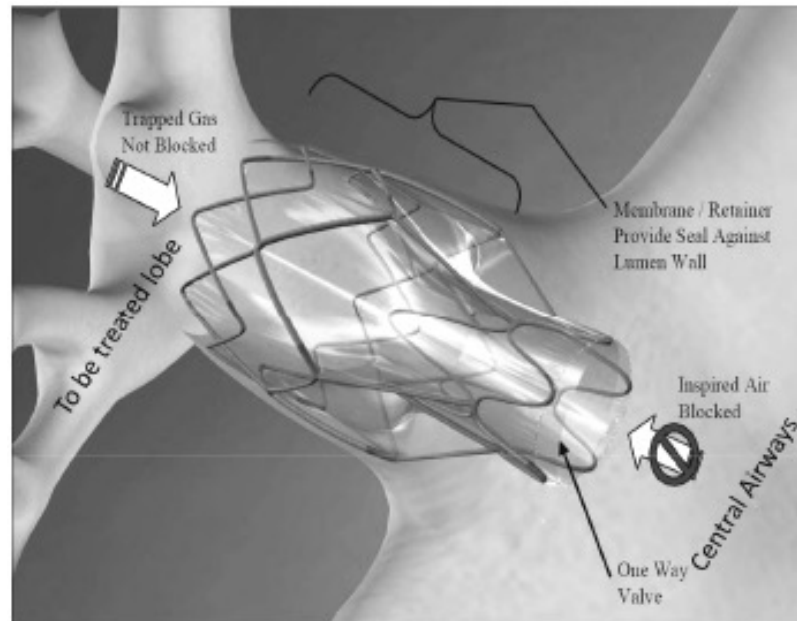
**D Upper-Lobe Predominance, Low Base-Line Exercise Capacity (N=290)**



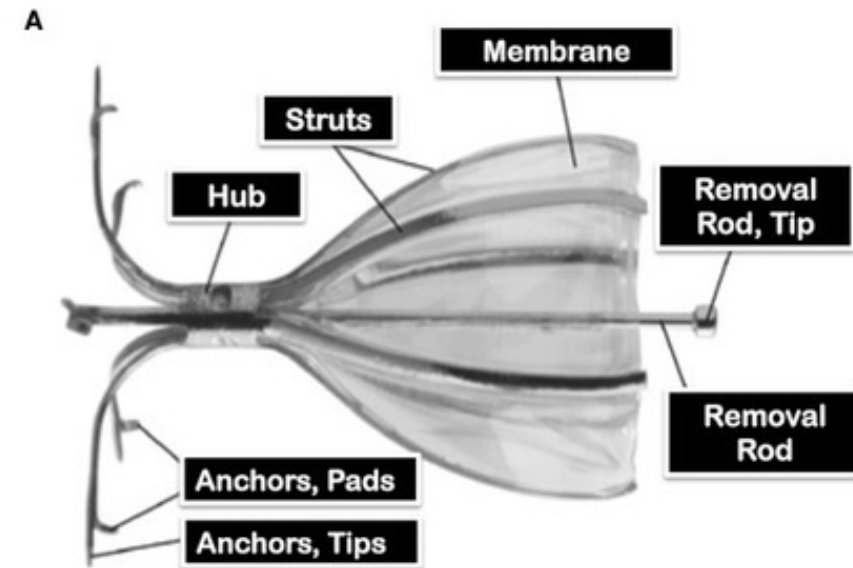
**No. at Risk**

Surgery	139	121	93	61	17
Medical therapy	151	120	85	43	13

# Endobronchial valves



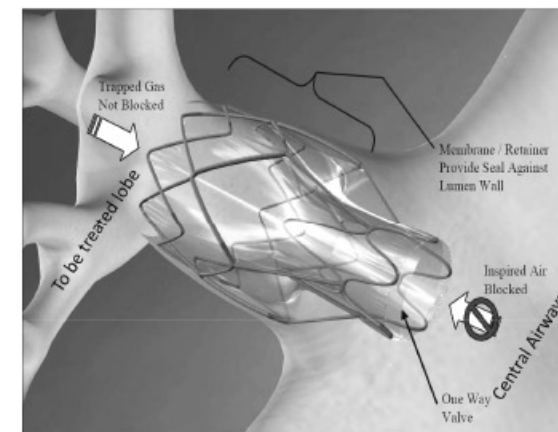
Klooster, NEJM 2015;373:2325



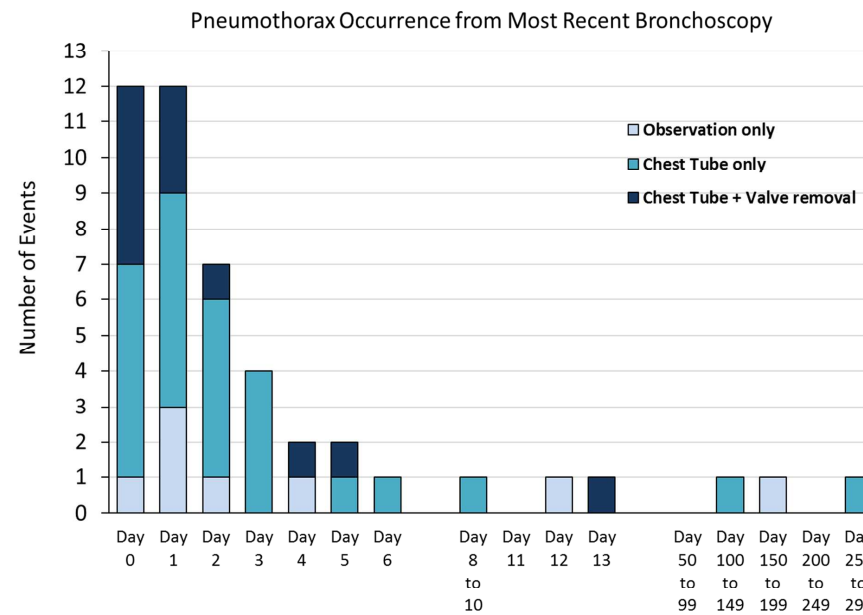
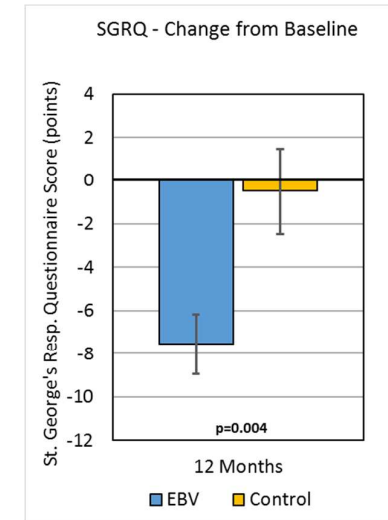
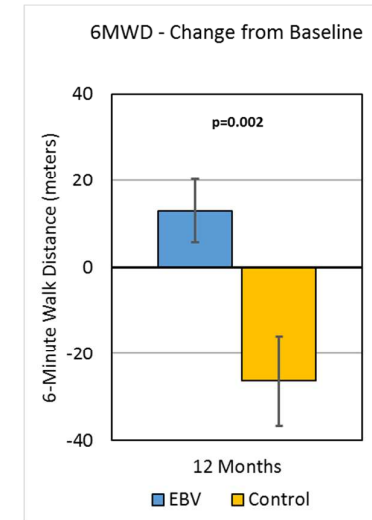
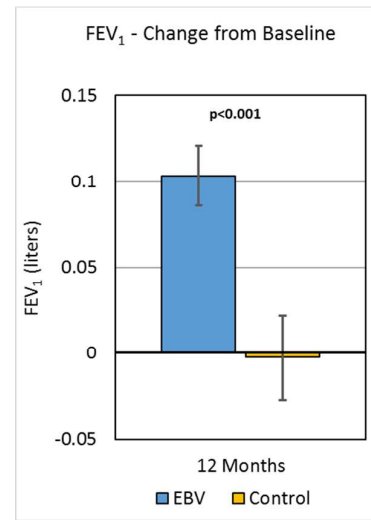
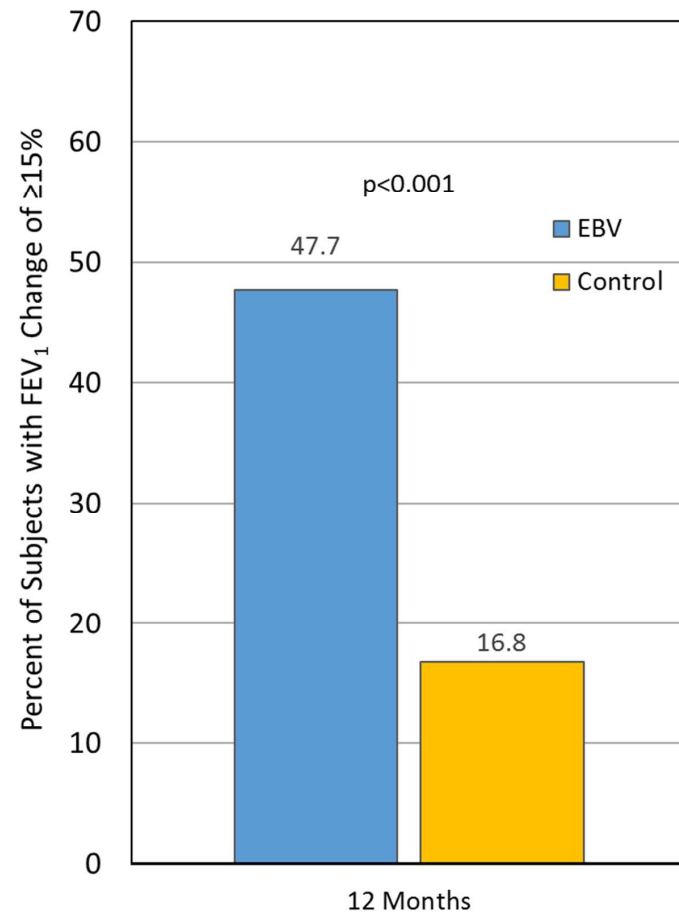
Criner, AJRCCM 2019;200;1354

# LIBERATE trial

- ▶ N=909 consented, 190 randomized
- ▶ 24 centers
- ▶ FEV<sub>1</sub> 15–45%
- ▶ TLC > 100%, RV > 175%
- ▶ Heterogeneous emphysema
- ▶ Completed pulmonary rehab
- ▶ 2:1 randomization
- ▶ Bronchoscopic device to ensure absence of collateral ventilation

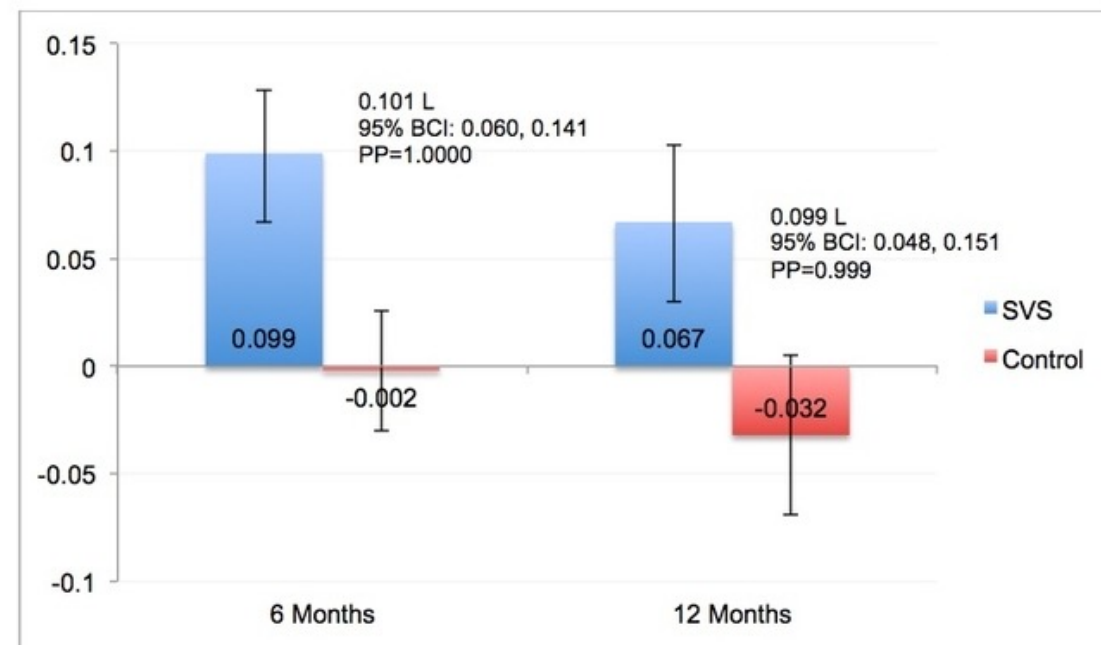
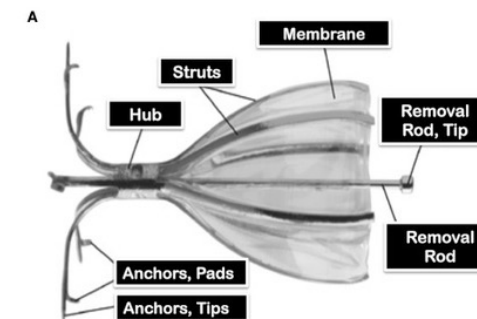


# LIBERATE trial



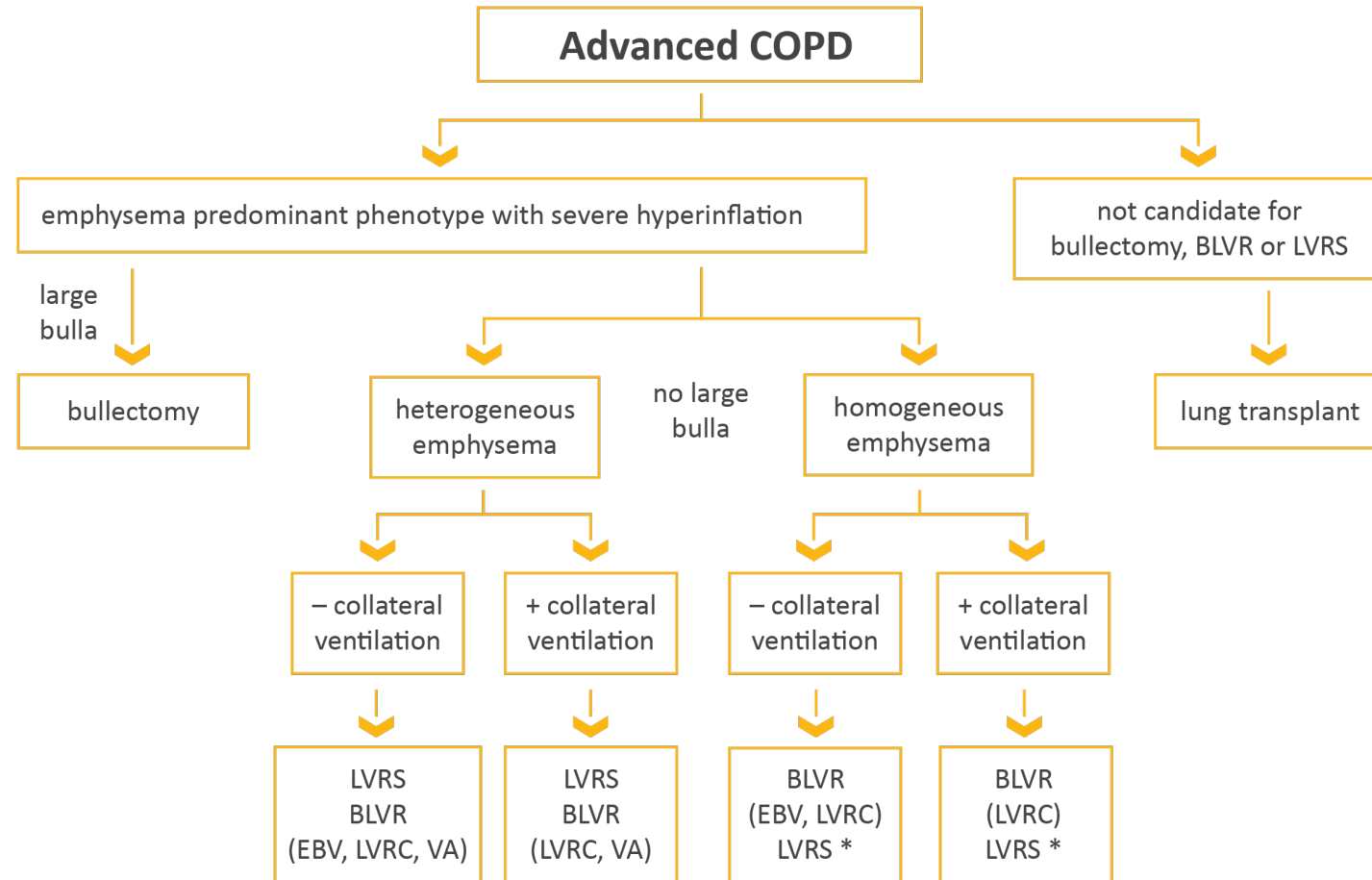
# EMPROVE trial

- ▶ N=172 randomized
- ▶ 31 sites
- ▶  $FEV_1 < 45\%$
- ▶  $TLC > 100\%$ ,  $RV > 175\%$
- ▶ Heterogeneous emphysema
- ▶ No assessment for collateral ventilation
- ▶ 12% pneumothorax



## INTERVENTIONAL BRONCHOSCOPIC AND SURGICAL TREATMENTS FOR COPD

Overview of various therapies used to treat patients with COPD and emphysema worldwide. Note that all therapies are not approved for clinical care in all countries. Additionally, the effects of BLVR on survival or other long term outcomes or comparison to LVRS are unknown.

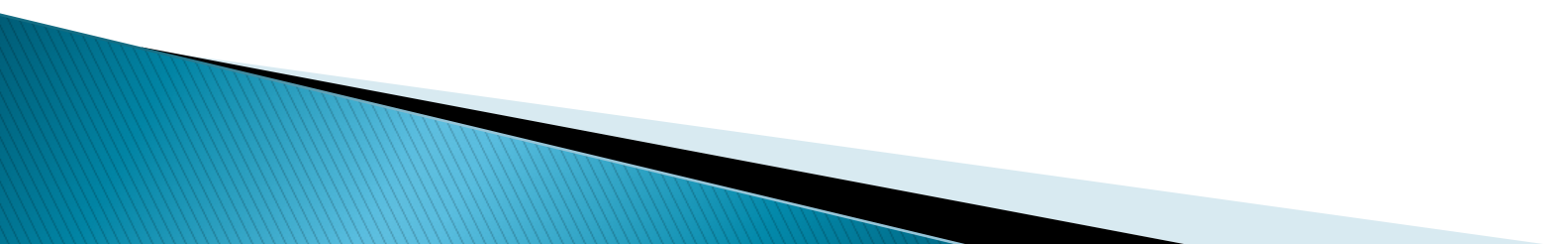


Definition of Abbreviations: BLVR, Bronchoscopic Lung Volume Reduction, EBV, endobronchial Valve, LVRS, Lung volume reduction surgery, LVRC, Lung volume reduction coil, VA, Vapor ablation

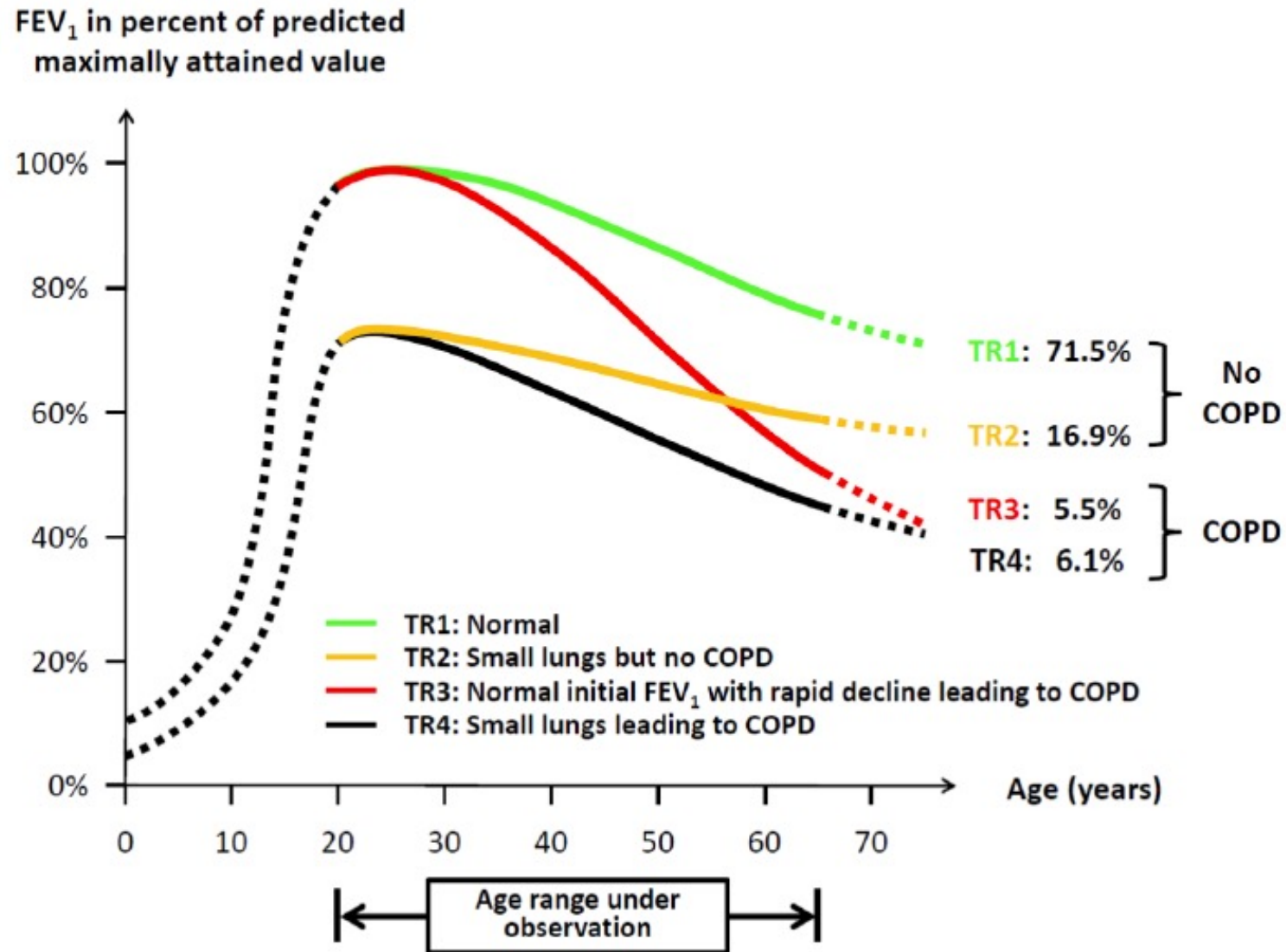
\*at some but not all centers

FIGURE 4.6

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- 

# Lung development and COPD



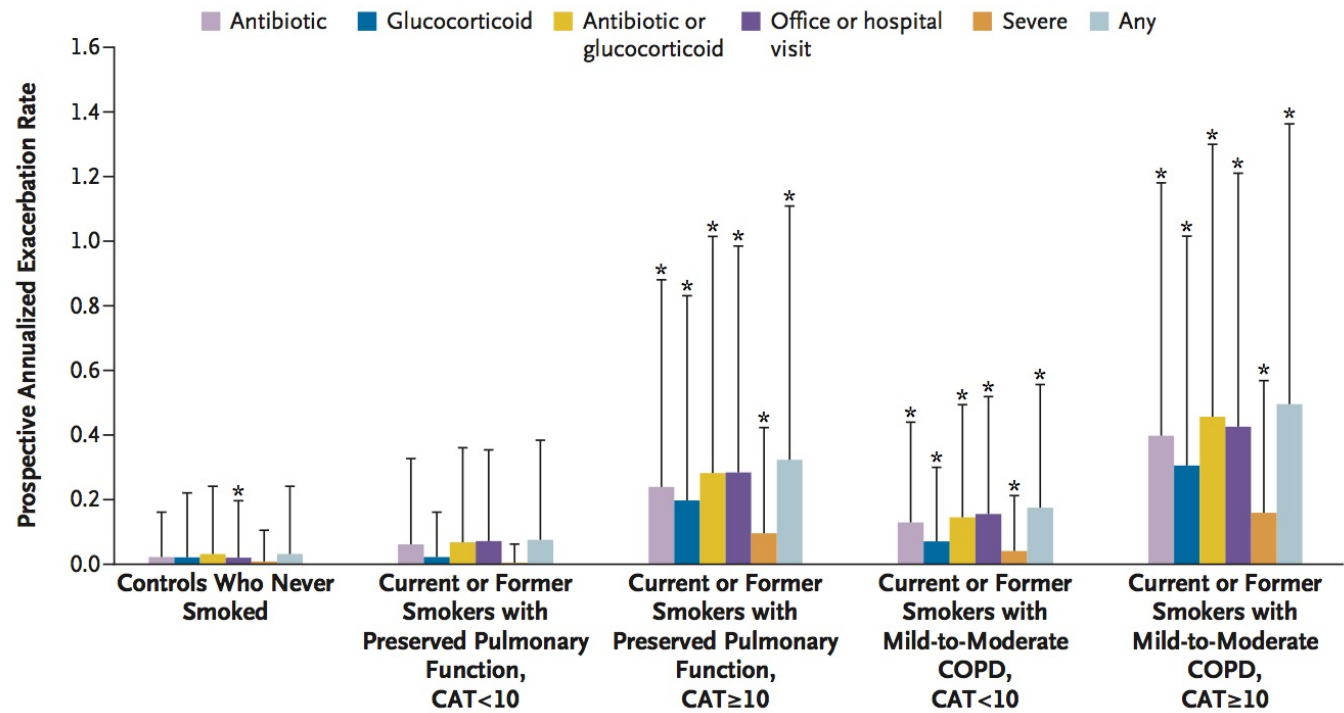
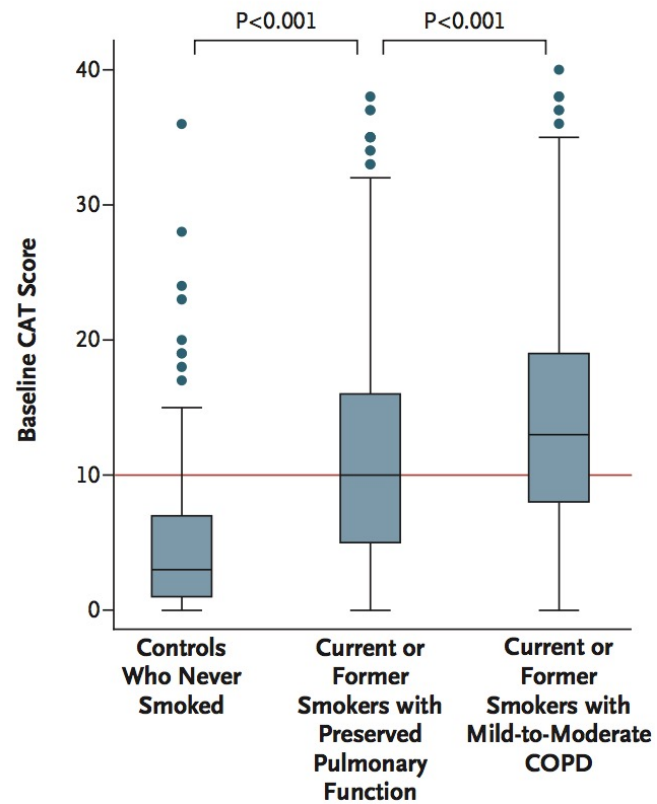
# Smokers with Normal Spirometry: COPD Gene Study

Respiratory impairments are common

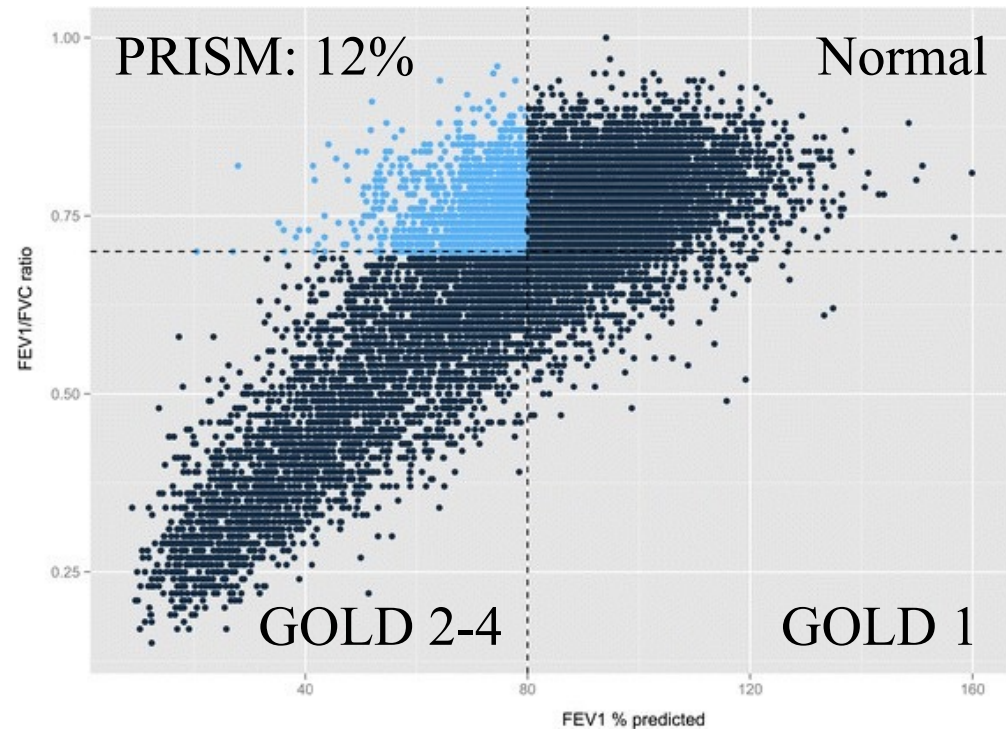
Variable	No. (%)		
	Never Smokers (n = 108)	GOLD 0 (n = 4388)	GOLD 1 (n = 794)
<b>Individual Scores</b>			
Chronic bronchitis, by criteria	0	552 (12.6)	125 (15.7)
History of $\geq 1$ severe exacerbation	0	190 (4.3)	39 (4.9)
St George's Respiratory Questionnaire total score $>25$	4 (3.7)	1143 (26.0)	226 (28.5)
Six-minute walk distance $<350$ m	4 (3.7)	674 (15.4)	109 (13.7)
Modified Medical Research Council dyspnea score $\geq 2$	4 (3.7)	1029 (23.5)	175 (22.0)
Emphysema $>5\%$	9 (8.3)	428 (9.8)	273 (34.4)
Gas trapping $>20\%$	11 (10.2)	536 (12.2)	319 (40.2)
<b>Sums</b>			
Any impairment	26 (24.1)	2375 (54.1)	585 (73.7)

# SPIROMICS study

Woodruff, NEJM 2016;374:1811-21



# PRISM: Preserved ratio, impaired spirometry

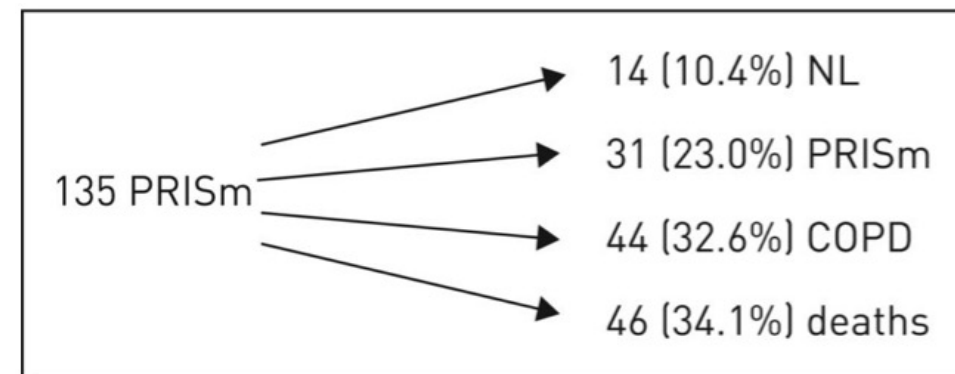


45% with TLC(CT scan) < LLN

## COPDGene

Phase 1*	Phase 2*	N	%
PRISm	GOLD 0	152	22.2%
	COPD (GOLD 1-4)	172	25.1%
	PRISm	360	52.6%

## Rotterdam



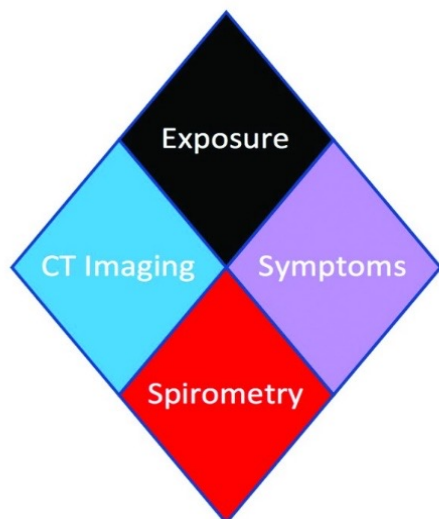
Wan E, Resp Res 2014;15:89

Wan E, AJRCCM 2018;198:1397

Wijnant SRA, ERJ 2020;55:1901217

# Redefining COPD? COPDGene 2019

Figure 1. Features Used to Define COPD in the COPDGene® Study



**Exposure** in the COPDGene® study includes individuals with a total of  $\geq 10$  pack years smoking. **CT Imaging** includes individuals with quantitative assessment showing  $\geq 5\%$  emphysema, a  $Pi10 \geq 2.5$  mm or  $\geq 15\%$  gas trapping. **Symptoms** include individuals with an mMRC dyspnea score  $\geq 2$  or chronic bronchitis. **Spirometry** includes individuals with  $FEV_1 < 80\%$  predicted or  $FEV_1/FVC < 0.70$ .

COPDGene®=COPD Genetic Epidemiology study; COPD=chronic obstructive pulmonary disease; CT=computed tomography;  $Pi10$ =square root of airway wall area for a standardized airway of 10 mm internal perimeter; mMRC=modified Medical Research Council;  $FEV_1$ =forced expiratory volume in 1 second; FVC=forced vital capacity

Figure 2. Logistic Regression and Cox Regression Models for Forced Expiratory Volume in One Second Progression and All-Cause Mortality (respectively) With the Proposed COPDGene 2019® Classification of Categories

	Category	Odds of Change $>350$ ml in $FEV_1$ (95% CI) <sup>a</sup>	Hazard Ratio for All-Cause Mortality (95% CI) <sup>b</sup>	COPDGene 2019® Classification
	A	1.0 (ref.)	1.0 (ref.)	NO COPD
	B	1.31 (1.04-1.65)	1.05 (0.76-1.44)	Possible COPD
	C	1.42 (1.07-1.88)	1.55 (1.09-2.19)	
	D	0.92 (0.64-1.30)	1.48 (1.03-2.12)	
	E	1.74 (1.28-2.36)	1.90 (1.33-2.71)	Probable COPD
	F	1.02 (0.66-1.60)	2.62 (1.84-3.72)	
	G	2.11 (1.66-2.68)	1.76 (1.36-2.27)	
	H	2.82 (2.18-3.66)	5.18 (4.15-6.48)	Definite COPD

<sup>a</sup>Change in  $FEV_1$  assessment was done on 4925 participants who returned for Phase 2 clinical follow-up. Adjusted for age at first visit, sex, race, pack years, current smoking status, and baseline  $FEV_1$ .


<sup>b</sup>Mortality assessment was done on 8784 participants in the Phase 1 cohort. Data are implicitly adjusted for age, additionally adjusted for sex, race, pack years, and current smoking.

Bolded numbers indicate categories where the 95% CI did not include 1.0.

COPDGene®=COPD Genetic Epidemiology study; COPD=chronic obstructive pulmonary disease;  $FEV_1$ =forced expiratory in 1 second; HR=hazard ratio; CI=confidence interval

Key:  
 Black diamond=exposure  
 Blue diamond=abnormal CT imaging  
 Red diamond=abnormal spirometry  
 Purple diamond=symptoms

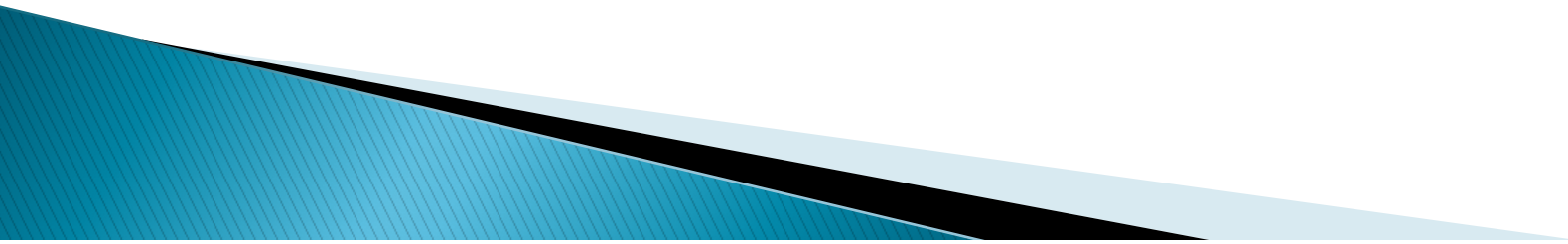
# COPD take-home points

- ▶ Multidimensional assessment
    - Symptoms and exacerbation risk
  - ▶ Bronchodilator first strategy
    - Dual vs. mono?
    - Minimize → target ICS use
  - ▶ Roflumilast or azithro for recurrent exacerbations
  - ▶ Unclear benefit of supplemental O<sub>2</sub> for desaturation with exercise
  - ▶ Lung volume reduction – valves or surgery
  - ▶ Redefining COPD
    - PRISM
    - Symptoms – consider screening?
    - CT abnormalities (e.g. LDCT)
- 

# Review question

- ▶ 65yo woman referred for COPD management following hospitalization for an exacerbation
- ▶ Antibiotics for “bronchitis” twice per year
- ▶ Dyspnea on exertion (MMRC 2)
- ▶ Current smoker ½ pack daily
- ▶ Medications: LAMA/LABA daily, albuterol PRN
  - She developed thrush on LABA-ICS
- ▶ FEV<sub>1</sub> 58% predicted
- ▶ O<sub>2</sub> sat 92% at rest, 88% with exertion
- ▶ CT chest: moderate–severe bilateral upper lobe predominant emphysema
- ▶ Eosinophil count 150/ul

In addition to smoking cessation counseling and pharmacotherapy, what would you do next?

- A. Prescribe supplemental oxygen with ambulation
  - B. Start roflumilast
  - C. Start azithromycin daily
  - D. Re-start ICS
  - E. Refer for endobronchial valves
- 

In addition to smoking cessation counseling and pharmacotherapy, what would you do next?

- A. Prescribe supplemental oxygen with ambulation – no benefit on exacerbations, may reduce exertional dyspnea symptoms
  - B. Start roflumilast – indicated if  $FEV_1 < 50\%$
  - C. Start azithromycin daily – ex-smokers
  - D. Re-start ICS
  - E. Refer for endobronchial valves –  $FEV_1 < 45\%$
- 