



Brigham and Women's Hospital
Founding Member, Mass General Brigham

Obstructive Sleep Apnea

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- Sleep Fellowship @ **Henry Ford Hospital**, Detroit, MI
- **Director, BWH Sleep Disorders Clinic**
- **Clinical and Research interest: OSA epidemiology and outcomes, CPAP**

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- Conflict of Interest: None
 - Disclosures-None





OSA in
Pulmonary
Disorders

Endotypes

Less well
appreciated
symptoms

Sleep
Studies

PAP therapy

Other
therapies

Prevalence of OSA: Adults 30–70 years of age

Severity of OSA	Prevalence (%)
None (AHI <5)	67.3
Mild (AHI 5–14)	21.4
Moderate to Severe (AHI ≥15)	11.3

Prevalence of Significant SDB in Cardiovascular Disease

30% of cardiac disease patients

50% of CHF patients

60% of acute MI patients

60% of stroke survivors

83% of drug resistant hypertension patients

Sleep Apnea and Obstructive Lung Disease

OSA

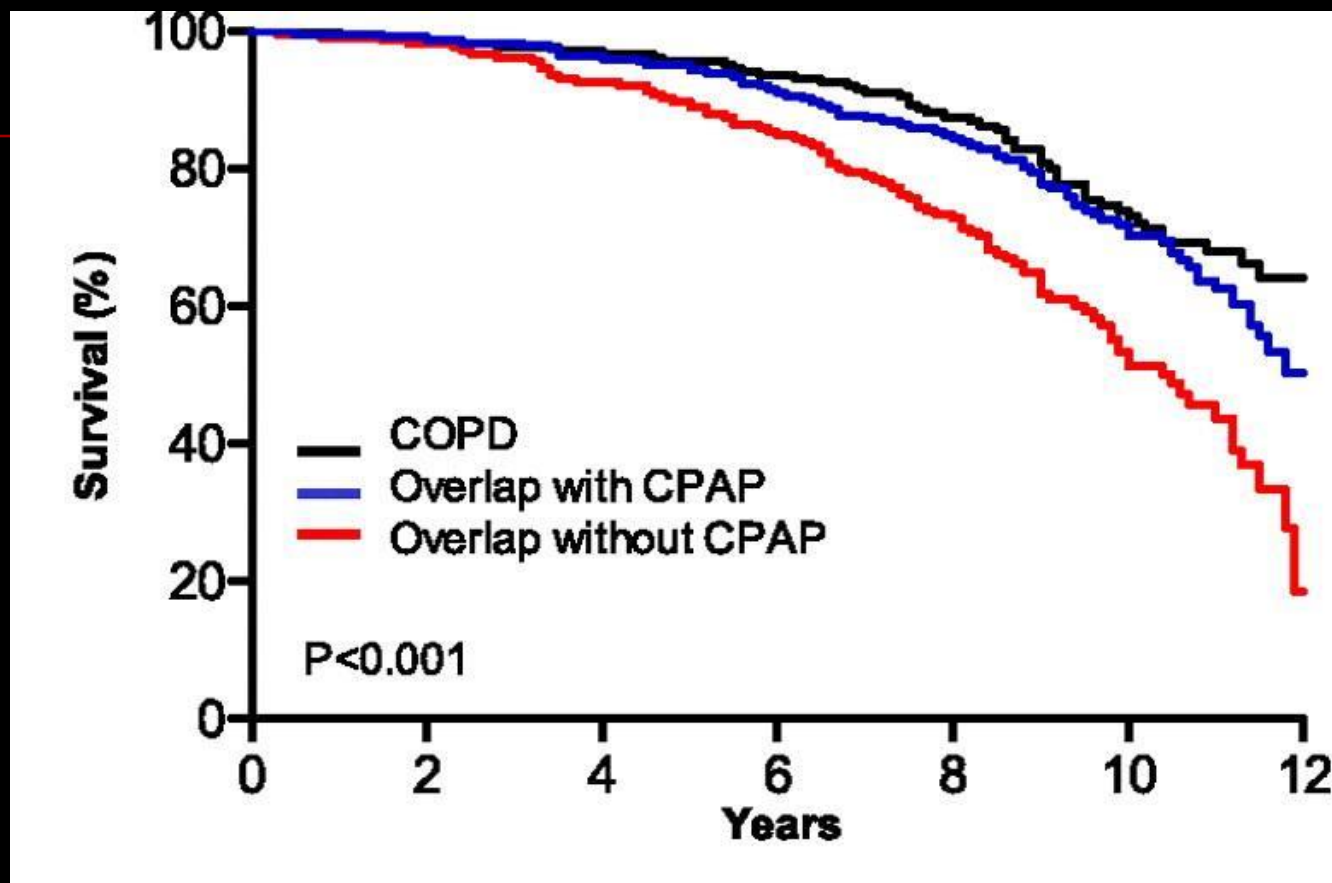
- Hypoxia
- Inflammation
- Worse GERD from negative intrathoracic pressure
- Nasal congestion from PAP therapy
- Poor sleep contributing to mood disturbances: smoking

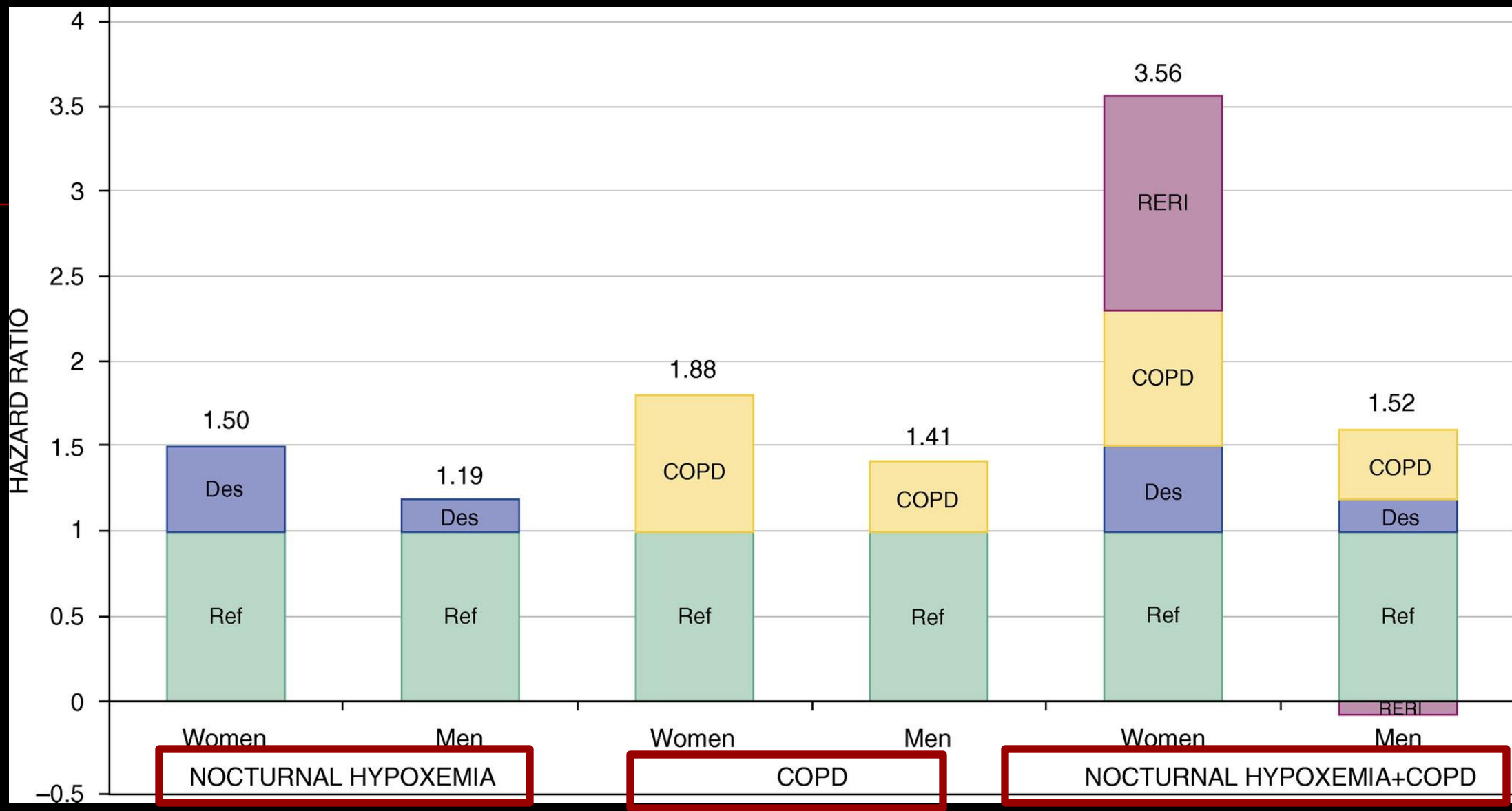
COPD

- Loss of elastic recoil: increased upper airway collapsibility
- Increased upper airway edema from cor pulmonale

Asthma

- Augmented inspiratory negative intraluminal pressure: pharyngeal airway collapse





Treatment of Nocturnal Asthma

Optimize medical management (Steroids, LABA, LTI).

Evaluate for and treat Sleep Apnea.

Diagnose and treat GERD.

Diagnose and treat rhinitis.

Risk factor modification

Idiopathic Pulmonary Fibrosis

AHI was <5 in
14 (41%)

AHI 5–15 in 15
(44%)

59%

AHI \geq 15/h in 5
(15%)

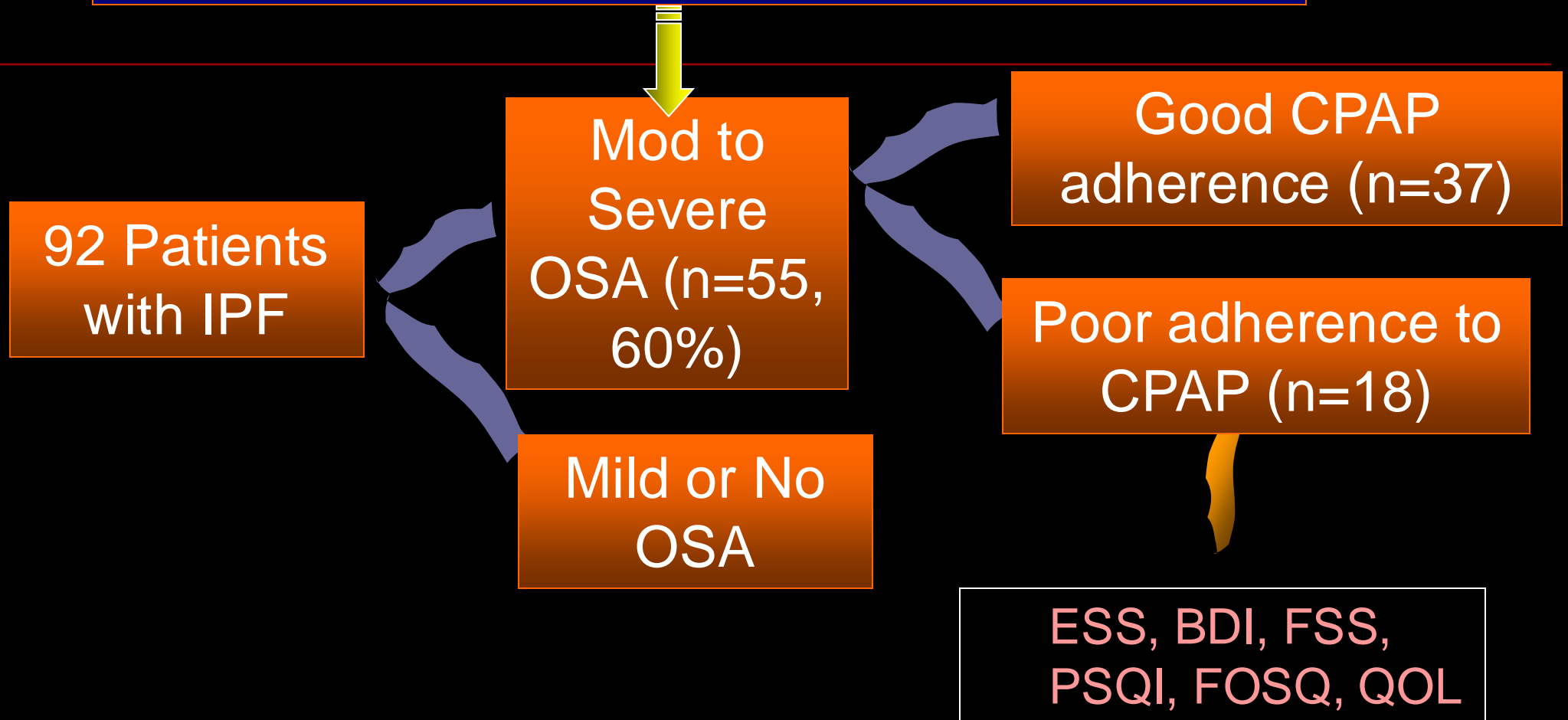
AHI was <5 in
14 (12%)

AHI 5–15 in 10
(20%)

88%

AHI \geq 15/h in 34
(68%)

Comparison of outcomes after 1 year
based on adherence to CPAP therapy



Good CPAP compliance group

ESS Epworth
Sleepiness Scale

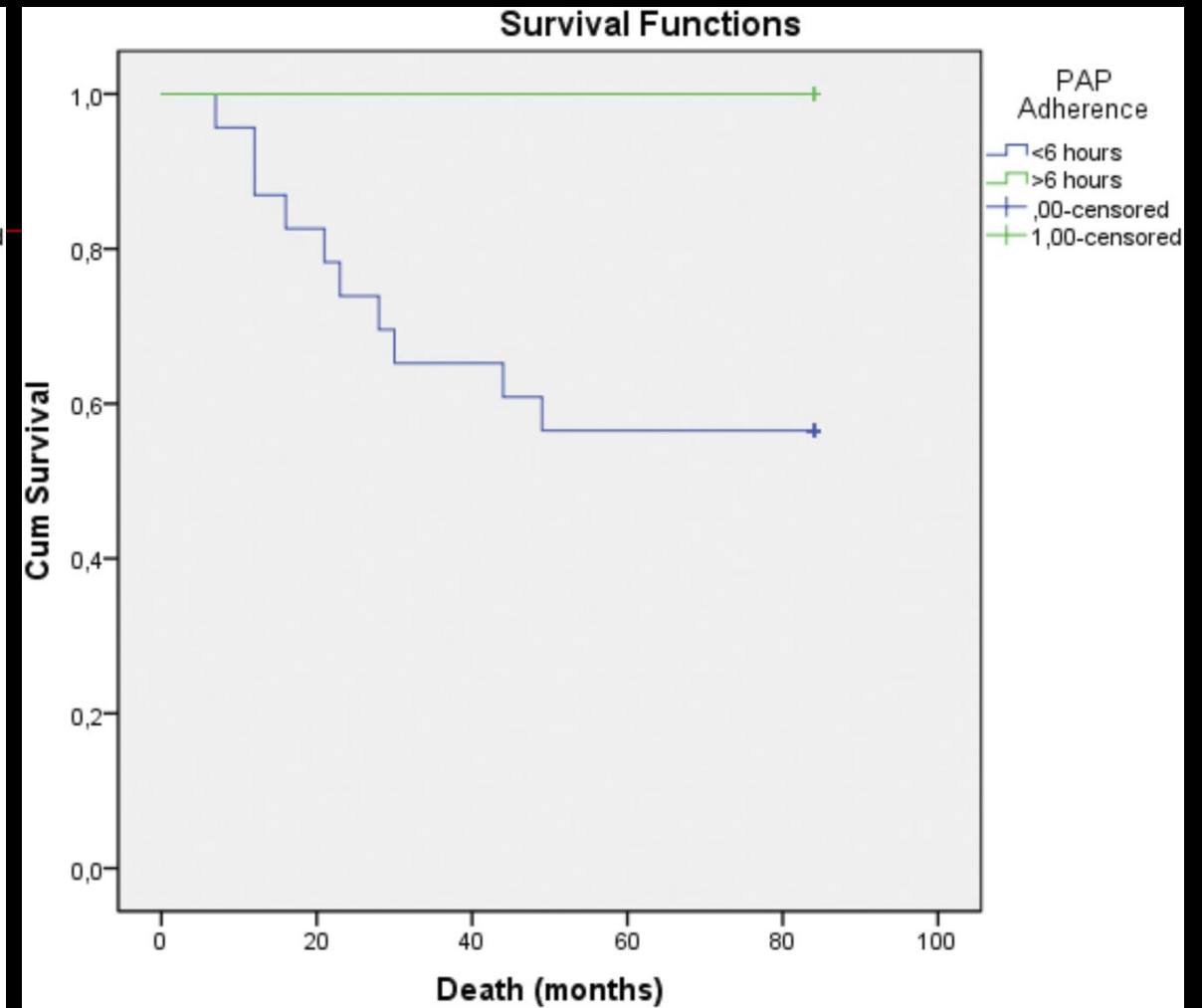
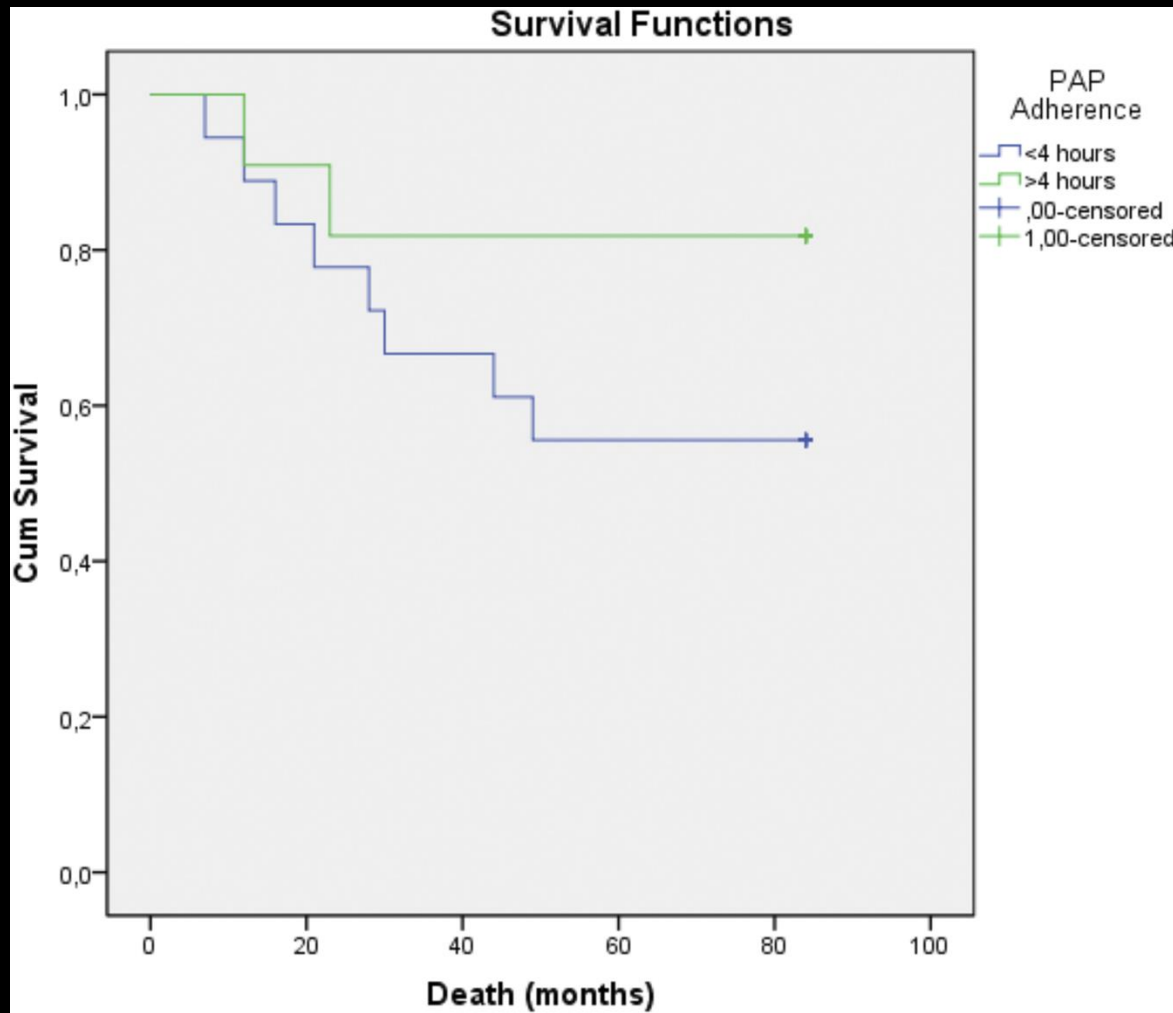
BDI Beck Depression
Inventory

PSQI Pittsburgh Sleep
Quality Index

FSS Fatigue Severity Scale

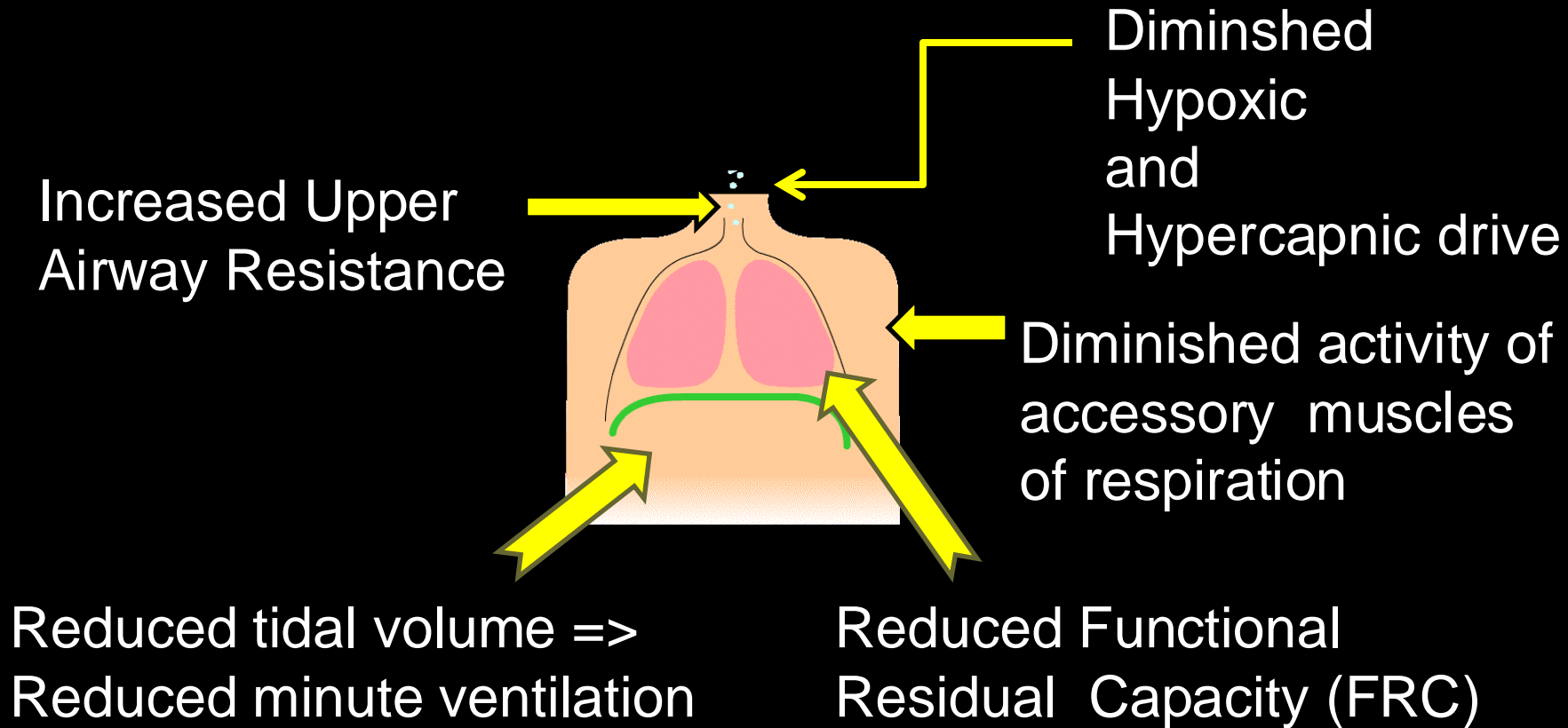
SF-36 Short-Form 36 Health
Survey

FOSQ Functional Outcomes
of Sleep Questionnaire

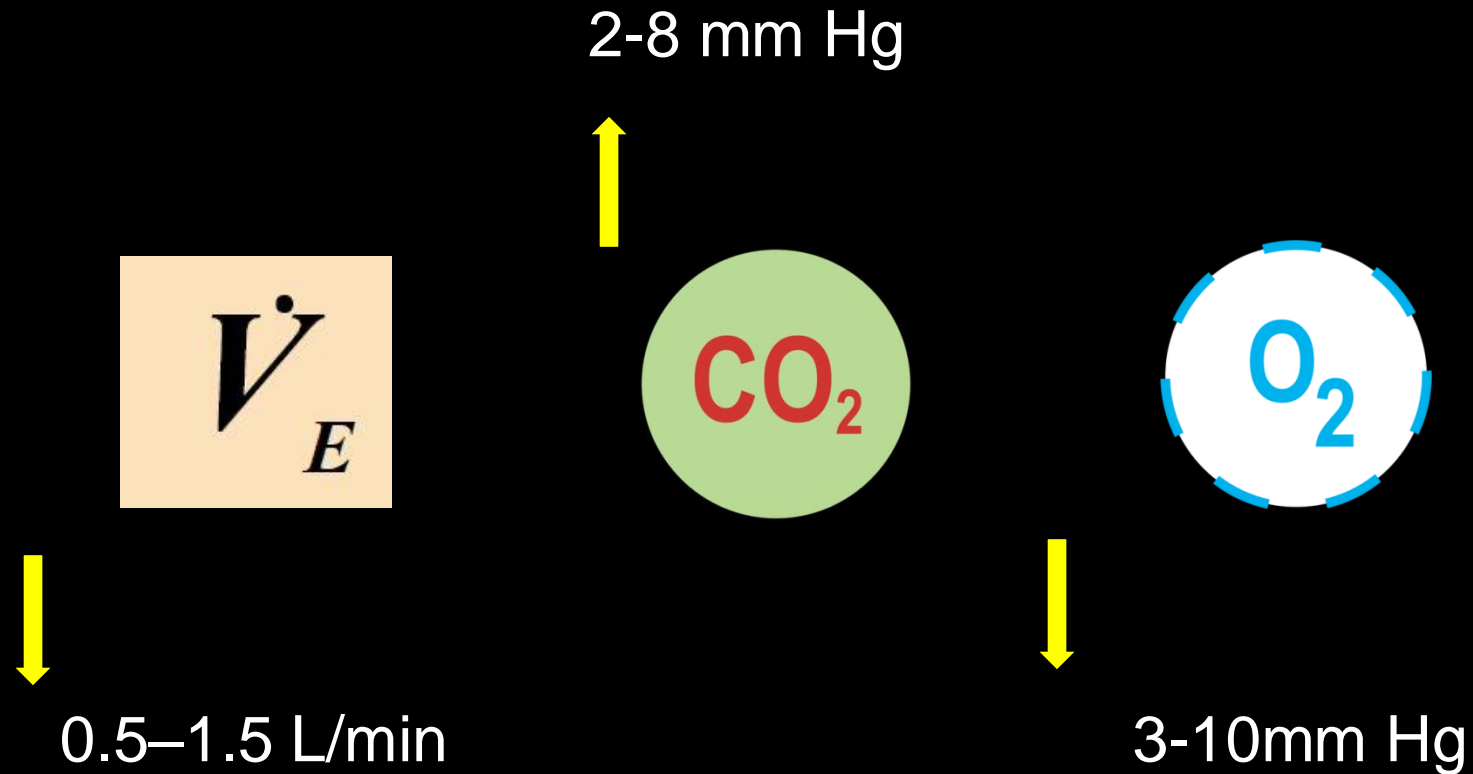


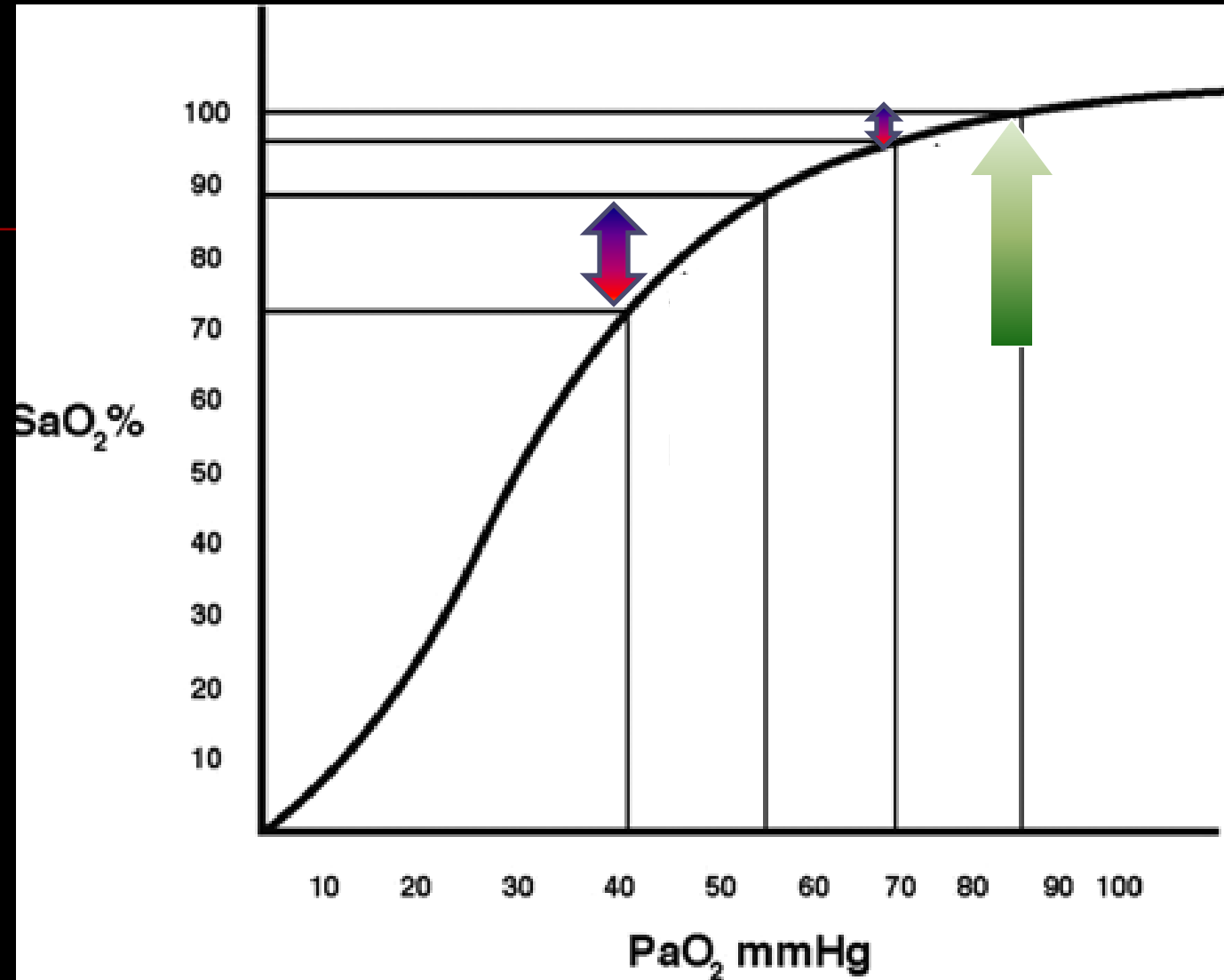
Papadogiannis, George, et al. "Patients with idiopathic pulmonary fibrosis with and without obstructive sleep apnea: differences in clinical characteristics, clinical outcomes, and the effect of PAP treatment." Journal of Clinical Sleep Medicine 17.3 (2021): 533-544.

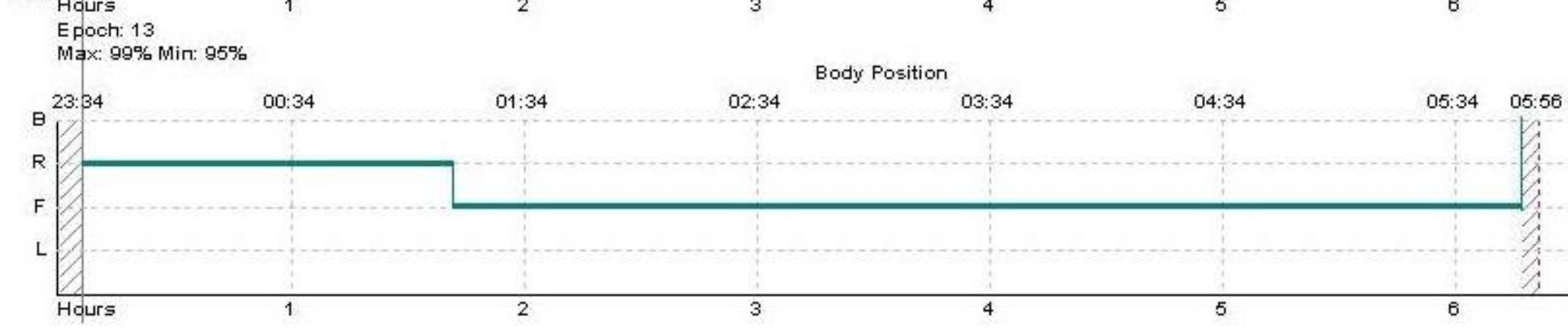
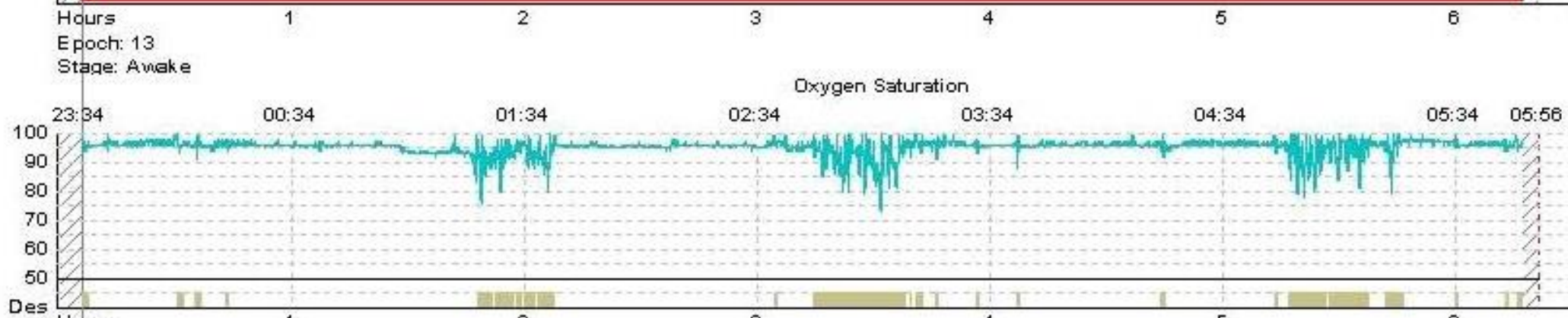
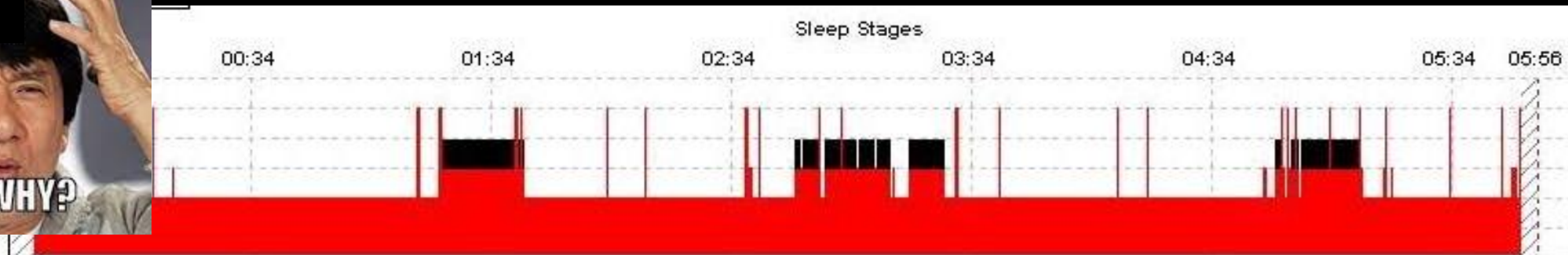
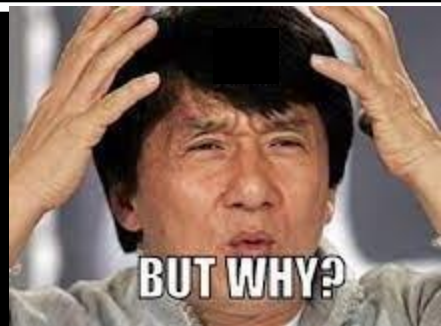
Physiological Changes during Sleep



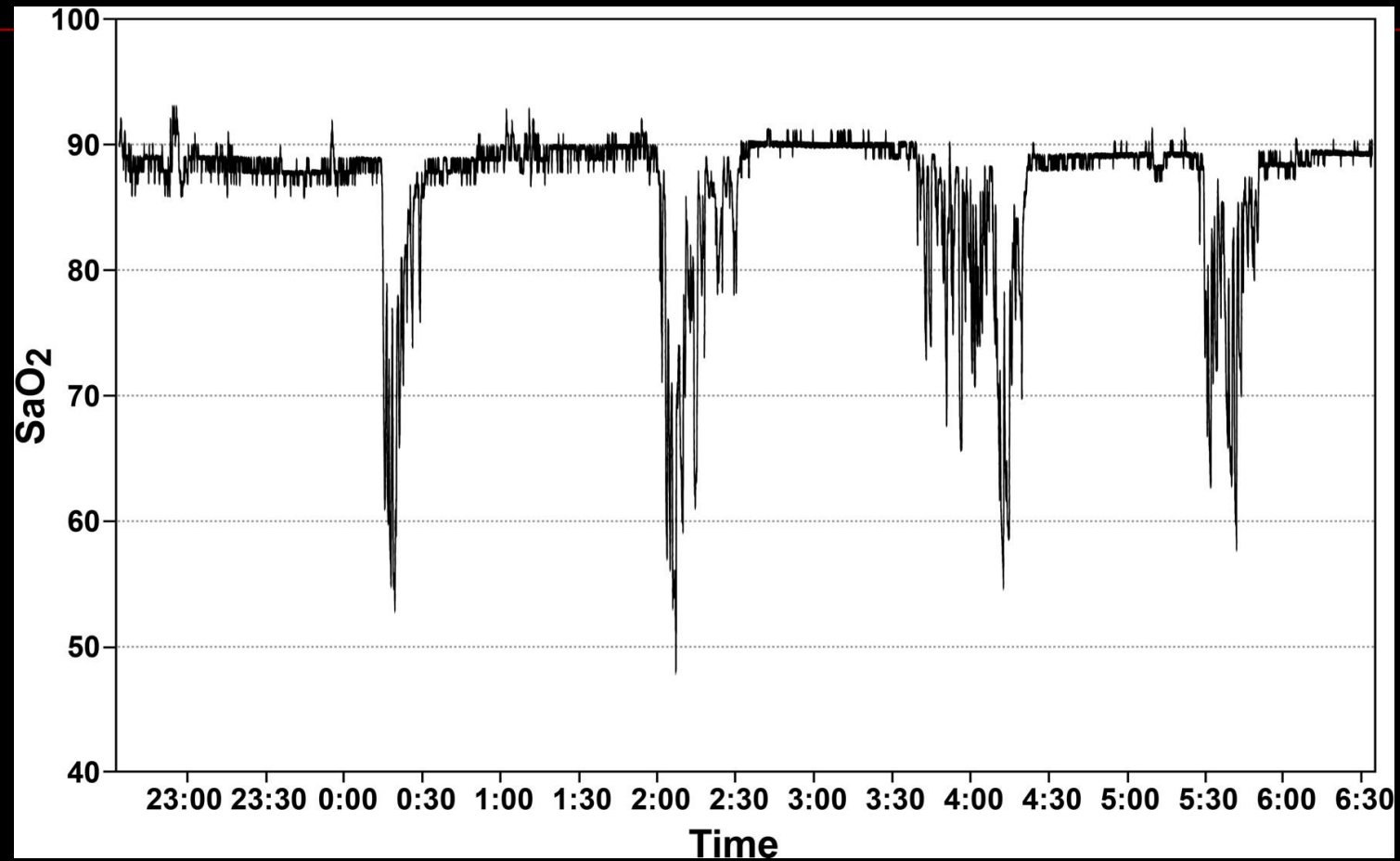
Changes during Sleep







Nocturnal Oximetry in Lung Disease



Nocturnal Hypoxemia



Decreased daytime energy levels



Impaired social and physical functioning

END OF CHAPTER 1:

Sleep in Pulmonary Disorders

BEGINNING OF CHAPTER 2:

Pathophysiology of sleep apnea

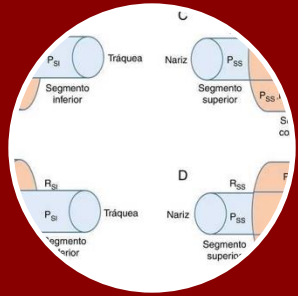
Obesity and OSA

10% weight gain: 32% increase in AHI

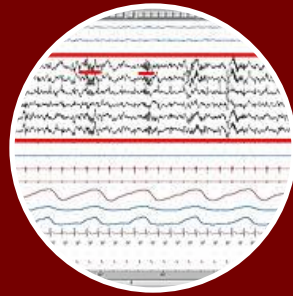
10% weight loss: 26% decrease in AHI

20-40% are not obese

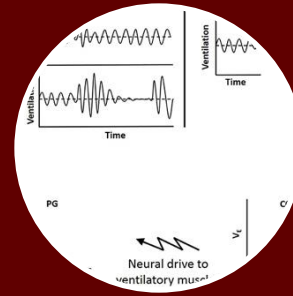
Sleep Apnea Endotypes (PALM)



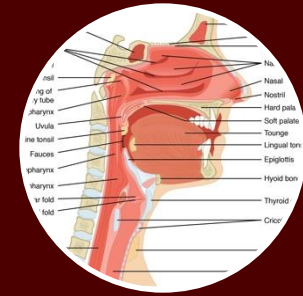
Pharyngeal
Critical Closing
Pressure (P_{crit})



(Low) **A**rousal
Threshold



(High) **L**oop
Gain

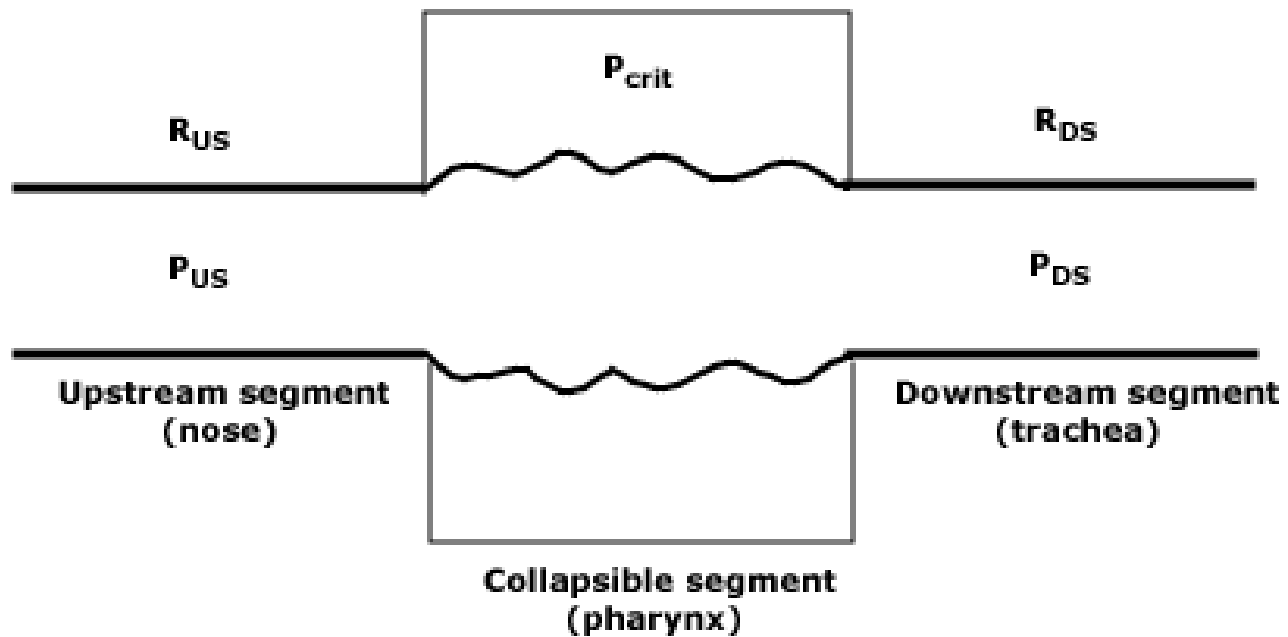


(Poor) Upper
Airway **M**uscle
Function



P_{crit}

Starling Resistor Model of Upper Airway



- -12 to -2 cm H₂O

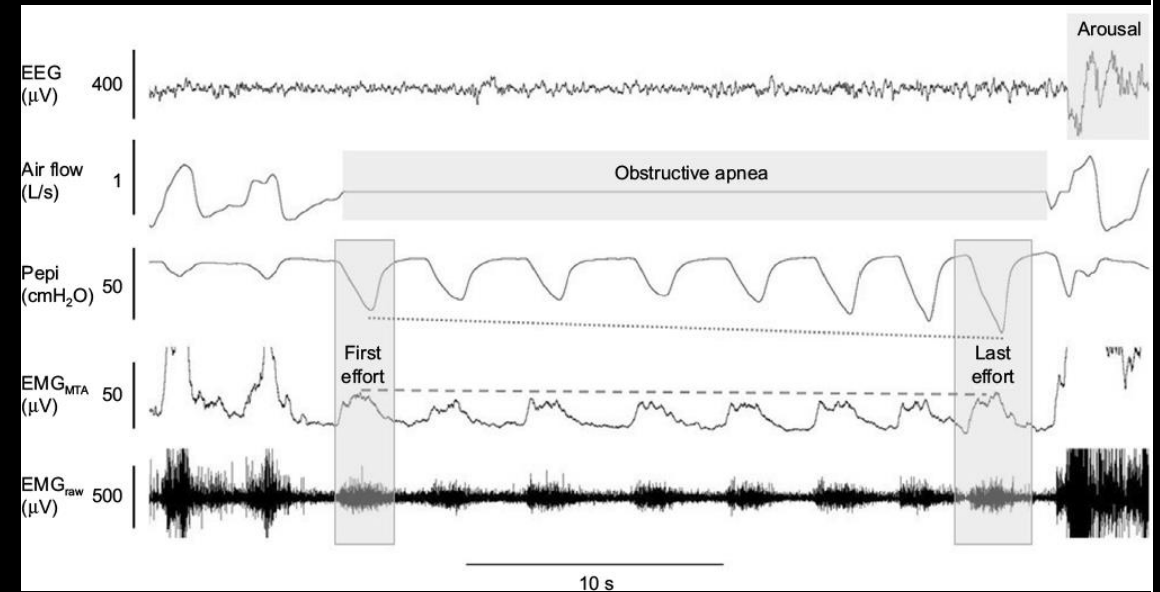
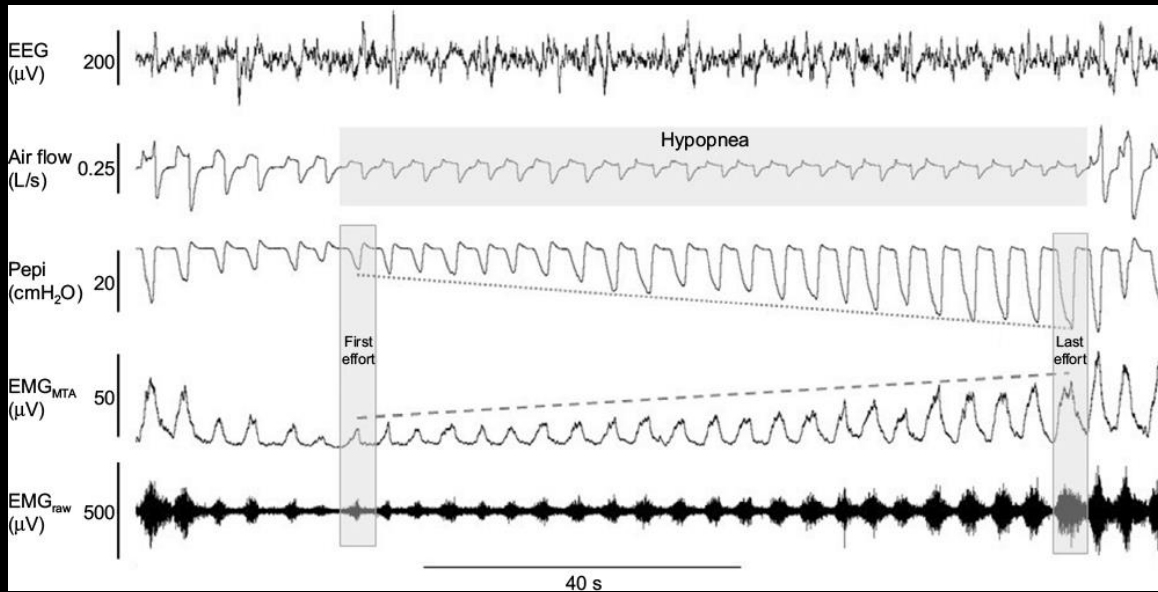
Hypopnea

- -4 to +1 cm H₂O

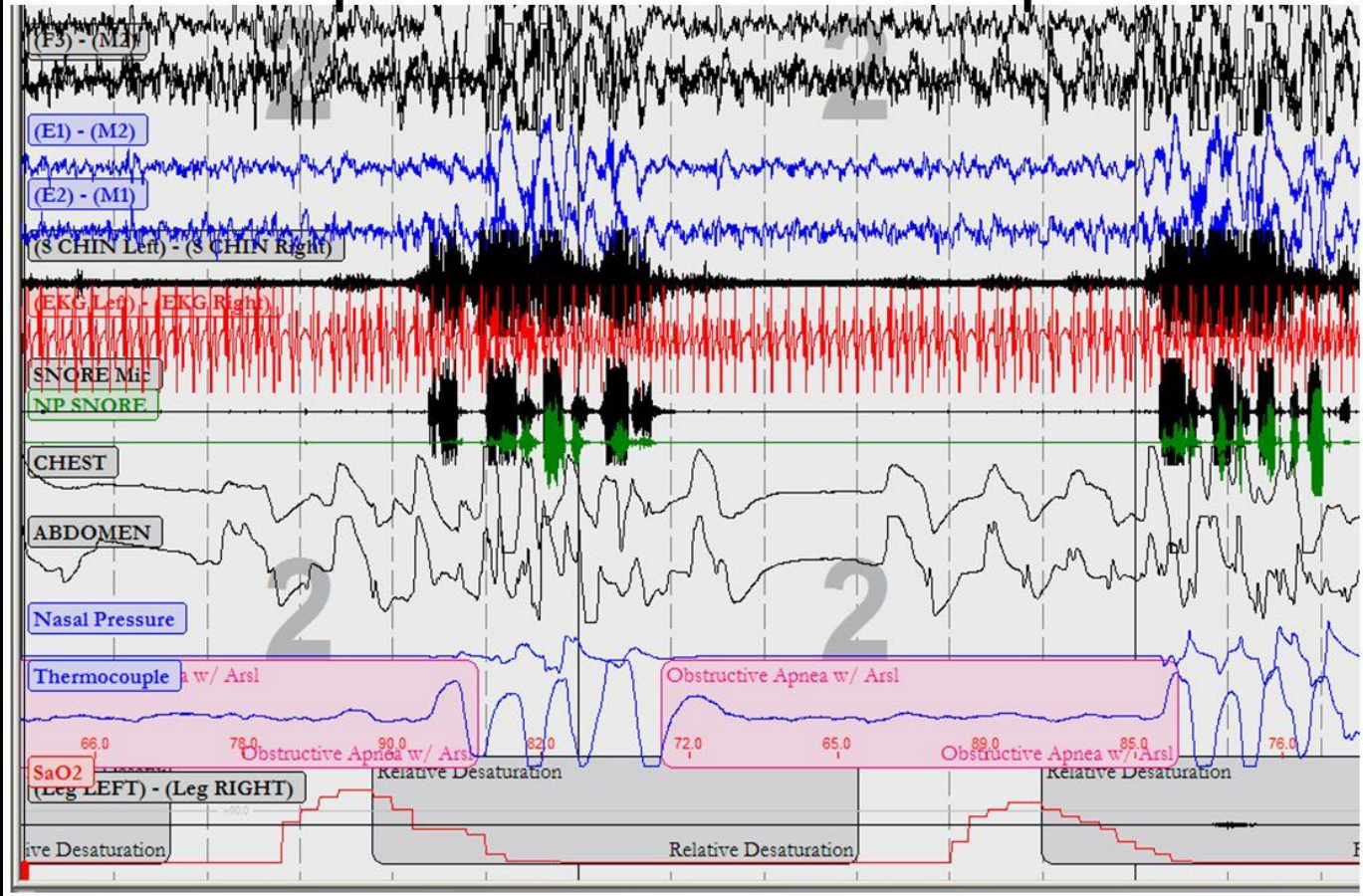
Apnea

- -1 to + 5 cm H₂O

Normal versus diminished genioglossus activation



Low Arousal Threshold

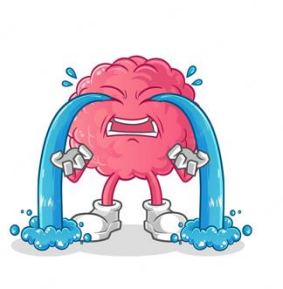


Prior to GG recruitment

Prevents deeper, more stable stages

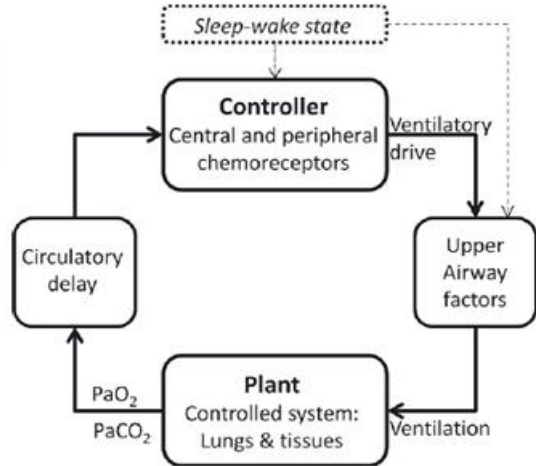
Destabilizes breathing patterns

Loop Gain

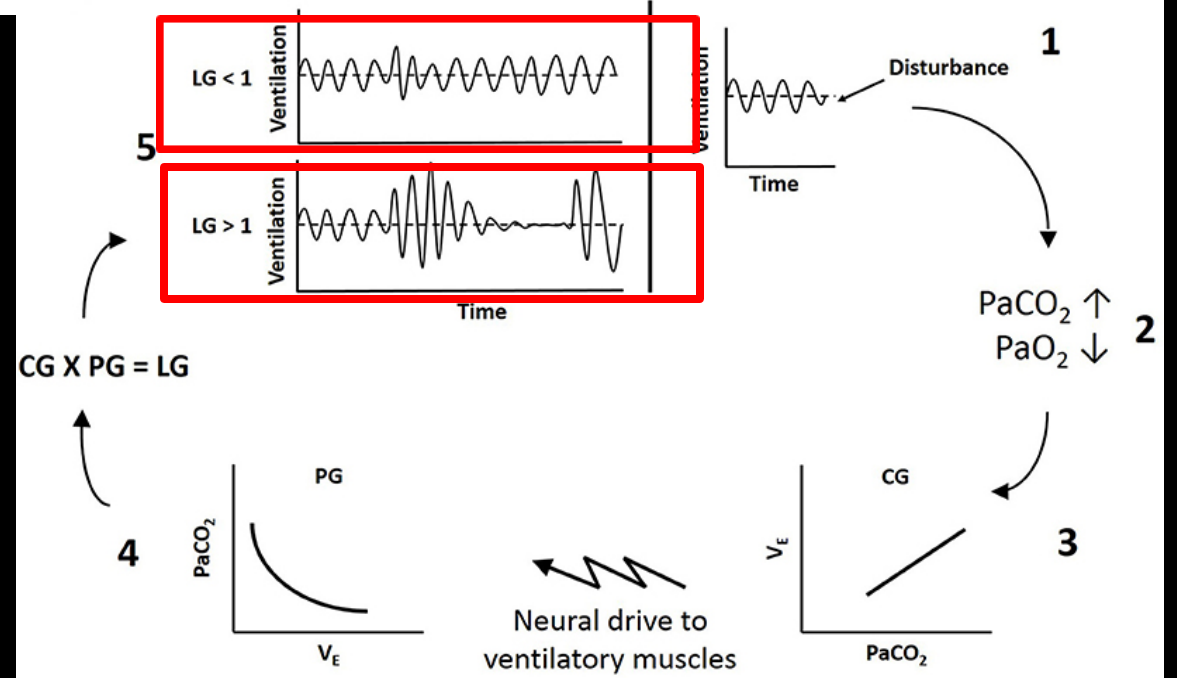


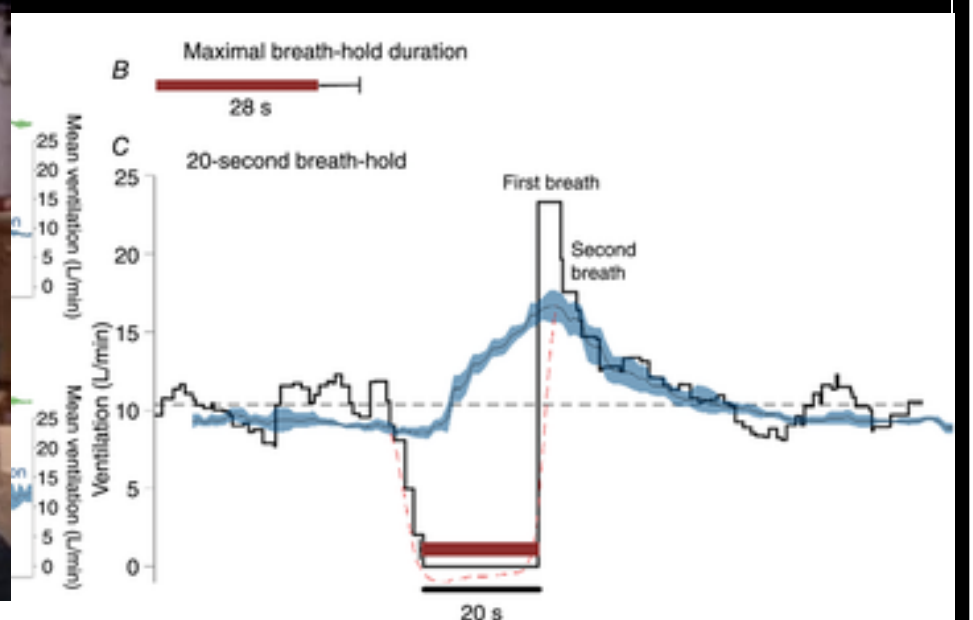
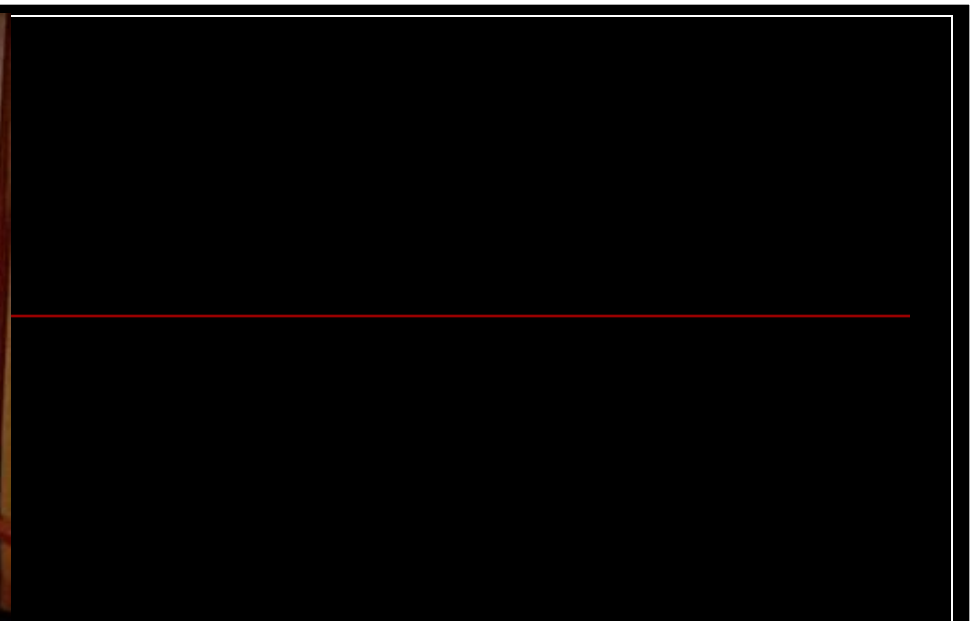
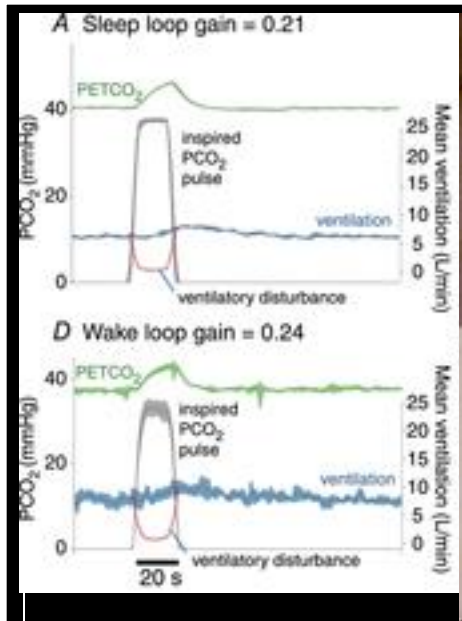
Loop-gain theory:

$$\text{Loop gain} = \frac{\text{Response to disturbance}}{\text{Disturbance}}$$



Burgess KR. J Physiol. 2012;590:1781-1782.





Messineo L, Taranto-Montemurro L, Azarbarzin A, Oliveira Marques MD, Calianese N, White DP, Wellman A, Sands SA. Breath-holding as a means to estimate the loop gain contribution to obstructive sleep apnoea. *The Journal of physiology*. 2018 Sep;596(17):4043-56.

P



A



L



M



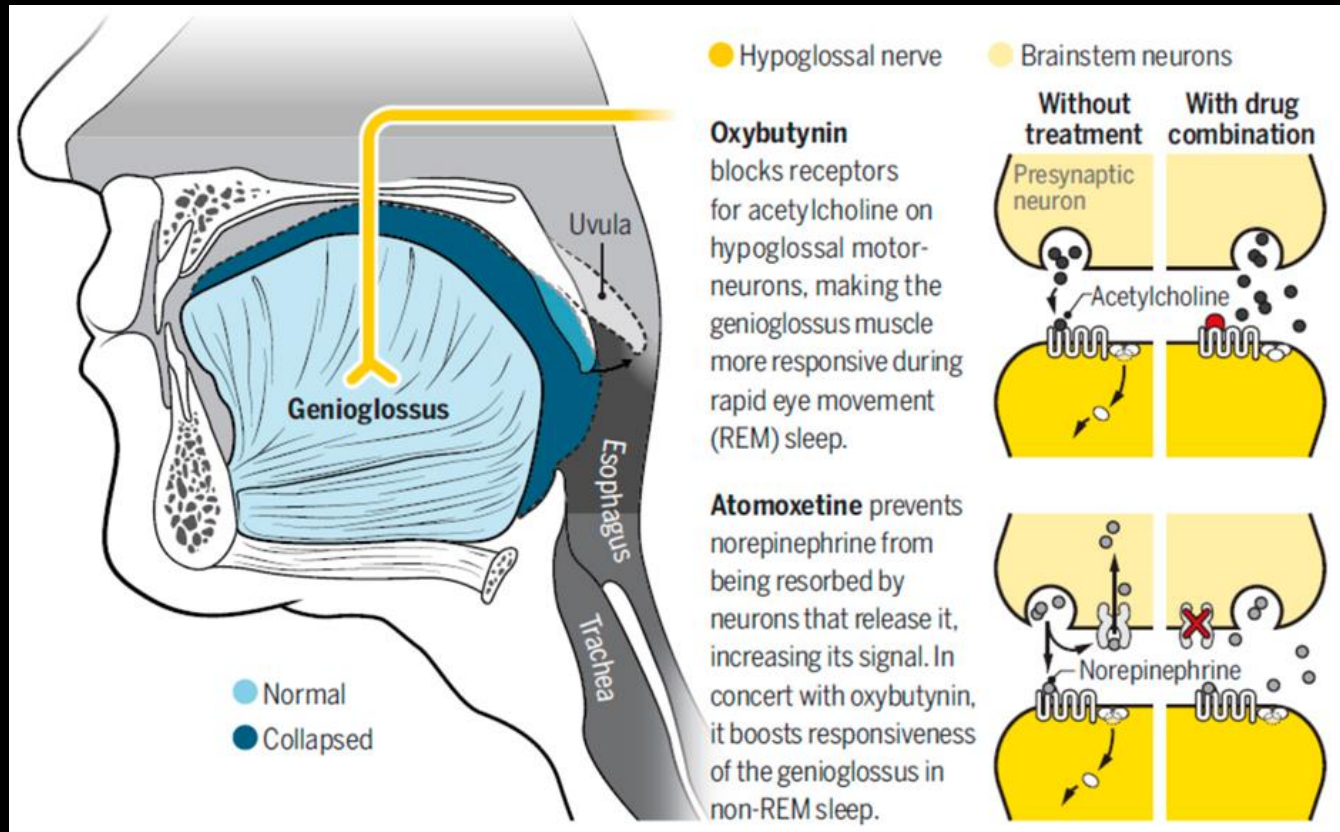
Arousal Threshold

- Hypnotics
- ?Treat underlying anxiety/
depression/PTSD

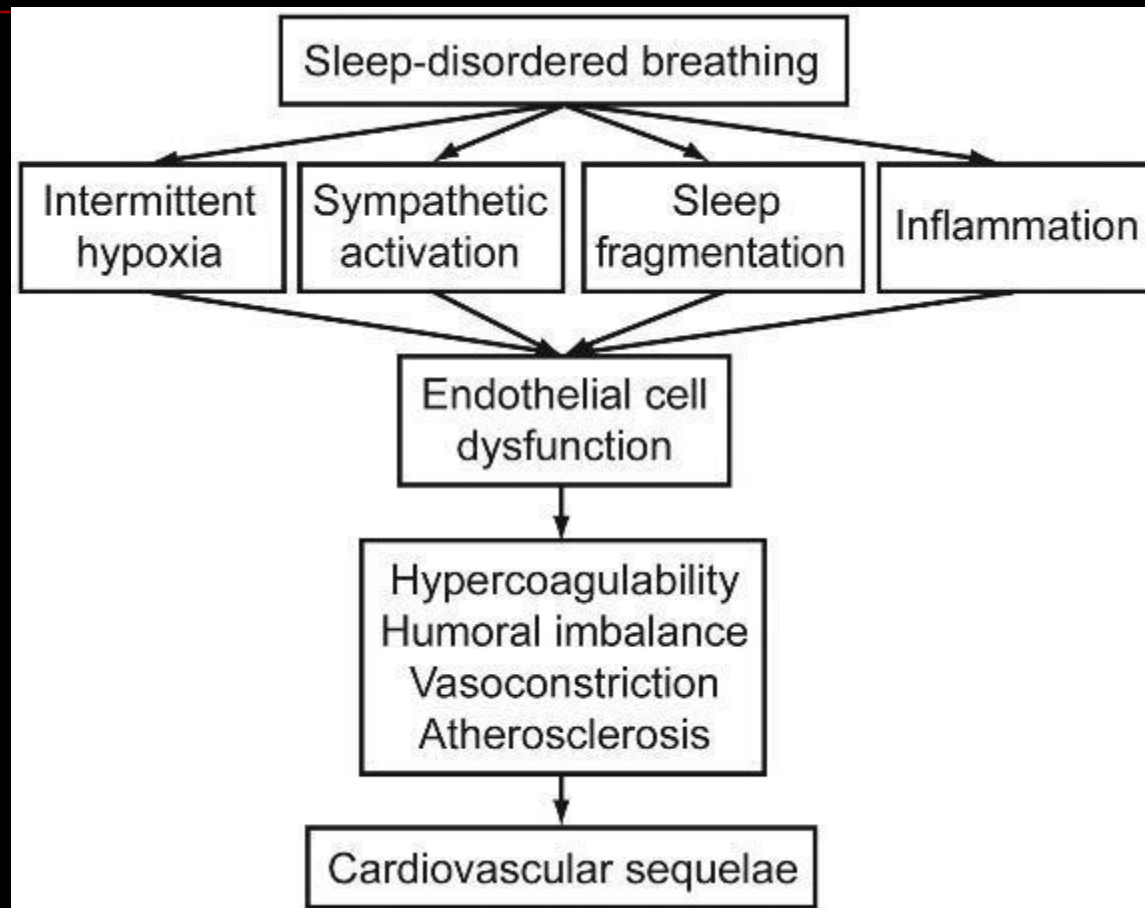
Loop Gain

- Acetazolamide
- Oxygen
- Non vented masks
- ?PAP

Upper Airway Muscle Dysfunction



Sleep-Disordered Breathing and Cardiovascular Disorders



Budhiraja, Rohit, and Stuart F. Quan "Sleep-disordered breathing and cardiovascular disorders." *Respiratory care* 55.10 (2010): 1322-1332.

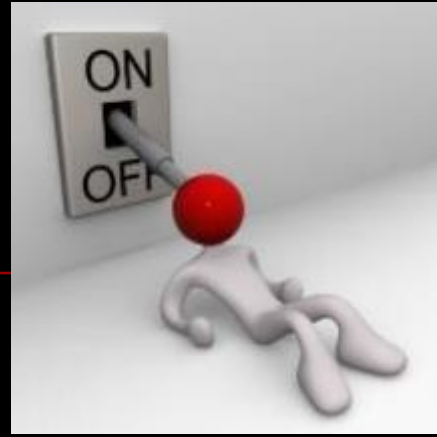
END OF CHAPTER 2:

Pathophysiology of sleep apnea

BEGINNING OF CHAPTER 3:

Diagnosis of sleep apnea

Symptoms of OSA



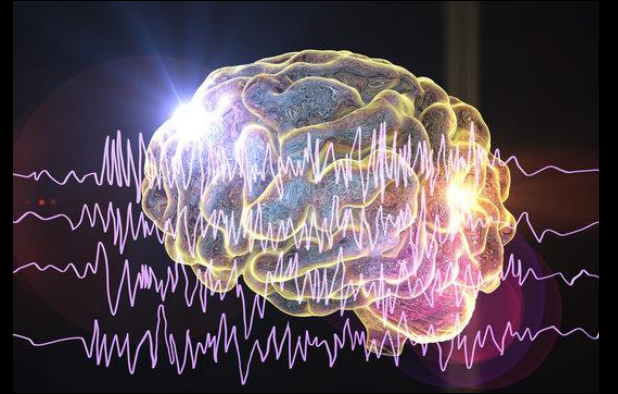
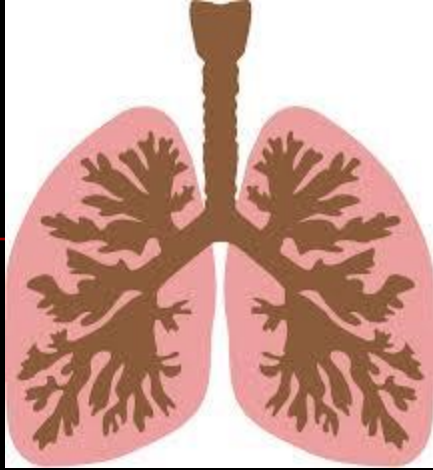
Type 1: full attended PSG (≥ 7 channels) in-lab

Type 2: full unattended PSG (≥ 7 channels)

Type 3: limited channel devices (usually using 4–7 channels)

Type 4: 1 or 2 channels usually using oximetry as 1 of the parameters





Home Study

Non-inferior outcomes in
CPAP acceptance and
functional improvements

Apnea-Hypopnea index (AHI)

AHI (/hr)	Severity
5-15	Mild
>15-30	Moderate
>30	Severe

END OF CHAPTER 3:

Diagnosis of sleep apnea- Symptoms and
sleep Studies

BEGINNING OF CHAPTER 4:

Management of sleep apnea



Verdict on
treating Mild
Sleep Apnea?

Treatment of Mild OSA

Treatment may improve **sleepiness** and **quality of life**.

Limited or inconsistent evidence pertaining to the impact of therapy on **neurocognition, mood, vehicle accidents, cardiovascular events, stroke, and arrhythmias**.

Chowdhuri, S., Quan, S.F., Almeida, F., Ayappa, I., Batool-Anwar, S., Budhiraja, R., Cruse, P.E., Drager, L.F., Griss, B., Marshall, N. and Patel, S.R., An official American Thoracic Society research statement: impact of mild OSA in adults. *American journal of resp and critical care medicine*, 2016 193(9), e37-e54.



A new guest in the bedroom...

12

CPAP

5-15

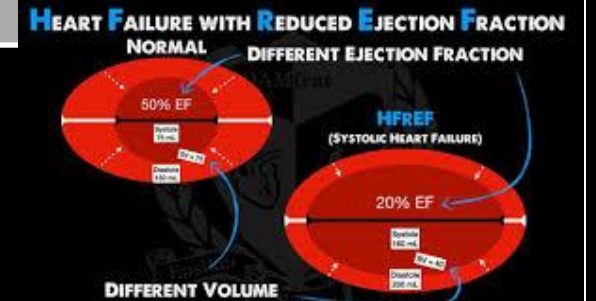
APAP

12/8

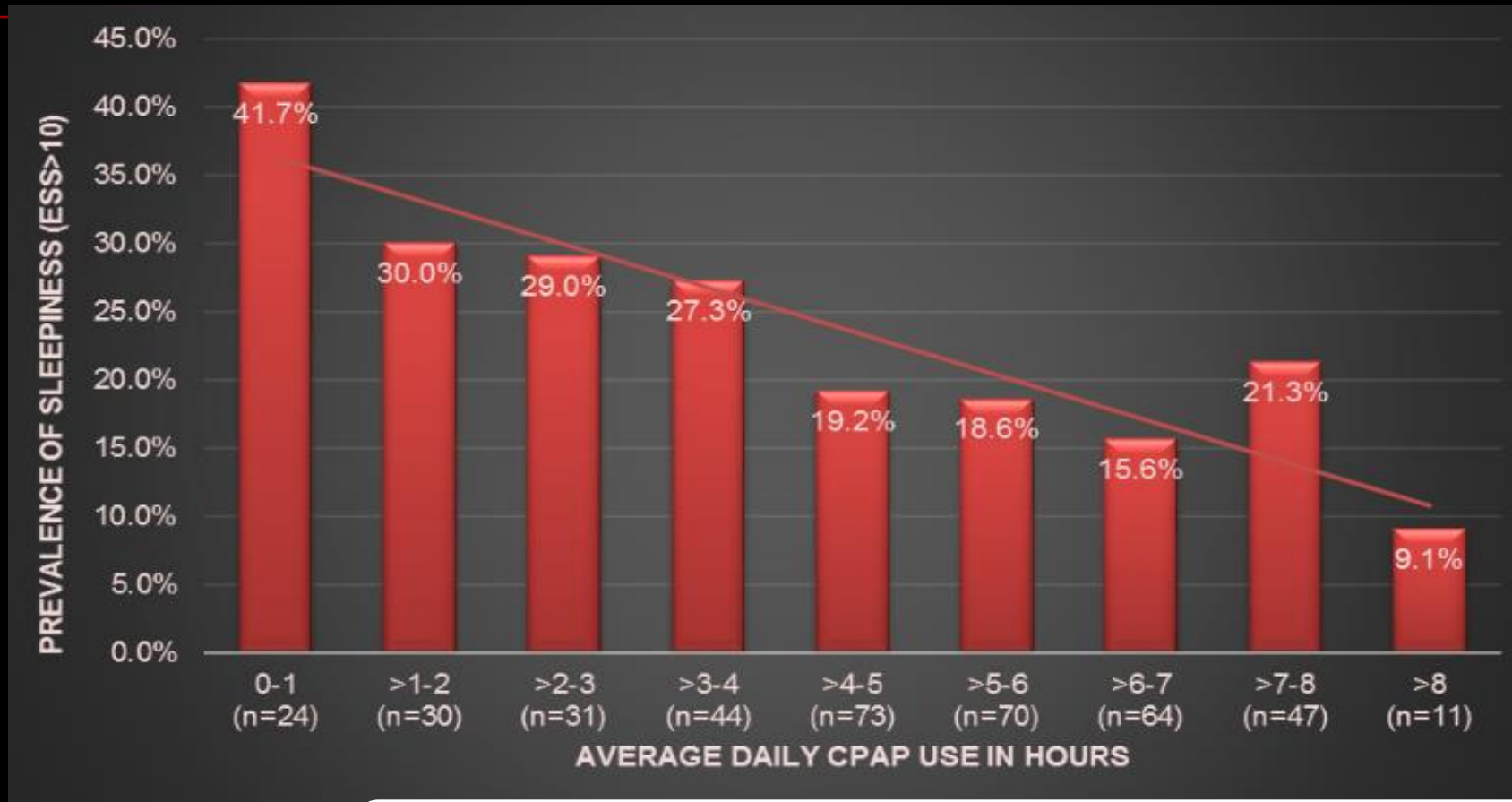
BPAP

EPAP 4-15
PS: 0-20
Rate: Auto

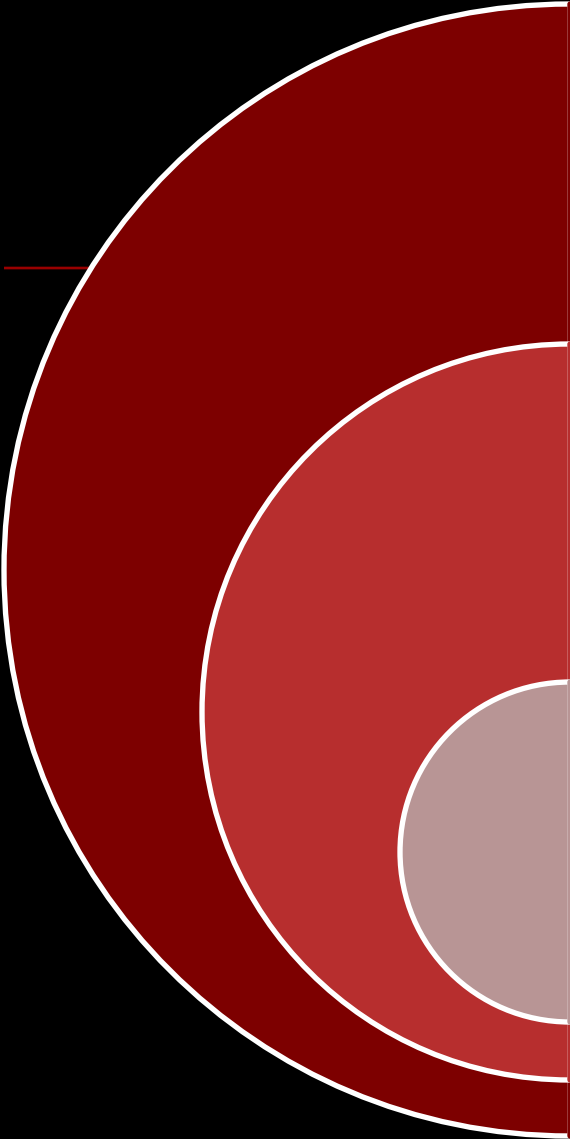
ASV
AutoSV



Improvement in Sleepiness with CPAP



Budhiraja, Rohit, et al. "Predictors of sleepiness in obstructive sleep apnoea at baseline and after 6 months of continuous positive airway pressure therapy." *European Respiratory Journal* 50.5 (2017): 1700348.



Barbé et al: Effect of CPAP on the incidence of HTN and cardiovascular events in nonsleepy patients with OSA, JAMA(2012),: No significant difference, CV benefit with CPAP >4 h/day

Peker et al: Effect of positive airway pressure on cardiovascular outcomes in coronary artery disease patients with nonsleepy obstructive sleep apnea: AJRCC(2016), : No significant difference, significant CV risk reduction with CPAP >4 h/day

McEvoy *et al.*, CPAP for prevention of cardiovascular events in obstructive sleep apnea, NEJM, 375 (2016): No significant difference, lower risk of cerebral event with CPAP >4 h/day

Effect of CPAP on CV outcomes

Adherence Analysis

Patients who used CPAP for ≥ 4 h/night did achieve significant cardiovascular benefits with an incidence density ratio 0.72 [0.52-0.98; $p = 0.04$]

Randomized Intervention with CPAP in Coronary Artery Disease and Obstructive Sleep Apnea (RICCADSA) trial

AHI \geq 15 events/h randomized to CPAP (n = 86) or no-CPAP (n = 85).

The incidence of MACCE did not differ in intention-to-treat population.

On-treatment analysis showed a **significant risk reduction in those who used CPAP for \geq 4 vs. $<$ 4 h/day or did not receive treatment** (adjusted hazard ratio [HR] 0.17; 95% confidence interval [CI] 0.03–0.81; p = 0.03).

Objective Adherence

Use of PAP devices for

4 or more hours per night on
70% of nights

Consecutive 30-day period any time
during the first 3 months

CPAP is uncomfortable

It's the most effective therapy



```
graph LR; A((Full Face Mask  
(versus Nasal Mask))) --- B([Higher Leak]); A --- C([Mean Pressures]); A --- D([Residual AHI]);
```

Full Face Mask
(versus Nasal Mask)

Higher Leak

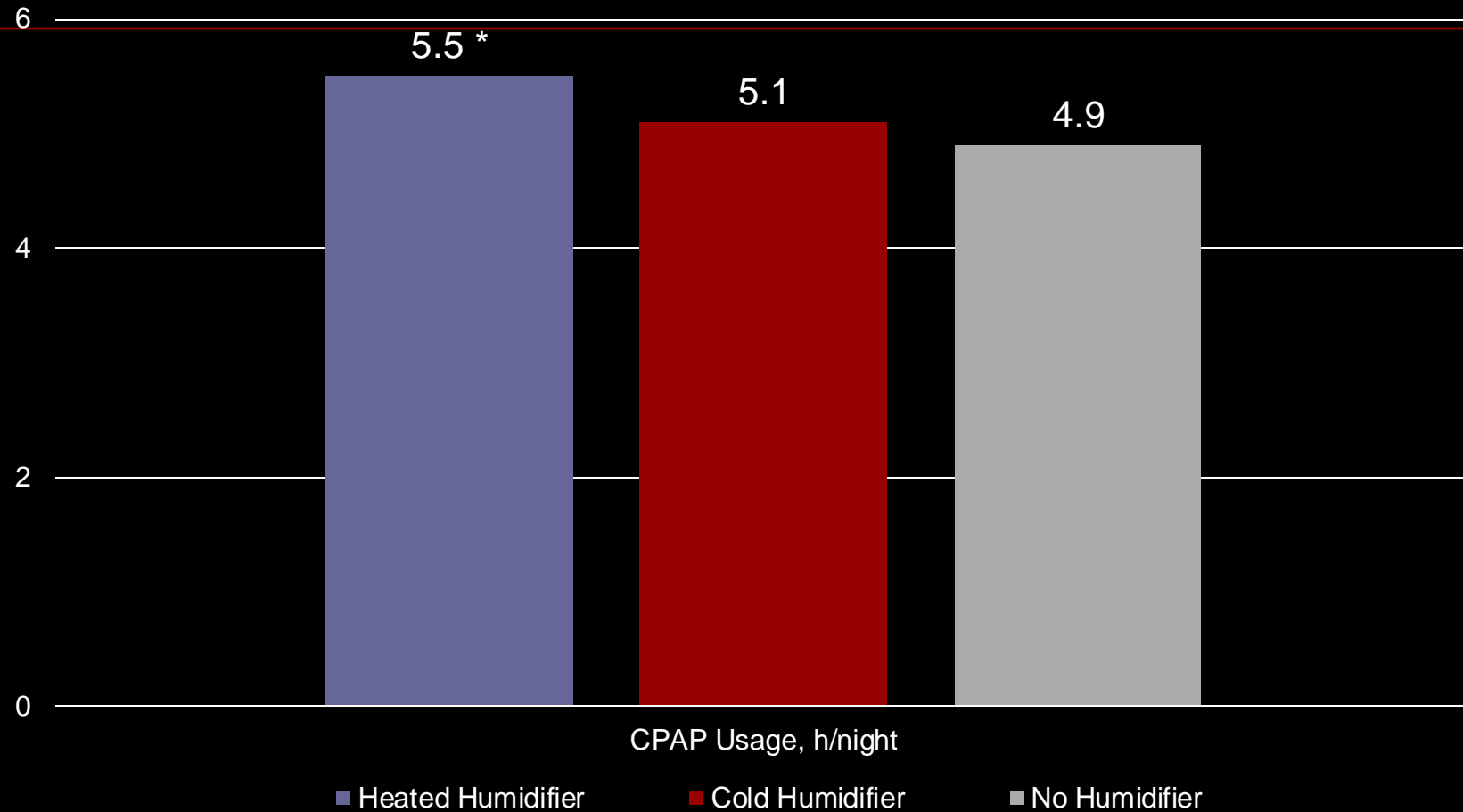
Mean Pressures

Residual AHI

“My nose gets congested/ dry”



Heated Humidification and CPAP use



Massie, Clifford A., et al. "Effects of humidification on nasal symptoms and compliance in sleep apnea patients using continuous positive airway pressure." *Chest* 116.2 (1999): 403-408.

Aerophagia

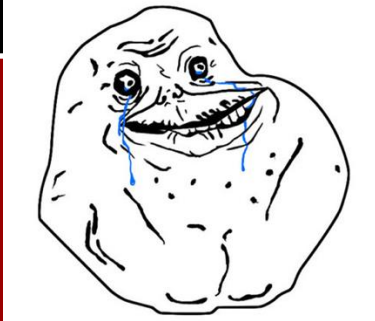
Swallowing of air with
CPAP use.

More common in those
with GERD

Bloating
Gastric distension

Flatulence

Belching,
Stomach Ache





Add incline while sleeping



Treat GERD



Dietary advice: avoid chewing gum and carbonated drinks



Simethicone/
Activated Charcoal tablets



Consider decreasing pressure or APAP

Factors Associated with Adherence at 6-Months

Variable	T	P
Age	3.6	<0.001
AHI	2.2	0.03
Anxiety	-2.2	0.02
Decrease in ESS Scores	3.11	0.002

R Budhiraja, et al. CPAP Adherence in Obstructive Sleep Apnea,
Journal of Clinical Sleep Medicine, Vol. 12, No. 3, 2016

Anxiety

Nasal Masks

Wear the CPAP while awake

PATIENT EDUCATION

Cognitive behavior therapy

Anxiety medications



Participants with Good Adherence

The prevalence of ESS >10 after 6m of CPAP 18.1%

Higher in those with baseline excessive sleepiness

No other variables were significantly associated with odds of sleepiness

Treatment-Emergent Central (Complex) Sleep Apnea

Patient being
treated for
OSA

Application of
CPAP (or
other therapy)

Develops
Central Sleep
Apnea

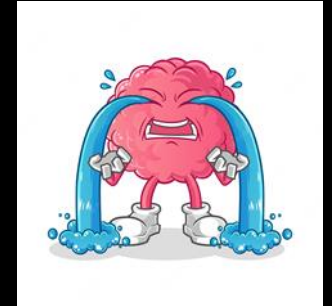
Treatment-Emergent Central Sleep Apnea (TECSA)

Seen in **5-15% of titrations**, Spontaneously resolves in most by 3 m

High loop gain, Low pCO₂ levels increase the risk

More central and mixed apneas on the diagnostic study, higher rates of comorbid heart failure, stroke, narcotic use

ASV appears superior to BPAP-ST for the long-term treatment of persistent CSA.



Cassel, et al. "A prospective polysomnographic study on the evolution of complex sleep apnoea." *European Respiratory Journal* 38.2 (2011): 329-337.

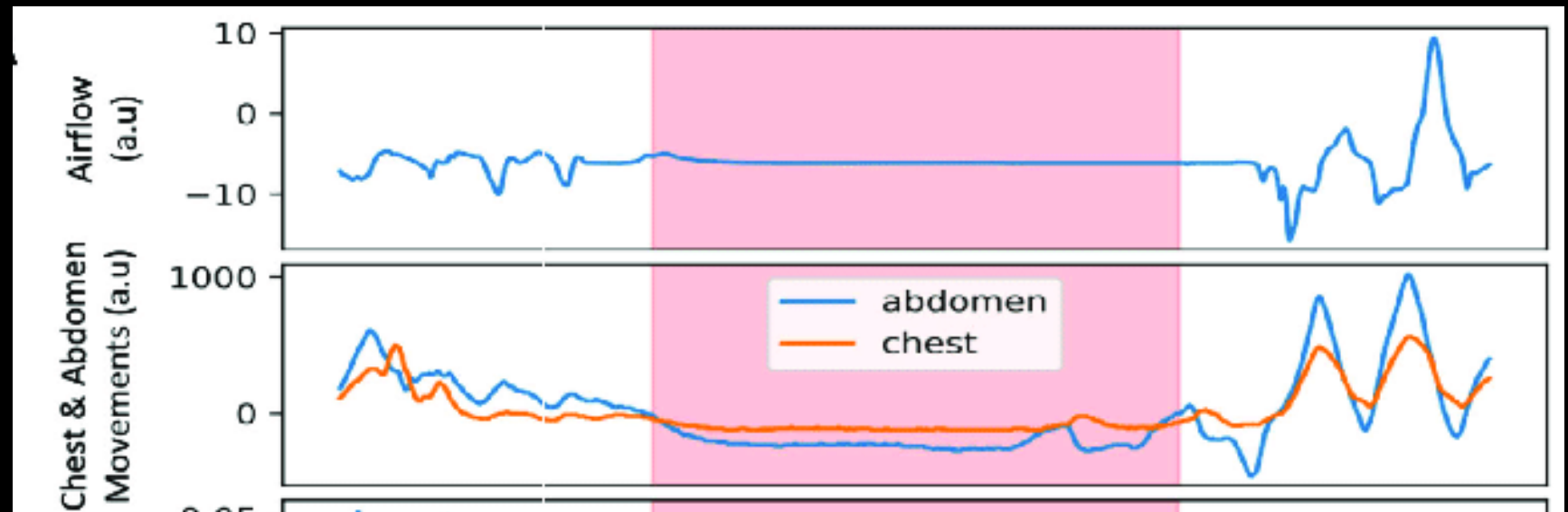
Moro, Marilyn, et al. "Clinical predictors of central sleep apnea evoked by positive airway pressure titration." *Nature and science of sleep* 8 (2016): 259.

Dellweg, Dominic, et al. "RCT of NPPV versus servoventilation in patients with CPAP-induced central sleep apnea." *Sleep* 36.8 (2013): 1163-1171.

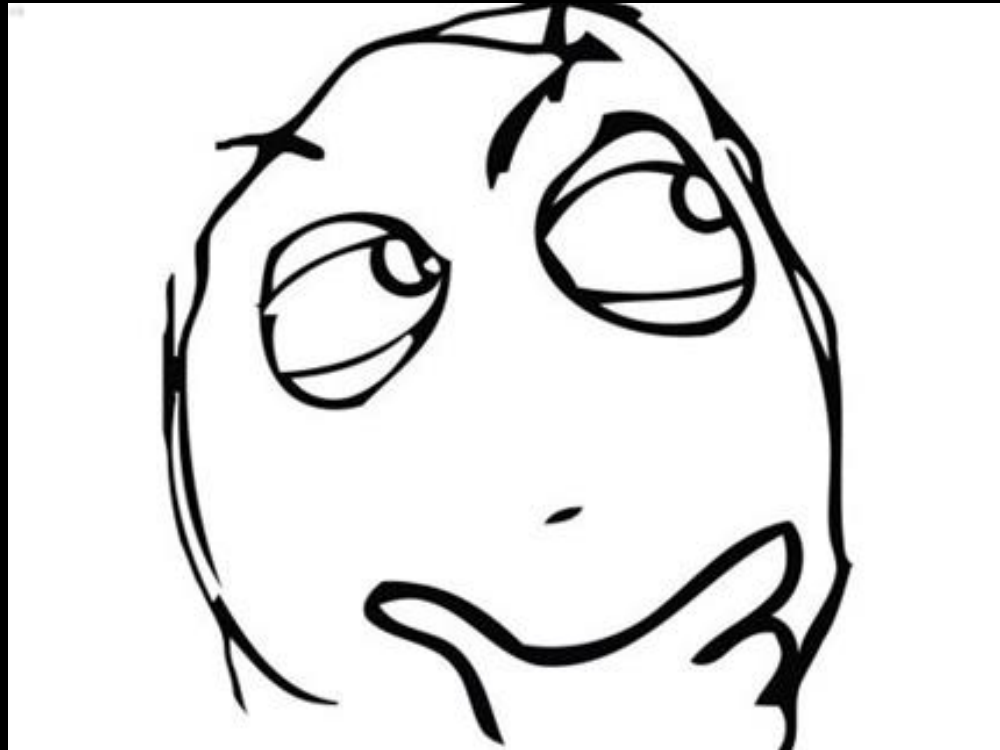
Obstructive



Central/ Mixed

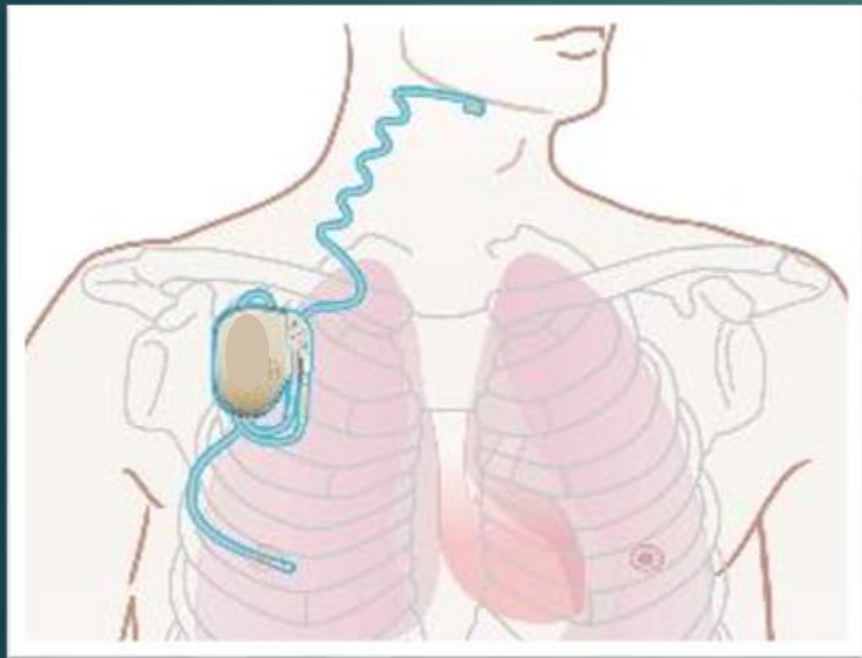


What else do you got?





Hypoglossal Nerve Stimulation

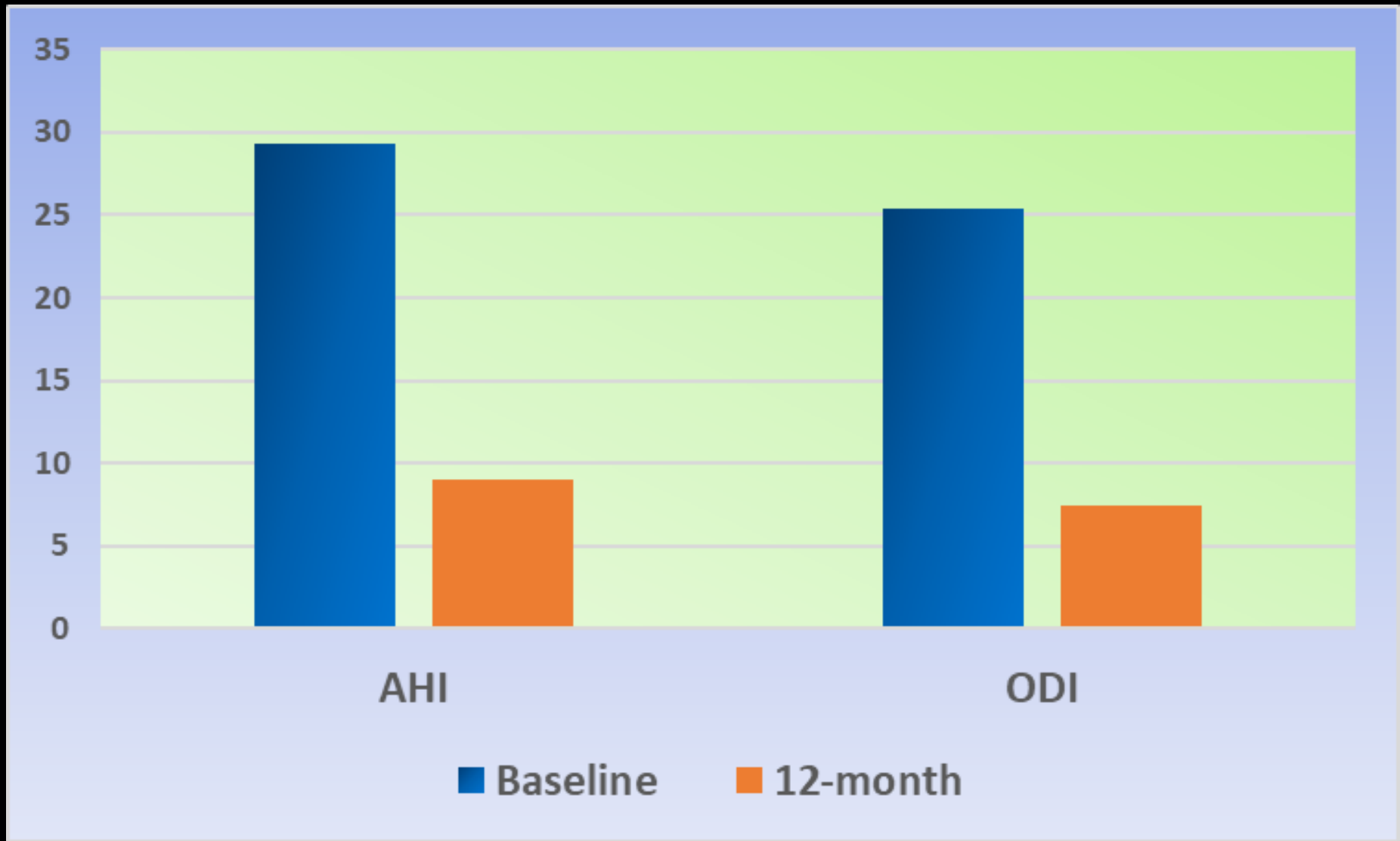


Stimulation
lead

Generator

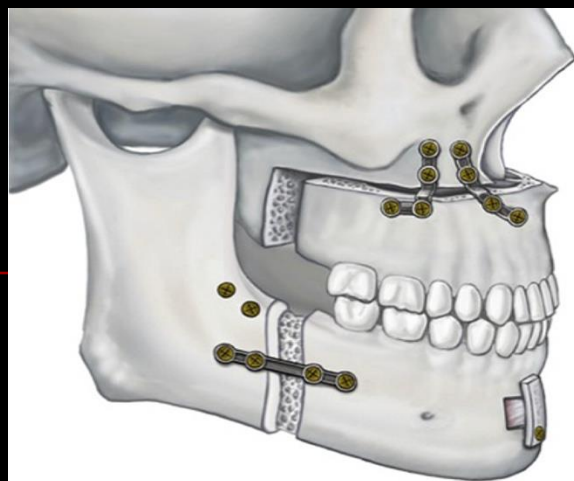
Sensing lead



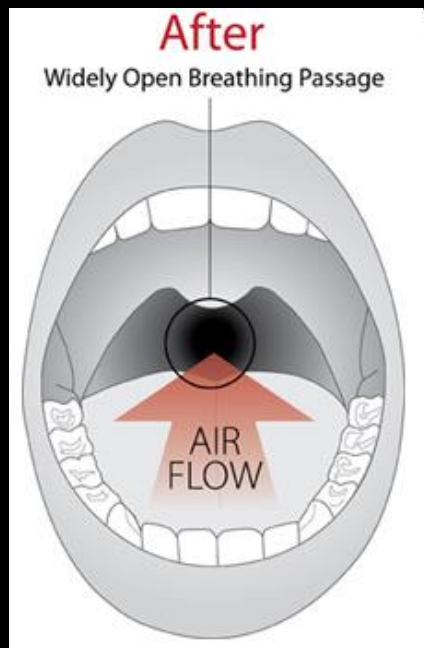
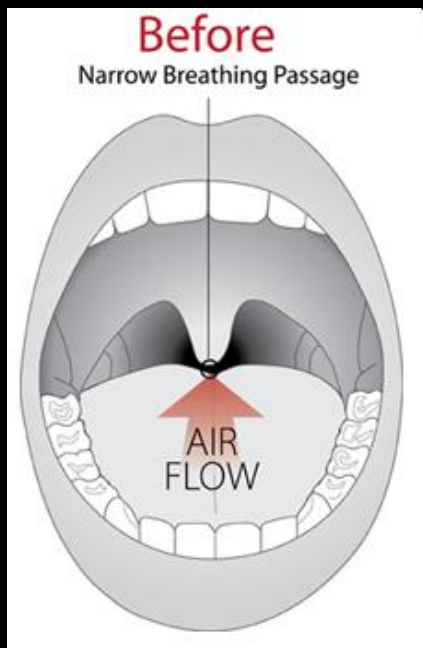


Strollo Jr, Patrick J., et al. "Upper-airway stimulation for OSA." NEJM 370.2 (2014): 139-149.

Surgery



Didgeridoo



Gaps in OSA Knowledge

Endotypes



Consequences
of OSA
&
benefits of
therapy



CPAP
adherence
&
Alternative
treatments

Big Data

Voluminous databases with numerous datapoints

Allow exploration of the effects of individual differences and complex interactions

Facilitate identification and management of individuals according to their unique characteristics

“Personalizing” medicine or pursuing “Precision” medicine

Big Data Sources



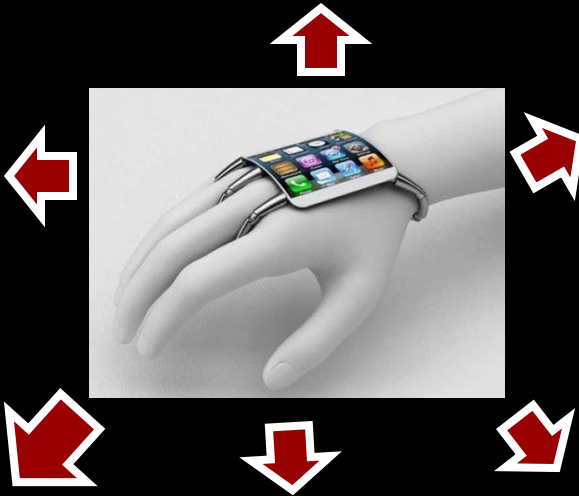
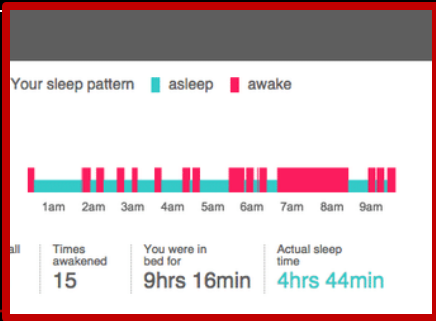
Electronic health records (EHR)



Mobile phones, wearable health devices



Large clinical trials



Question 1

What is the benefit of APAP over CPAP?

- Better sleep quality
- Better control of AHI
- A greater improvement in hypoxemia
- Lower mean airway pressure

Question 1

What is the benefit of APAP over CPAP?

- Better sleep quality
- Better control of AHI
- A greater improvement in hypoxemia
- Lower mean airway pressure (may help with comfort, aerophagia)

Question 2

Which of the following breathing disorders is usually less severe in rapid eye movement (REM) sleep compared to non-rapid eye movement (NREM) sleep?

- Hypoxemia in COPD
- Obstructive Sleep Apnea
- Treatment Emergent Central Sleep Apnea
- Obesity Hypoventilation

Question 2

Which of the following breathing disorders is usually less severe in rapid eye movement (REM) sleep compared to non-rapid eye movement (NREM) sleep?

- Hypoxemia in COPD
- Obstructive Sleep Apnea
- **Treatment Emergent Central Sleep Apnea (Loop gain during REM sleep is lower compared to loop gain during NREM sleep.)**
- Obesity Hypoventilation

Key Points



Sleep Apnea in
Lung disorders

Anatomy AND
Physiology

'Other' Symptoms

Monitor and
Improve CPAP
Adherence

Other treatments
MAD, HNS,
Positional,
Surgical

Treat Overall
Sleep

References

- Berry RB, Budhiraja R, Gottlieb DJ, Gozal D, Iber C, Kapur VK, Marcus CL, Mehra R, Parthasarathy S, Quan SF, Redline S. Rules for scoring respiratory events in sleep: update of the 2007 AASM manual for the scoring of sleep and associated events: deliberations of the sleep apnea definitions task force of the American Academy of Sleep Medicine. *Journal of clinical sleep medicine*. 2012 Oct 15;8(5):597-619.
- Peppard, Paul, et al. "Increased prevalence of SDB in adults." *Am journal of epidemiology* 177.9 (2013): 1006-1014
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- Marin J. *AJRCCM* 2010;182:325–31
- Tetyana Kendzerska; Richard S. Leung; Shawn D. Aaron; Najib Ayas; Jacqueline S. Sandoz; Andrea S. Gershon; *Annals ATS* 1671-81
- Mermigkis, Charalampos, et al. "OSA should be treated in patients with idiopathic pulmonary fibrosis." *Sleep and Breathing* 19.1 (2015): 385-391
- Papadogiannis, George, et al. "Patients with idiopathic pulmonary fibrosis with and without obstructive sleep apnea: differences in clinical characteristics, clinical outcomes, and the effect of PAP treatment." *Journal of Clinical Sleep Medicine* 17.3 (2021): 533-544.
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The woods are lovely, dark and deep.
But I have promises to keep,
And miles to go before I sleep,
And miles to go before I sleep.

Robert Frost