

# Dyspnea associated anxiety: pathophysiology and management

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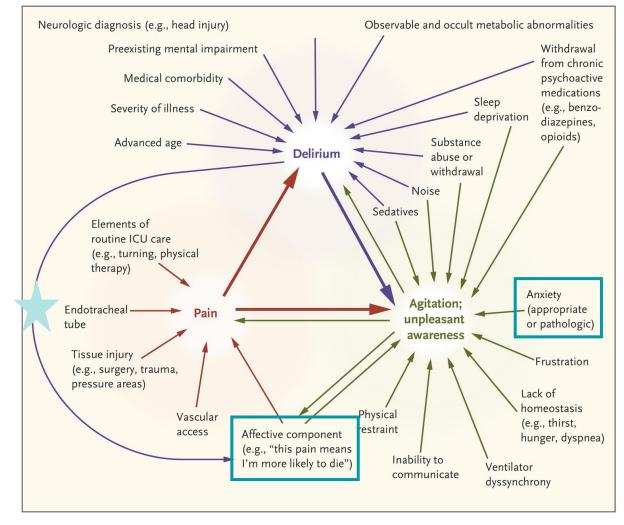
# Disclosures

I have no disclosures to report



# **Anxiety in Critical Illness**

- 30-80% of ICU patients experience anxiety <sup>1-3</sup>
- ICU patients associate anxiety with inability to communicate, sleep disturbances, and perceptual disturbances <sup>1</sup>
- Anxiety is significantly correlated to pain levels <sup>4</sup>
- No guidelines for measuring or treating anxiety in the ICU <sup>1</sup>



- 1. Kakar, E., Ottens, T., Stads, S. et al. Effect of a music intervention on anxiety in adult critically ill patients: a multicenter randomized clinical trial. j intensive care 11, 36 (2023).
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- Chlan LL. Description of anxiety levels by individual differences and clinical factors in patients receiving mechanical ventilatory support. Heart & Lung. 2003;32(4):275–282.
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- i. Figure: Reade, M. C et al. Sedation and Delirium in the Intensive Care Unit. NEJM. 2014. 370(5), 444–454



# Anxiety in Critical Illness: Differential Diagnosis

## **Anxiety Disorder**

- Pre-morbid: Generalized Anxiety Disorder, Panic Disorder
- Adjustment disorder with anxiety
- Anxiety due to another medical condition

## **Manifestation of Medical Condition**

- Pulmonary Disease: Hypoxia, Hypercapnia, Hyperventilation
- Delirium

## **Medication side effect**

Steroids, Antibiotics, Beta-Agonists

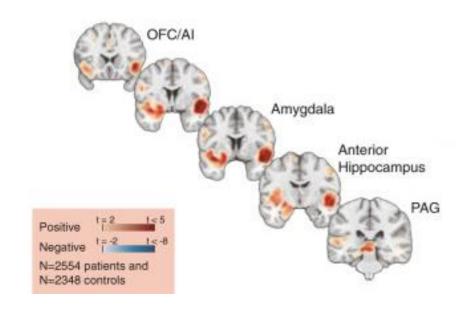
## Substance/medication withdrawal

Nicotine, GABA-ergic, Alpha-2 agonist withdrawal



# Anxiety & Delirium

- Anxiety associated with increased activity in periaqueductal gray, amygdala, thalamus<sup>1</sup>
- Anxiety modulated by the prefrontal cortex (PFC)<sup>1</sup>
- fMRI during delirium: disorganization, less efficient resting-state network during delirium<sup>2</sup>
- Delirium --> decreased ability to attenuate anxiety





<sup>.</sup> Kenwood MM, Kalin NH, Barbas H. The prefrontal cortex, pathological anxiety, and anxiety disorders. Neuropsychopharmacology. 2022 Jan;47(1):260-275. doi: 10.1038/s41386-021-01109-z. Epub 2021 Aug 16. Erratum in: Neuropsychopharmacology. 2022 Apr;47(5

# Pulmonary disease and anxiety

## **Asthma:**

 Adults with asthma have 50% higher likelihood of having depressive or anxiety disorder compared to healthy population <sup>1</sup>

## **COPD**

- Prevalence of 34% of patients with COPD <sup>2</sup>
- Pts with COPD are 10x higher likelihood of having panic disorder<sup>3</sup>

## **Cystic Fibrosis:**

Symptoms of anxiety in 32% of adults <sup>4</sup>

## **Quality of life**

- Anxiety associated with higher levels of dyspnea and lower quality of life in pts with COPD<sup>2</sup>
- Untreated anxiety associated with increased emergency healthcare utilization in patients with chronic respiratory disease <sup>2</sup>

<sup>1.</sup> Scott KM, Von Korff M, Ormel J, et al. Mental disorders among adults with asthma: results from the World Mental Health Survey. Gen Hosp Psychiatry 2007;29(2):123–33.

<sup>2.</sup> Yohannes, A. M., Casaburi, R., Dryden, S., & Hanania, N. A. (2022). The effectiveness of pulmonary rehabilitation on chronic obstructive pulmonary disease patients with concurrent presence of comorbid depression and anxiety. Respiratory Medicine, 197, 106850

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Quittner AL, Goldbeck L, Abbott J, et al. Prevalence of depression and anxiety in patients with cystic fibrosis and parent caregivers: results of the international depression epidemiological study across nine countries. Thorax 2014;69(12): 1090-

# Dyspnea

## "subjective experience of breathing discomfort" 1

- Dyspnea affects half of mechanically ventilated patients <sup>2</sup>
- Described as one of worst ICU-related memories<sup>3</sup>
- Associated with increased risk of PTSD<sup>4</sup>
- Associated with increased length of ICU stay and delayed extubation <sup>4</sup>

Image: Yohannes AM, Junkes-Cunha M, Smith J, Vestbo J. Management of Dyspnea and Anxiety in Chronic Obstructive Pulmonary Disease: A Critical Review. J Am Med Dir Assoc. 2017 Dec 1;18(12):1096.e1-1096.e17. doi: 10.1016/j.jamda.2017.09.007.



<sup>1.</sup> Parshall MB, Schwartzstein RM, Adams L, et al. An official American Thoracic Society statement: update on the mechanisms, assessment, and management of dyspnea. Am J Respir Crit Care Med. 2012;185(4):435–52. doi:10.1164/rccm.201111-2042ST.

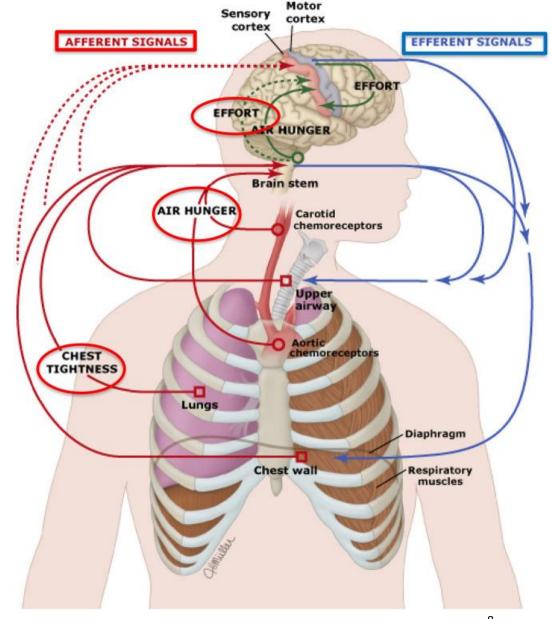
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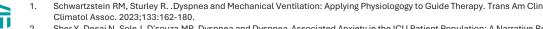
<sup>8.</sup> Rotondi AJ, Chelluri L, Sirio C, Mendelsohn A, Schulz R, Belle S, et al. Patients' recollections of stressful experiences while receiving prolonged mechanical ventilation in an intensive care unit. Crit Care Med 2002;30:746–752

Schmidt M, Demoule A, Polito A et al (2011) Dyspnea in mechanically ventilated critically ill patients. Crit Care Med 39:2059–2065.

# Dyspnea: Mechanisms

- Work of breathing
  - Cortico-brainstem-muscle connection
- Air Hunger
  - Chemoreceptor sensitivity
- Chest Tightness
  - Stretch receptor activation



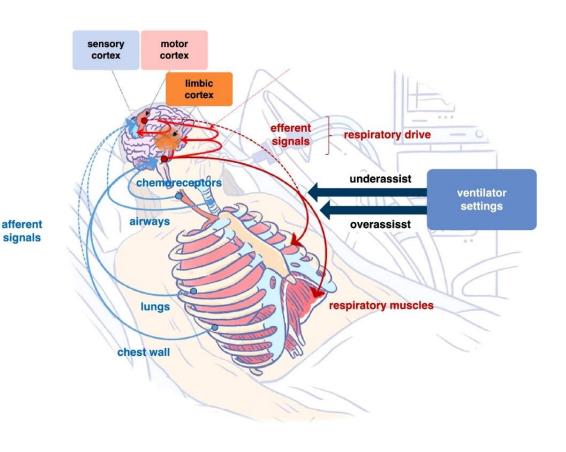


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# Dyspnea Associated Anxiety

 47% of ICU patients reported dyspnea on the first day they were able to communicate <sup>1</sup>

- Dyspnea was significantly associated with:
  - Anxiety (OR: 8.84)
  - Assist-control ventilation (OR: 4.77)





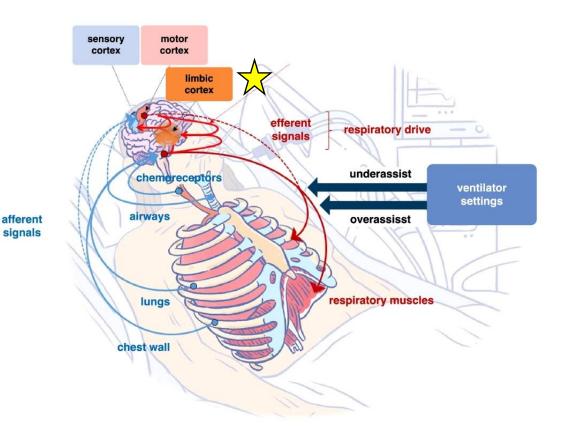
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<sup>2.</sup> Figure: Demoule, A., Decavele, M., Antonelli, M. et al. Dyspnoea in acutely ill mechanically ventilated adult patients: an ERS/ESICM statement. Intensive Care Med 50, 159–180 (2024).

# Dyspnea and Anxiety Interplay

## **Physically Sensitive:**

 ↑ CO2 and ↓ pH (respiratory acidosis) leads to activation of the locus coeruleus, hypothalamus, ventrolateral medulla (limbic system) involved in both ventilatory control and in panic <sup>1</sup>

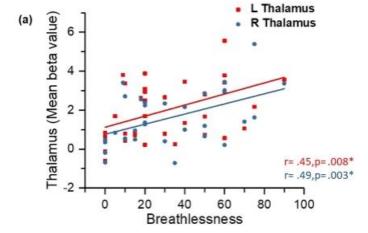


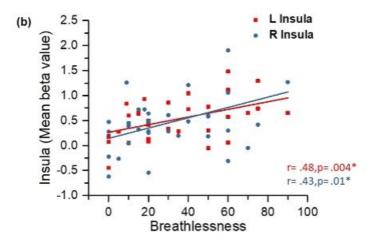


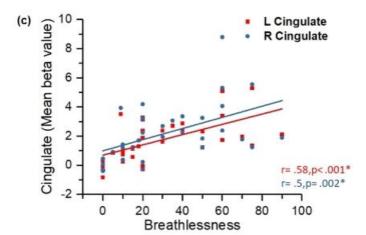
# Dyspnea and Anxiety Interplay

## **Physically Sensitive:**

- fMRI during inspiratory mechanical occlusion compared between high and low anxiety individuals found:
  - breathlessness significantly associated with activation of bilateral thalamus, bilateral insula and bilateral cingulate gyrus (emotion-related areas)
  - Increased activation in high-anxiety individuals









# Dyspnea and Anxiety Interplay

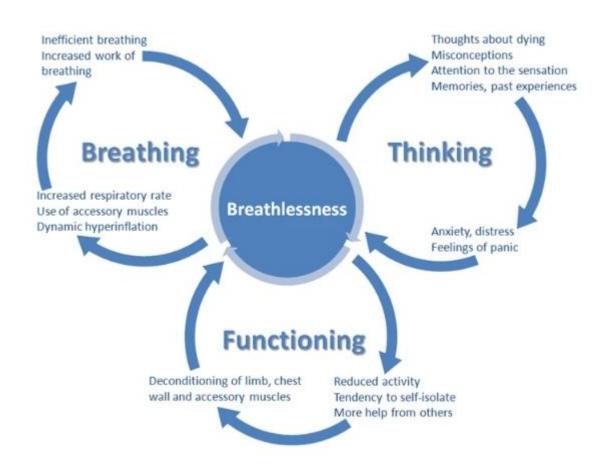
## **Cognitive Response**

## Catastrophic Interpretation:

 Pts with COPD and Panic Disorder (PD) have heightened symptom perception & misinterpretations of physical sensations vs COPD w/o PD with similar respiratory condition severity <sup>1</sup>

## Positive Feedback Loop

Anxiety may lead to hyperventilation<sup>2</sup>



<sup>1.</sup> Livermore N, Sharpe L, McKenzie D. Panic attacks and panic disorder in chronic obstructive pulmonary disease: a cognitive behavioral perspective. Respir Med 2010;104(9):1246–53

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Figure 1: Spathis, A., Booth, S., Moffat, C. et al. The Breathing, Thinking, Functioning clinical model: a proposal to facilitate evidence-based breathlessness management in chronic respiratory disease. npj Prim Care Resp Med 27, 27 (2017).

# Pulmonologist, RN, RT, PT/OT, Psychiatry, Psychology

- Support the acute stress experience
- Help to manage distress-associated anxiety
- Manage post-traumatic disorders months after ICU discharge
- Manage dark recollections of ICU stay

# Dyspnea in critically ill mechanically ventilated patient

#### Nurse

- Detect and report dyspnea
- Empathy, reassurance
- First-line check-list for dyspnea risk factors
- Tracheal suctioning if necessary
- Initiate non-pharmacologic interventions such as air flux to face and relaxing music
- Optimize patient position in bed

### **Physician**

- Detect and report dyspnea
- · Search for the cause of dyspnea
- Rule out an emergency
- · Identify a cause that could be treated
- Opmizes ventilator settings to relieve dyspnea (35% success)
- Pharmacological treatment

# Physiotherapist and occupational therapist

- Promote rehabilitation that considers dyspnea
- Improves respiratory function
- · Optimize patient position in bed

### Respiratory therapist

in countries where this profession exists

- Assesses dyspnea
- Optimizes ventilator settings to relieve dyspnea (35% success)
- Restore patient-ventilator synchrony
- Bronchodilators in case of increased respiratory system resistance
- · Optimize patient position in bed

Teamwork management of dyspnea in critically ill mechanically ventilated patients



# Interventions: Dyspnea

## Ventilator Settings

 Adjusting ventilatory setting improved dyspnea in 35% of patients <sup>1</sup>

## Oxygen

- Decreases hypoxic ventilatory drive
- ↓peripheral chemoreceptor activity <sup>2</sup>

## Opioids

- Most evidence for morphine <sup>2,3</sup>
- Decreases respiratory drive via mu opioid receptor: sensitivity of brainstem respiratory centers to hypoxia, hypercapnia
- Diminished mismatch between demand and ability to breathe

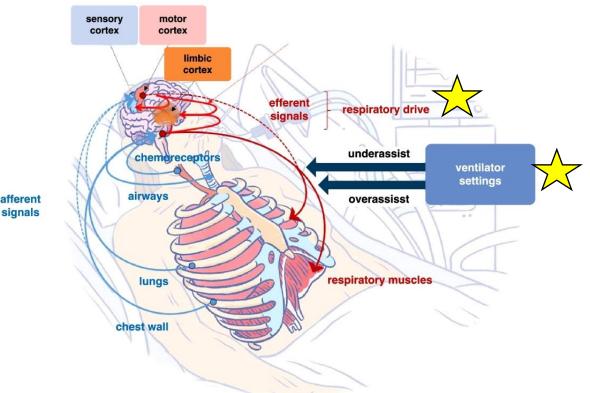


Table 3. Effects of adjusting ventilator settings in the patients reporting dyspnea

Parameter	Mean Variation, cm (95% Confidence Interval)	p
Dyspnea VAS	-4.6 (-6.1 to -3.2)	.0005
Anxiety VAS	-1.7 (-3.3 to -0.2)	.041
Pain VAS	+0.3 (-0.7 to +1.2)	.79

VAS, visual analogic scale.

Ventilator settings were considered involved in the pathogenesis of dyspnea if and when the postintervention VAS rating was inferior by at least 1 cm to the preintervention one.



<sup>1.</sup> Schmidt M, Demoule A, Polito A, et al: Dyspnea in mechanically ventilated critically ill patients. Crit Care Med 2011; 39:2059–2065 23.

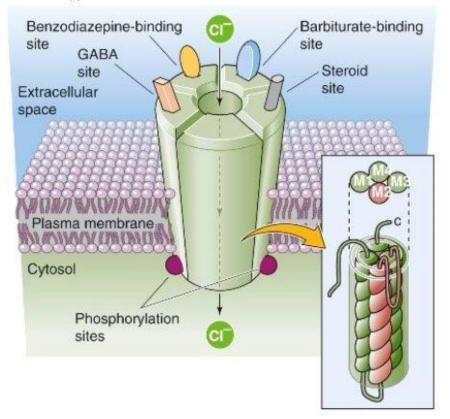
<sup>2.</sup> Figre: Demoule, A., Decavele, M., Antonelli, M. et al. Dyspnoea in acutely ill mechanically ventilated adult patients: an ERS/ESICM statement. Intensive Care Med 50, 159-180 (2024)

<sup>.</sup> Vargas-Bermu dez A, Cardenal F, Porta-Sales J. Opioids for the management of dyspnea in cancer patients: evidence of the last 15 years—a systematic review. J Pain Palliat Care Pharmacother 2015: 29:341–352.

## Benzodiazepines

- Allosteric modulators of GABA receptors; increase transmission via GABA receptors
- Effective in Anxiety; may be helpful for Dyspnea associated anxiety <sup>1</sup>
- Little evidence for treatment of dyspnea<sup>2</sup>
- Caution in pts with marginal respiratory reserve
- Caution in delirium
  - Independent risk factor in transitioning to delirium<sup>3</sup>

#### E GABAA RECEPTOR CHANNEL



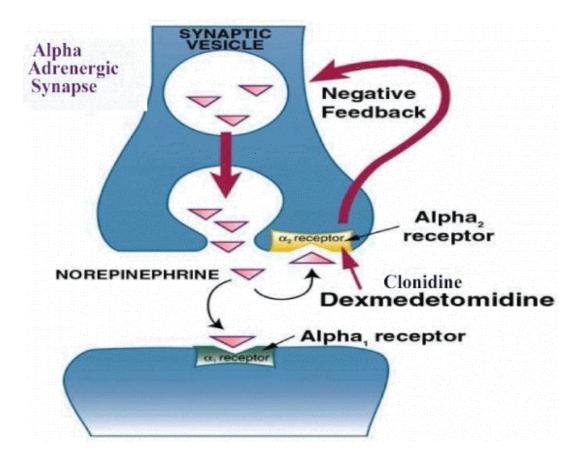


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Figure: Goldschen-Ohm MP. Benzodiazepine Modulation of GABAA Receptors: A Mechanistic Perspective. Biomolecules. 2022 Nov 30;12(12):1784.

**Alpha 2 receptor Agonist:** Dexmedetomidine, Clonidine, Guanfacine

- Decrease pre-synaptic release of norepinephrine;
  decrease activation of sympathetic CNS activity
- Helpful for anxiety

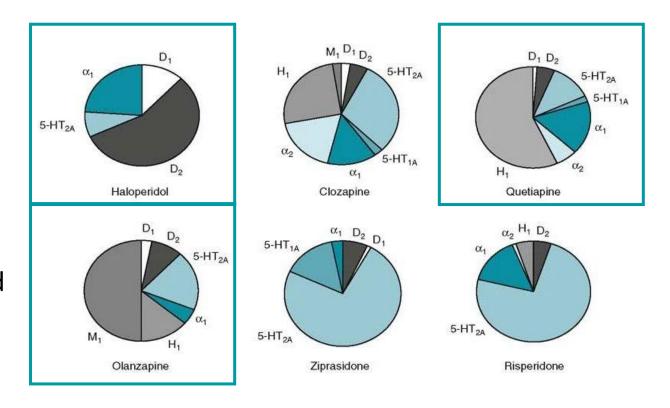


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## Antipsychotics<sup>1</sup>

- Block dopamine receptor; additional antihistaminergic, serotonin modulating effects
- Caution with Alpha-1 antagonism; anticholinergic activity, Qtc prolongation
- Case report for dyspnea: Chlorpromazine<sup>2</sup>
- \*Quetiapine: sedating: H1, Alpha-1, mild Alpha-2
- Olanzapine: sedating: Anticholinergic, H1, Alpha-1
- Haloperidol: D2 blockade; little adrenergic





<sup>1.</sup> Sher Y, Desai N, Sole J, D'souza MP. Dyspnea and Dyspnea-Associated Anxiety in the ICU Patient Population: A Narrative Review for CL Psychiatrists. J Acad Consult Liaison Psychiatry. 2024 Jan-Feb;65(1):54-65

<sup>2.</sup> O'Neill PA, Morton PB, Stark RD: Chlorpromazine-a spe cific effect on breathlessness? Br J Clin Pharmacol 1985; 19:793–797

Figure: There are important differences between atypical antipsychotics in the relative risk of adverse effects. (2008). Drugs & Therapy Perspectives: for Rational Drug Selection and Use, 24(10), 19–22.

## **Antihistaminergic medications**

- Hydroxyzine: helpful for anxiety, though no evidence in dyspnea in the ICU or dyspnea associated anxiety <sup>1</sup>
- Quetiapine (at low doses <300 mg)</li>
- Trazodone (mild H1 activity)



## Serotonin modulators

- Increase availability of serotonin
- Helpful for anxiety, little evidence for dyspnea associated anxiety
- \*Use when known pre-morbid anxiety disorder
- SSRI:
  - 2011 Cochrane review: no conclusive evidence for managing breathlessness or anxiety (Paroxetine associated with decreased anxiety and increased exercise tolerance) <sup>1</sup>
  - RCT comparing sertraline vs placebo found no difference in breathlessness between sertraline and placebo<sup>2</sup>
- Buspirone (5HT1 agonist)
  - RCT of 432 cancer patients found addition of buspirone did not result in significant improvement in dyspnea or anxiety <sup>3</sup>



(2016)

Currow, D. C et al. (2019). Sertraline in symptomatic chronic breathlessness: a double blind, randomised trial. European Respiratory Journal/"The œEuropean Respiratory Journal, 53(1), 1801270.
 Peoples, A.R., Bushunow, P.W., Garland, S.N. et al. Buspirone for management of dyspnea in cancer patients receiving chemotherapy: a randomized placebo-controlled URCC CCOP study. Support Care Cancer 24, 1339–1347

- Antipsychotics (Quetiapine, Haloperidol, Olanzapine)
- Antihistaminergic Agents (Hydroxyzine, low dose Quetiapine)
- Benzodiazepines (Lorazepam, Alprazolam)\*
- Alpha-2 Agonists (Dexmedetomidine, Clonidine, Guanfacine)
- Gabapentinoids (Pregabalin, Gabapentin)
- Serotonergic: SSRI, Buspirone
- Pre-morbid Anxiety Disorder:
  - Consider SSRI augmentation or increase
- \*Co-morbid Delirium:
  - Avoid Benzodiazepines

- Assess efficacy at expected peak level depending on route (IV/IM/PO)
- Optimize dose before switching agents
- Consider dosing prior to tasks (e.g. physical therapy)



# Non-Pharmacological Interventions

## **Acute Interventions**

- Pursed lip breathing 1,2
- Positional Changes<sup>3</sup>
- Air flow/Fan 4,5
- Music therapy <sup>6</sup>
- Education, reassurance, validation



Fan therapy consists of holding the fan at a convenient distance from the face and directing the wind to the areas innervated by the second and third branches of the trigeminal nerve.

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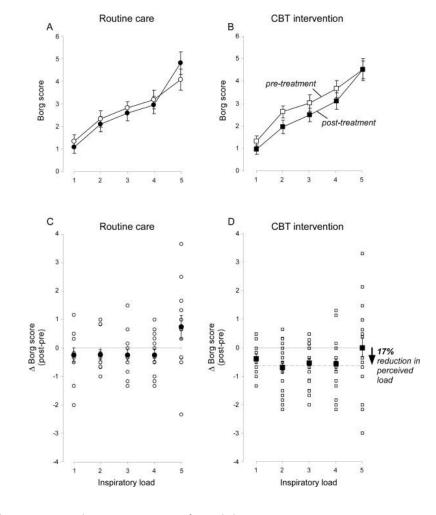
## **Outpatient Interventions**

## Pulmonary Rehabilitation

- Improves dyspnea and anxiety <sup>1,2</sup>
- Superior improvement in dyspnea in patients with co-morbid anxiety and depression <sup>1</sup>

## Cognitive Behavioral Therapy (CBT)

 Meta-analysis of 16 RCTs found significant improvement in anxiety, QOL, ED visits after CBT<sup>3</sup>



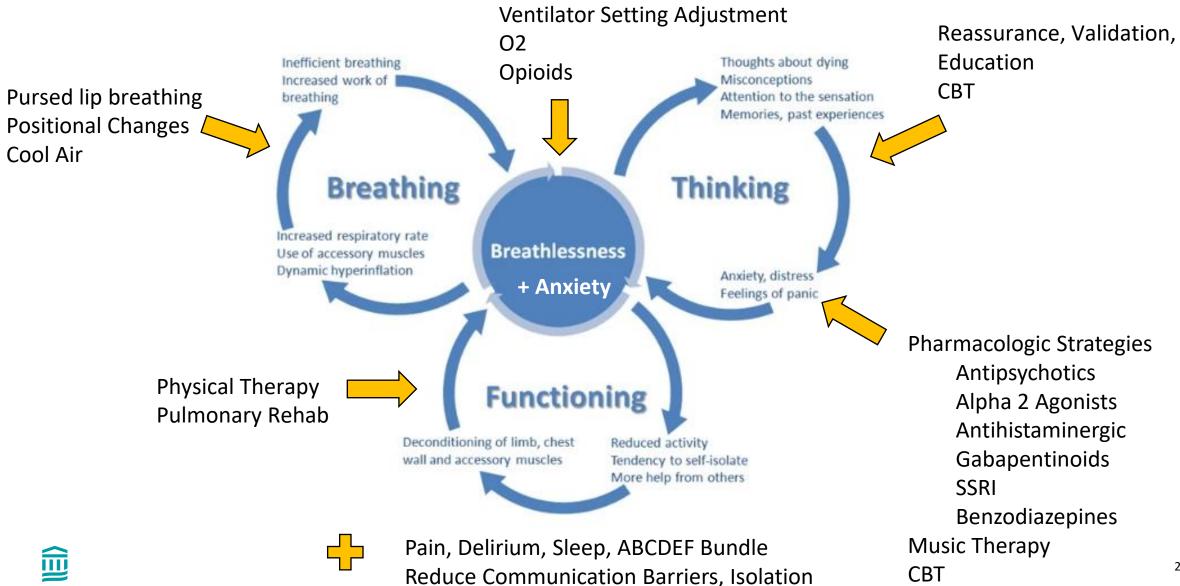
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<sup>2.</sup> Tselebis A et al. A pulmonary rehabilitation program reduces levels of anxiety and depression in COPD patients. Multidiscip Respir Med. 2013 Jun 22;8(1):41. doi: 10.1186/2049-6958-8-41.

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# Thank you!



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